

# Finite propagation operators and Hilbert bundles with end

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2021 年 12 月 21 日

この講演は加藤毅氏（京都大）と岸本大祐氏（京都大）との共同研究による以下の3編の論文に基づく。

- ▶ Homotopy type of the space of finite propagation unitary operators on  $\mathbb{Z}$  (arXiv:2007.06787),
- ▶ Homotopy type of the unitary group of the uniform Roe algebra on  $\mathbb{Z}^n$  (arXiv:2102.00606, accepted by J. Topol. Anal.),
- ▶ Hilbert bundles with ends (arXiv:2105.02981, accepted by J. Topol. Anal.).

私（蔦谷）の紹介

- ▶ 主に空間の  $A_\infty$ -構造などの高次ホモトピー構造に興味があります。
- ▶ 他にも代数トポロジーを使う問題を中心にいろいろ研究しています。

1. Hilbert bundle with end
2. Examples
3. Homotopy type of  $B\mathcal{U}_{\text{fp}}(\mathbb{Z})$
4. Characteristic classes
5. Further problems

## Hilbert bundle with end

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## Notation

- ▶ Let  $(I, d)$  be a countable metric space (with discrete topology).

$$\ell^2(I) = \{(v_i)_{i \in I} \mid v_i \in \mathbb{C}, \sum_{i \in I} |v_i|^2 < \infty\}$$

- ▶ Let  $B(\mathcal{H})$  denote the space of bounded operators on a Hilbert space  $\mathcal{H}$ .
- ▶ For  $T \in B(\ell^2(I))$ , the matrix representation  $T = (T_{ij})_{i,j \in I}$  with respect to the standard orthonormal basis.

# Finite propagation operator

## Definition

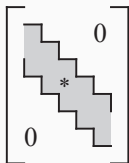
- ▶ For  $T \in B(\ell^2(I))$ , define  $\text{prop } T \in \mathbb{Z}_{\geq 0}$  by

$$\text{prop } T = \sup\{d(i,j) \mid T_{ij} \neq 0\}.$$

$T$  is a **finite propagation operator** if  $\text{prop } T < \infty$ .

## Example

- ▶ When  $I$  is bounded, all operators in  $B(\ell^2(I))$  are of finite propagation.
- ▶ When  $I = \mathbb{Z}$ , the matrix of a finite propagation operator looks like:



The diagram shows a large square matrix enclosed in square brackets. A gray shaded region represents the support of the operator, forming a diagonal band that is wider than it is tall. The band is bounded by two parallel lines with a negative slope. The top-right corner of the matrix is labeled with a '0', and the bottom-left corner is also labeled with a '0'. A small asterisk '\*' is placed within the gray shaded region, indicating a non-zero entry.

# Space of finite propagation operators

- ▶  $\mathcal{U}_L(I) \subset B(\ell^2(I))$   
the finite propagation unitary operators of prop  $\leq L$ .  $\mathcal{U}_L(I)$  is equipped with the *norm topology*.

- ▶ Let

$$\mathcal{U}_{\text{fp}}(I) = \bigcup_{L \geq 0} \mathcal{U}_L(I)$$

with *the inductive limit topology of the norm topology*. As a set,  $\mathcal{U}_{\text{fp}}(I)$  consists of the finite propagation operators on  $\ell^2(I)$ .

# Hilbert space with end

Let  $\mathcal{H}$  be a Hilbert space.

## Definition (KKT)

- ▶ Two isometries  $\phi, \phi' : \mathcal{H} \rightarrow \ell^2(I)$  are said to be **equivalent** if the composite  $\phi' \circ \phi^{-1} : \ell^2(I) \rightarrow \ell^2(I)$  has finite propagation.
- ▶ An **end** of  $\mathcal{H}$  modeled on  $I$  is an equivalence class of isometries  $\mathcal{H} \rightarrow \ell^2(I)$ . We say an operator in the equivalence class is of **finite propagation**.

## Example

- ▶ When  $I$  is bounded, then the end of a Hilbert space  $\mathcal{H}$  is unique.
- ▶ When  $I$  is not bounded, there are many non-equivalent ends.



# Hilbert bundle with end

Hilbert bundles in our work are as follows.

## Definition

- ▶ Let  $\pi: E \rightarrow X$  be a continuous map and each fiber  $\pi^{-1}(x)$  be equipped with a structure of Hilbert space.

We say  $E$  is a **Hilbert bundle** if it admits a local trivialization  $\pi^{-1}(U) \cong U \times \mathcal{H}$  around each point  $x \in X$ .

