

Higher homotopy normalities in topological groups

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- ▶ Homotopy normalities in topological groups, arXiv:2111.15096.

1. Introduction to crossed module
2. Introduction to higher homotopy associativity
3. Higher homotopy normality
4. H -structure on homotopy quotient
5. Higher homotopy normality of $SU(m) \rightarrow SU(n)$

Introduction to crossed module

Crossed module

- ▶ A normal subgroup $H \subset G$ of a topological group G is a subgroup stable under the inner automorphisms in G .
- ▶ Crossed module is an extension of normal subgroup to non-inclusions $H \rightarrow G$.

Definition (MacLane, J.H.C.Whitehead 1940s)

- ▶ A (topological) crossed module consists of homomorphisms $f: H \rightarrow G$ and $\rho: G \rightarrow \text{Aut}(H)$ satisfying the conditions
 - ▶ $f(\rho(g)(x)) = gf(x)g^{-1}$ for any $x \in H$ and $g \in G$.
 - ▶ $\rho(f(h))(x) = hxh^{-1}$ for any $x, h \in H$,
- ▶ For example, the map $\pi_1(F) \rightarrow \pi_1(E)$ induced from the fiber inclusion of a fiber bundle $F \rightarrow E \rightarrow B$ is naturally a crossed module.

Homotopy quotient

- ▶ If a compact Lie group G acts freely on a manifold X , we have the fiber bundle

$$G \rightarrow X \rightarrow X/G. \quad (*)$$

The quotient X/G is considered to be “homotopically good”.

- ▶ But if the action is not free, $(*)$ is no longer a fiber bundle. Then the quotient X/G is considered to be “homotopically bad”.
- ▶ Any action of a topological group G on a space X can be made into a homotopically good action:

$$G \times (EG \times X) \rightarrow EG \times X, \quad (g, (u, x)) \mapsto (ug^{-1}, gx).$$

EG is a principal G -bundle with contractible total space.

- ▶ $EG \times_G X = (EG \times X)/G$ is called the **Borel construction**, which is considered to be a “homotopy quotient”.

Homotopy quotient of crossed module

- ▶ If $f: H \rightarrow G$ is a crossed module, the image $f(H) \subset G$ is a normal subgroup. But the quotient $G/f(H)$ is a “homotopically bad quotient” in general.
- ▶ The homotopy quotient should be the Borel construction $EH \times_H G$ under the action through $f: H \rightarrow G$.

Theorem (Farjoun–Segev 2010)

- ▶ If $f: H \rightarrow G$ is a crossed module, then the Borel construction $EH \times_H G$ (with some good model of EH) is naturally a topological group.
- ▶ Even if H and G are discrete, $EH \times_H G$ needs not be discrete.

Introduction to higher homotopy associativity

Projective space

- ▶ The classifying space BG of a topological group G has a natural filtration

$$* = B_0G \subset B_1G \subset \cdots \subset B_nG \subset \cdots \subset B_\infty G = BG.$$

- ▶ B_nG is called the n -th projective space.
- ▶ $B_{n+1}G$ is the mapping cone of some map $\Sigma^n G \wedge^{n+1} \rightarrow B_nG$.

Example

- ▶ $B_n S^0 = \mathbb{R}P^n$ ($S^0 = O(1) = \mathbb{Z}/2\mathbb{Z}$).
- ▶ $B_n S^1 = \mathbb{C}P^n$ ($S^1 = U(1) = SO(2)$).
- ▶ $B_n S^3 = \mathbb{H}P^n$ ($S^3 = Sp(1) = SU(2)$).

- ▶ Let $f: H \rightarrow G$ be a map between topological groups.
- ▶ If $f(h_1 h_2) = f(h_1) f(h_2)$ holds for any h_1, h_2 , then we have $f(h_1 \cdots h_n) = f(h_1) \cdots f(h_n)$ for any $n \geq 1$ and h_1, \dots, h_n .
- ▶ If a homotopy $f_2: [0, 1] \times H^2 \rightarrow G$ between the maps

$$(h_1, h_2) \mapsto f(h_1 h_2) \quad \text{and} \quad (h_1, h_2) \mapsto f(h_1) f(h_2)$$

is given, we can construct the homotopies

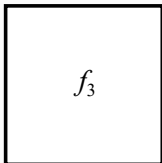
$$\begin{aligned} f(h_1 h_2 h_3) &\sim f(h_1) f(h_2 h_3) \sim f(h_1) f(h_2) f(h_3), \\ f(h_1 h_2 h_3) &\sim f(h_1 h_2) f(h_3) \sim f(h_1) f(h_2) f(h_3). \end{aligned}$$

These are in general different.