## Definition (KKT)

- ▶ An **end** of a Hilbert bundle  $\pi: E \rightarrow X$  is the data consisting of local trivializations  $\{\pi^{-1}(U_\lambda) \cong U_\lambda \times \ell^2(I)\}_\lambda$  over an open covering  $\{U_\lambda\}_\lambda$  of  $X$  satisfying the following conditions
  - ▶ the transition functions have values in  $\mathcal{U}_{\text{fp}}(I)$ ,
  - ▶ the transition functions  $U_\lambda \cap U_{\lambda'} \rightarrow \mathcal{U}_{\text{fp}}(I)$  are continuous (with respect to the inductive limit of norm topology).

## Remark on topology of $\mathcal{U}_{\text{fp}}(I)$

- ▶ We assume the transition functions  $U_\lambda \cap U_{\lambda'} \rightarrow \mathcal{U}_{\text{fp}}(I)$  are continuous *with respect to the inductive limit of norm topology*.
- ▶ This assumption could be too restrictive. But we still have some interesting examples.
- ▶ By Kuiper's theorem, which states that  $U_1(B(\ell^2(I)))$  is contractible, any Hilbert bundle is trivial. Assigning an end makes a Hilbert bundle non-trivial.
- ▶ Choosing an end of a Hilbert bundle is equivalent to taking a reduction of the structure group from  $U_1(B(\ell^2(I)))$  to  $\mathcal{U}_{\text{fp}}(I)$ .

# Classification of Hilbert bundles with ends

## Definition

- ▶ Two Hilbert bundles  $E, E' \rightarrow X$  with end modeled on  $I$  are **isomorphic** if there exists an isomorphism of Hilbert bundles  $E \rightarrow E'$  which takes continuous values in  $\mathcal{U}_{\text{fp}}(I)$  through local trivializations.

## Proposition

- ▶ The following map is bijective:

$$\begin{aligned} [X, BU_{\text{fp}}(I)] &\rightarrow \{\text{isom. classes of Hilb. bdl.s with ends modeled on } I\} \\ [f] &\mapsto f^* \tilde{E}, \end{aligned}$$

where  $[X, X']$  denotes the homotopy classes of maps  $X \rightarrow X'$  and  $\tilde{E}$  is the universal bundle over the classifying space  $BU_{\text{fp}}(I)$ .

# Problems

- ▶ Give natural examples of Hilbert bundles with ends.
- ▶ Determine the cohomology groups of  $B\mathcal{U}_{\text{fp}}(I)$ .  
→ “characteristic classes”

# Examples

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# Uniform vector bundle

Let  $X$  be a metric space.

## Definition

- ▶ A **uniform vector bundle** (of finite rank) is the pair  $(E, \{\phi_\lambda\}_\lambda)$  as follows:
  - ▶  $\pi: E \rightarrow X$  is a vector bundle of rank  $r$ ,
  - ▶  $\{\phi_\lambda: \pi^{-1}(U_\lambda) \rightarrow U_\lambda \times \mathbb{C}^r\}_\lambda$  is local trivializations over an open covering  $\{U_\lambda\}_\lambda$  such that the transition functions  $U_\lambda \cap U_{\lambda'} \rightarrow U_r(\mathbb{C})$  are uniformly equicontinuous.

## Remark

- ▶ A sequence of functions  $\{f_\mu\}_\mu$  is **uniformly equicontinuous** if for any  $\epsilon > 0$ , there exists  $\delta > 0$  such that for any  $\mu$  and  $x, y$  with  $d(x, y) < \delta$ , the estimate  $|f_\mu(x) - f_\mu(y)| < \epsilon$  holds.

# Examples of uniform vector bundles

Let  $X$  be a compact metric space.

## Example

- ▶ Suppose  $p: Y \rightarrow X$  is a covering space and a local isometry. Then the pullback  $p^*E$  of a vector bundle  $E \rightarrow X$  is uniform.
- ▶ Let  $\mathcal{S}$  be a finite set of isomorphism classes of vector bundles of rank  $r$  over  $X$  and  $\{E_i\}_{i \in I}$  be a sequence of vector bundles with  $E_i \in \mathcal{S}$ . Then

$$\coprod_{i \in I} E_i \rightarrow I \times X$$

is a uniform vector bundle. If  $\mathcal{S}$  is not finite, then the resulting vector bundle is not uniform in general.

## Example 1: pushforward

Let  $p: Y \rightarrow X$  be a covering space and a local isometry to a compact connected+ metric space  $X$ .

### Proposition (KKT)

- ▶ For a uniform vector bundle  $E$  of rank  $r$  over  $Y$ , let  $p_*E$  denote the fiberwise completion of

$$\coprod_{x \in X} \bigoplus_{y \in p^{-1}(x)} E_y \rightarrow X$$

equipped with an appropriate topology is a Hilbert bundle with end modelled on  $p^{-1}(x_0) \times \{1, 2, \dots, r\}$  for some  $x_0 \in X$ .

$p_*E$  is called the **pushforward**.



## Example 2: fiberwise Fourier transform

### Example

- ▶ By the identification on  $[0, 1] \times [0, 1] \times \mathbb{C}$  generated by

$$(0, x, z) \sim (1, x, x^n z) \quad \text{and} \quad (w, 0, z) \sim (w, 1, z),$$

we obtain a line bundle  $L_n \rightarrow Y = S^1 \times S^1$ .

- ▶ Let  $p: Y \rightarrow S^1$  be the first projection. Then applying the Fourier transform on each fiber of the bundle

$$E_n = \coprod_{w \in S^1} L^2(p^{-1}(w)) \rightarrow S^1,$$

we obtain a Hilbert bundle with end modeled on  $\mathbb{Z}$ .

- ▶ This can be obtained by the identification on  $[0, 1] \times \ell^2(\mathbb{Z})$  by

$$(w, (v_i)_i) \sim (w, (v_{i+n})_i).$$

## Homotopy type of $BU_{\text{fp}}(\mathbb{Z})$

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# Uniform Roe algebra

Let  $U_1(A)$  denote the group of unitary elements in  $A$ .

To determine the homotopy type of  $B\mathcal{U}_{\text{fp}}(\mathbb{Z})$ , it is sufficient to determine the homotopy type of  $B U_1(C_u^*(|\mathbb{Z}|))$  by the following theorem.

## Theorem (KKT)

- ▶ The inclusion  $\mathcal{U}_{\text{fp}}(\mathbb{Z}) \rightarrow U_1(C_u^*(|\mathbb{Z}|))$  is a homotopy equivalence.

Let  $I$  be a countable metric space (with discrete topology).

## Definition

- ▶ The **uniform Roe algebra**  $C_u^*(I)$  is the norm closure of finite propagation operators in  $B(\ell^2(I))$ .

Suppose  $I = |\Gamma|$  is the underlying metric space of a finitely generated discrete group  $\Gamma$ .

- ▶ Then  $C_u^*(|\Gamma|) \cong \ell^\infty(\Gamma) \rtimes \Gamma$  as  $C^*$ -algebras.

# Homotopy groups of $U_1(C_u^*(|\mathbb{Z}|))$

## Theorem (KKT)

- ▶ Let  $\ell^\infty(\mathbb{Z}, \mathbb{Z})$  denote the  $\mathbb{Z}$ -valued bounded sequences over  $\mathbb{Z}$  and  $\ell^\infty(\mathbb{Z}, \mathbb{Z})_S = \ell^\infty(\mathbb{Z}, \mathbb{Z}) / \{a - Sa \mid a \in \ell^\infty(\mathbb{Z}, \mathbb{Z})\}$  where  $S$  is the shift. Then

$$\pi_n(U_1(C_u^*(|\mathbb{Z}|))) \cong K_{n+1}(C_u^*(|\mathbb{Z}|)) \cong \begin{cases} \ell^\infty(\mathbb{Z}, \mathbb{Z})_S & n \text{ is odd,} \\ \mathbb{Z} & n \text{ is even.} \end{cases}$$

- ▶ The inclusion induces the homotopy equivalence  $B U_1(C_u^*(|\mathbb{Z}|)) \simeq B U_1(C_u^*(|\mathbb{Z}| \times \{1, \dots, r\}))$ .

## Proof.

- ▶ The stability follows from the stability for  $A \rtimes \mathbb{Z}$  [Rieffel, 1987].
- ▶ Then it is sufficient to compute  $K_n(C_u^*(|\mathbb{Z}|))$ .
- ▶ The first statement follows from the Pimsner–Voiculescu exact sequence

$$\begin{array}{ccccc} K_0(\ell^\infty(\mathbb{Z})) & \xrightarrow{1-S} & K_0(\ell^\infty(\mathbb{Z})) & \longrightarrow & K_0(C_u^*(|\mathbb{Z}|)) \\ \uparrow & & & & \downarrow \\ K_1(C_u^*(|\mathbb{Z}|)) & \longleftarrow & K_1(\ell^\infty(\mathbb{Z})) & \xleftarrow{1-S} & K_1(\ell^\infty(\mathbb{Z})) \end{array}$$

and

$$K_n(\ell^\infty(\mathbb{Z})) \cong \begin{cases} \ell^\infty(\mathbb{Z}, \mathbb{Z}) & n \text{ is even,} \\ 0 & n \text{ is odd.} \end{cases}$$

- ▶ The second statement is just the stability.