A_n -map (continued)

- ▶ These homotopies coincide up to homotopy of homotopies iff there exists a map $f_3: [0, 1]^2 \times H^3 \rightarrow G$ depicted as follows.

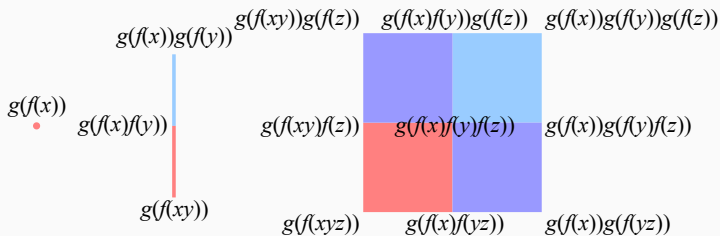
$$f(h_1 h_2) f(h_3) \quad f(h_1) f(h_2) f(h_3)$$



- ▶ This idea is extended to A_n -map ($n = 1, 2, \dots, \infty$). An A_n -map is a map $f: H \rightarrow G$ equipped with higher homotopies $\{f_i: [0, 1]^{i-1} \times H^i \rightarrow G\}_{i=1}^n$ (Sugawara 1960, Stasheff 1963).

Category of topological groups and A_n -maps

- ▶ The composition of A_n -maps is defined as follows:



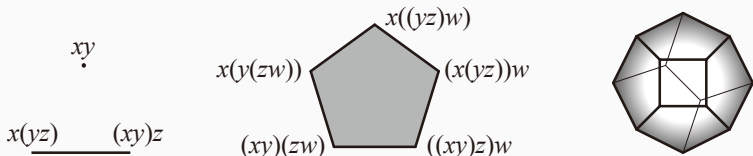
This is unital and associative up to homotopy.

- ▶ Let \mathcal{A}_n denote the (higher) category of topological groups and A_n -maps.
- ▶ The projective space construction extends to the functor $B_n: \mathcal{A}_n \rightarrow \mathbf{Top}_*$. This functor gives the one-to-one correspondence

$$\begin{aligned} & \{ \text{homotopy classes of } A_n\text{-maps } H \rightarrow G \} \\ & \cong \{ \text{homotopy classes of pointed maps } B_n H \rightarrow B_n G \}. \end{aligned}$$

A_n -space

- ▶ Associativity of binary operation $x(yz) = (xy)z$ can also be loosened to “associativity up to higher homotopy”.
- ▶ An A_n -space ($n = 2, 3, \dots, \infty$) is a space X equipped with a unital binary operation $m_2: X \times X \rightarrow X$ and higher homotopies $\{m_i: \mathcal{K}_i \times X^i \rightarrow X\}_{i=2}^n$ parameterized over the **associahedra** \mathcal{K}_i depicted below (Stasheff 1963).



- ▶ A_n -map between A_n -spaces is also defined (Stasheff 1970, Boardman–Vogt 1973, Iwase 1983).
- ▶ The i -th projective space $B_i X$ of an A_n -space X is also defined for $i \leq n$.

Higher homotopy normality

Homotopy normal map (James)

- ▶ In the rest of this talk, let H and G be topological groups of homotopy types of CW complexes.

Definition (James 1967)

- ▶ A homomorphism $f: H \rightarrow G$ is **homotopy normal in the sense of James** if the map

$$\gamma: G \wedge H \rightarrow G, \quad \gamma(g, x) = gxg^{-1}x^{-1}$$

lifts to H with respect to f up to homotopy.

$$\begin{array}{ccc} & & H \\ & \nearrow \exists & \downarrow f \\ G \wedge H & \xrightarrow{\gamma} & G \end{array}$$

Homotopy normal map (McCarty)

Definition (McCarty 1964)

- ▶ A homomorphism $f: H \rightarrow G$ is **homotopy normal in the sense of McCarty** if there exists a map $\tilde{\gamma}: G \wedge H \rightarrow H$ satisfying the following conditions:

- ▶ $\tilde{\gamma}$ is a lift of the map

$$\gamma: G \wedge H \rightarrow G, \quad \gamma(g, x) = gxg^{-1}x^{-1}$$

to H with respect to f up to homotopy,

- ▶ $\tilde{\gamma} \circ (f \wedge \text{id})$ is homotopic to the commutator map

$$H \wedge H \rightarrow H, \quad \gamma_H(h, x) = h x h^{-1} x^{-1}.$$

$$\begin{array}{ccc} H \wedge H & \xrightarrow{\gamma_H} & H \\ f \wedge \text{id} \downarrow & \nearrow \exists \tilde{\gamma} & \downarrow f \\ G \wedge H & \xrightarrow{\gamma} & G \end{array}$$

Definition (T.)

- ▶ A homomorphism $f: H \rightarrow G$ is an $N_1(1)$ -map if there exists a map $\tilde{\gamma}: G \wedge H \rightarrow H$ satisfying the following conditions:
 - ▶ $\tilde{\gamma}$ is a lift of the map

$$\gamma: G \wedge H \rightarrow G, \quad \gamma(g, x) = gxg^{-1}x^{-1}$$

to H with respect to f up to homotopy,

- ▶ $\tilde{\gamma} \circ f$ is homotopic to the commutator map

$$\gamma_H: H \wedge H \rightarrow H, \quad \gamma_H(h, x) = h x h^{-1} x^{-1}.$$

- ▶ the composite of these homotopies is homotopic to the stationary homotopy of the map $f \circ \gamma_H = \gamma \circ (f \wedge \text{id})$.

$$\begin{array}{ccc} H \wedge H & \xrightarrow{\gamma_H} & H \\ f \wedge \text{id} \downarrow & \nearrow \exists \tilde{\gamma} & \downarrow f \\ G \wedge H & \xrightarrow{\gamma} & G \end{array}$$

- ▶ $\mathcal{A}_\ell(H, G)$ denotes the space of A_ℓ -maps.
- ▶ $\text{conj}_H: H \rightarrow \mathcal{A}_\ell(H, H)$ denotes the conjugation $\text{conj}_H(h)(x) = hxh^{-1}$.

Definition (T.)

- ▶ A homomorphism $f: H \rightarrow G$ is an $N_k(\ell)$ -map if an A_k -map $\rho: G \rightarrow \mathcal{A}_\ell(H, H)$ is given and the following conditions hold:
 - ▶ $\rho \circ f$ is homotopic to conj_H as an A_ℓ -map,
 - ▶ the map $* \rightarrow \mathcal{A}_\ell(H, G)$, $* \mapsto f$ is A_k -equivariant with respect to the action of G ,
 - ▶ the composite of the previous A_k -equivariant map and f is homotopic to the trivial A_k -equivariant map $* \mapsto f$ with respect to the action of H (this is H -equivariant in the usual sense).
- ▶ If f is an $N_{k'}(\ell')$ -map for $k' \geq k$ and $\ell' \geq \ell$, then f is an $N_k(\ell)$ -map.

Fiberwise topological group

- ▶ A map $E \rightarrow B$ is said to be **fiberwise topological group** if each fiber is a topological group and the multiplication $E \times_B E \rightarrow E$, the unit $B \rightarrow E$ and the inversion $E \rightarrow E$ are continuous.
- ▶ The fiberwise classifying space $\mathcal{B}E$ and the n -th projective space $\mathcal{B}_n E$ are similarly defined.
- ▶ **Fiberwise A_n -space** is similarly defined.

Example

- ▶ The conjugation action of G on itself defines the fiberwise topological group $E_k G \times_G G \rightarrow B_k G$, where $E_k G$ is the pullback of EG by the inclusion $B_k G \rightarrow BG$.

- ▶ The Borel construction defines the correspondence

$$\text{a } G\text{-space } X \quad \mapsto \quad \text{a fiberwise space } EG \times_G X \rightarrow BG.$$

This provides an “equivalence” between the equivariant homotopy theory and the fiberwise homotopy theory in an appropriate sense.

- ▶ The following main theorem is based on this equivalence.

Main theorem

Theorem (T.)

- ▶ Let $f: H \rightarrow G$ be a homomorphism and $F: B_k H \times_H H \rightarrow B_k G \times_G G$ denote the induced map of f . Then f is an $N_k(\ell)$ -map if and only if there exists a fiberwise A_ℓ -space $E \rightarrow B_k G$ and fiberwise A_n -maps

$$\phi: E_k H \times_H H \rightarrow (B_k f)^* E \quad \text{and} \quad \psi: E \rightarrow E_k G \times_G G$$

such that the following conditions hold:

- ▶ ϕ is a fiberwise A_ℓ -equivalence,
 - ▶ the restriction of $\psi \circ \phi$ to the fiber over the basepoint is homotopic to f as an A_ℓ -map,
 - ▶ the composite $\psi \circ \phi$ is homotopic to F as a map covering $B_k f: B_k H \rightarrow B_k G$.
- ▶ The key to the proof is observing the classifying maps of fiberwise A_ℓ -spaces (Crabb–Sutherland 2000, T. 2012).

H-structure on homotopy quotient

- ▶ An *H*-space is nothing but an A_2 -space (i.e. the space with continuous unital binary operation).

Theorem (T.)

- ▶ The homomorphism $H \rightarrow *$ is an $N_k(\ell)$ -map if and only if H is a $C(k, \ell)$ -space.
- ▶ $C(k, \ell)$ -space is some higher homotopy commutativity (Kishimoto–Kono 2010). In particular, H is an $C(\infty, \infty)$ -space iff BH is an *H*-space.
- ▶ This theorem immediately follows from the main theorem.
- ▶ This theorem is analogous to the fact that $H \rightarrow *$ is a crossed module iff H is commutative.

H -structure on homotopy quotient

- ▶ Due to the previous theorem, we cannot expect that the homotopy quotient of an $N_k(\ell)$ -map naturally inherits any H -structure with higher homotopy associativity in contrast to the result of Farjoun–Segev.

Theorem (T.)

- ▶ Let $f: H \rightarrow G$ be a homomorphism. Then the Borel construction $X = EH \times_H G$ is an H -space if f is an $N_k(k)$ -map and $\text{cat } X \leq k$.

Example

- ▶ (Even the rationalization of) the inclusion $\text{SO}(2n) \rightarrow \text{SO}(2n+1)$ is not an $N_1(1)$ -map since $S^{2n} = \text{SO}(2n+1)/\text{SO}(2n)$ is not an H -space. But one can see that it is rationally homotopy normal in the sense of McCarty.

Higher homotopy normality of $SU(m) \rightarrow SU(n)$

Preceding results

- ▶ There have been many **non-normality** results.
- ▶ (James 1967)
The inclusion $U(m) \rightarrow U(n)$ is not (2-locally) homotopy normal in the sense of James for $1 \leq m < n$. Similar results hold for $O(m) \rightarrow O(n)$ ($2 \leq m < n$) and $Sp(m) \rightarrow Sp(n)$ for $1 \leq m < n$.
- ▶ Other results include: McCarty (1964), James (1971), Kachi (1982), Furukawa (1985), Furukawa (1987), Furukawa (1995), Kudou–Yagita (1998), Kudou–Yagita (2003), Kono–Nishimura (2003), Nishimura (2006), Kishimoto–T. (2018).
- ▶ These results suggest that $H \rightarrow G$ tends to fail to be p -locally homotopy normal for small prime p .

Higher homotopy commutativity and normality

- ▶ In contrast to the fact that any subgroup of an abelian group is normal, homotopy commutativity does not imply homotopy normality in general (e.g. the rationalization of $SO(2n) \rightarrow SO(2n + 1)$).

Theorem (T.)

- ▶ Let $f: H \rightarrow G$ be a homomorphism between semisimple compact connected Lie groups. Suppose that the mod p cohomologies are

$$H^*(H; \mathbb{F}_p) = \Lambda(x_1, \dots, x_m), \quad H^*(G; \mathbb{F}_p) = \Lambda(y_1, \dots, y_n)$$

with $m \leq n$ and $f^*(y_i) = x_i$ for $i = 1, \dots, m$. If $p \geq kn + \ell m$, then f is p -locally an $N_k(\ell)$ -map.

- ▶ This result says that $H \rightarrow G$ tends to be a p -local $N_k(\ell)$ -map trivially for large prime p .

Higher homotopy normality of $SU(m) \rightarrow SU(n)$

Theorem (T.)

- ▶ If $\max\{kn - 2, (k - 1)n + 2\} < p \leq kn + 2(\ell - 1)$ for some $n \geq 3$ and $k, \ell \geq 1$, then the inclusion $SU(2) \rightarrow SU(n)$ is not a p -local $N_k(\ell)$ -map.
- ▶ If $\max\{kn - m, (k - 1)n + 2\} < p \leq kn + (\ell - 2)m$ for some $2 \leq m < n$ and $k, \ell \geq 1$, then the inclusion $SU(m) \rightarrow SU(n)$ is not a p -local $N_k(\ell)$ -map.
- ▶ This result is not very sharp. For example, the normality is undetermined for $kn + (\ell - 2)m < p < kn + \ell m$.
- ▶ A similar result is obtained for $SO(2m + 1) \rightarrow SO(2n + 1)$.

Proof of the theorem

- ▶ To illustrate the proof with the easiest case, we see that the inclusion $SU(2) \rightarrow SU(3)$ is not 3-locally an $N_1(1)$ -map.
- ▶ Suppose that it is 3-locally an $N_1(1)$ -map. Then there exist a fiberwise pointed space $\mathcal{E} \rightarrow B_1 SU(3) = \Sigma SU(3)$ and fiberwise pointed maps

$$\Phi: E_1 SU(2) \times_{SU(2)} \Sigma SU(2) \rightarrow \mathcal{E}|_{\Sigma SU(2)},$$

$$\Psi: \mathcal{E} \rightarrow E_1 SU(3) \times_{SU(3)} B SU(3)$$

such that Φ is a homotopy equivalence and $\Psi \circ \Phi$ is fiberwise pointed homotopic to the inclusion (functoriality of **fiberwise projective spaces**).

Proof of the theorem (continued)

- ▶ We have the mod p cohomologies

$$\begin{aligned}H^*(E_1 \mathrm{SU}(3) \times_{\mathrm{SU}(3)} B \mathrm{SU}(3)) &= (\mathbb{F}_p\{c_2^B, c_3^B\} \oplus S) \otimes \mathbb{F}_p[c_2^F, c_3^F], \\H^*(\mathcal{E}) &= (\mathbb{F}_p\{c_2^B, c_3^B\} \oplus S) \otimes \mathbb{F}_p\{c_2^F\}\end{aligned}$$

for some 1-dimensional submodule S .

- ▶ We find $\Psi^*(c_3^F) = c_3^B$ since Ψ is fiberwise pointed.
- ▶ Applying the Steenrod operation \mathcal{P}^1 , we have

$$\begin{aligned}\Psi^*(\mathcal{P}^1 c_3^F) &= \Psi^*(\pm c_2^F c_3^F) = \pm c_2^F c_3^B, \\ \mathcal{P}^1 \Psi^*(c_3^F) &= \mathcal{P}^1 c_3^B = \pm c_2^B c_3^B.\end{aligned}$$

These computations contradict each other, completing the proof.

Summary

- ▶ $N_k(\ell)$ -map is a higher homotopical analogue of crossed module.
- ▶ $N_1(1)$ -map \Rightarrow McCarty's homotopy normal map \Rightarrow James' homotopy normal map.
- ▶ An $N_k(\ell)$ -map is characterized by fiberwise A_ℓ -maps over the k -th projective spaces.
- ▶ The homotopy quotient $EH \times_H G$ of an $N_k(k)$ -map is an H -space if $\text{cat } EH \times_H G \leq k$ holds.
- ▶ Fiberwise pointed maps between fiberwise projective spaces is applied to detect obstructions to being $N_k(\ell)$ -maps.

Thank you!