# The abelian group $\ell^\infty(\mathbb{Z}, \mathbb{Z})_S$

## Proposition (KKT)

- ▶ The abelian group  $\ell^\infty(\mathbb{Z}, \mathbb{Z})_S$  is a  $\mathbb{Q}$ -vector space.

The proof is straightforward.

## Example

- ▶ If  $(a_i)_i$  has finite support, then  $[(a_i)_i] = 0$  in  $\ell^\infty(\mathbb{Z}, \mathbb{Z})_S$ .  
→  $\ell^\infty(\mathbb{Z}, \mathbb{Z})_S$  captures “asymptotic behavior”.
- ▶ An embedding  $\iota: \mathbb{Q} \rightarrow \ell^\infty(\mathbb{Z}, \mathbb{Z})_S$  is given by

$$\iota(1) = [\dots, 1, 1, 1, \dots].$$

Then we have  $\iota(m) = [\dots, m, m, m, \dots]$  ( $m \in \mathbb{Z}$ ),

$$\iota\left(\frac{1}{2}\right) = [\dots, 1, 0, 1, 0, \dots], \quad \iota\left(\frac{1}{3}\right) = [\dots, 1, 0, 0, 1, 0, 0, \dots].$$

# Homotopy type of $U_1(C_u^*(|\mathbb{Z}|))$

Roe algebra:

$$C^*(|\Gamma|) \cong \ell^\infty(\Gamma, \mathfrak{K}) \rtimes \Gamma,$$

where  $\mathfrak{K}$  denotes the compact operators.

## Theorem (KKT)

- ▶ The following (weak) homotopy equivalence holds:

$$B U_1(C_u^*(|\mathbb{Z}|)) \simeq U_\infty(\mathbb{C}) \times \prod_{n=1}^{\infty} K(\ell^\infty(\mathbb{Z}, \mathbb{Z})_S, 2n),$$

where  $K(V, n)$  denotes the Eilenberg–MacLane space of type  $(V, n)$ .

## Proof.

- ▶ We can see

$$K_n(C^*(|\mathbb{Z}|)) \cong \begin{cases} 0 & i \text{ is even,} \\ \mathbb{Z} & i \text{ is odd.} \end{cases}$$

and  $K_1(C_u^*(|\mathbb{Z}|)) \rightarrow K_1(C^*(|\mathbb{Z}|))$  is surjective.

- ▶ This implies the homotopy fibration

$$F \rightarrow BU_\infty(C_u^*(|\mathbb{Z}|)) \rightarrow BU_\infty(C^*(|\mathbb{Z}|))$$

admits a homotopy section. Thus

$$BU_1(C_u^*(|\mathbb{Z}|)) \simeq BU_\infty(C_u^*(|\mathbb{Z}|)) \simeq BU_\infty(C^*(|\mathbb{Z}|)) \times F.$$

- ▶ Note that  $BU_\infty(C^*(|\mathbb{Z}|)) \simeq U_\infty(\mathbb{C})$  and the homotopy groups

$$\pi_n(F) \cong \begin{cases} \ell^\infty(\mathbb{Z}, \mathbb{Z})_S & n \text{ is even,} \\ 0 & n \text{ is odd,} \end{cases}$$

are  $\mathbb{Q}$ -vector spaces. Then the theorem follows.



## Characteristic classes

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# Cohomology groups

Let  $V = \ell^\infty(\mathbb{Z}, \mathbb{Z})_S$  and

$$V^\vee = \text{Hom}(V, \mathbb{Q}) = \{\text{shift invariant homomorphisms } \ell^\infty(\mathbb{Z}, \mathbb{Z}) \rightarrow \mathbb{Q}\}.$$

Take a basis  $\mathcal{B}$  of  $V^\vee$ .

## Theorem (KKT)

- ▶  $H^*(BU_{\text{fp}}(\mathbb{Z}); \mathbb{Q}) \cong \mathbb{Q}[\alpha_n(b) \mid b \in \mathcal{B}, n \geq 1] \otimes \Lambda_{\mathbb{Q}}(\beta_n \mid n \geq 1)$ ,  
where  $\alpha_n(b) \in H^{2n}$  and  $\beta_n \in H^{2n-1}$ .

This immediately follows from the previous theorem.

## Definition (KKT)

- ▶ For a Hilbert bundle with end  $E$  over  $X$  classified by  $f: X \rightarrow BU_{\text{fp}}(\mathbb{Z})$ , let

$$\alpha_n(E; b) = f^* \alpha_n(b) \quad \text{and} \quad \beta_n(E) = f^* \beta_n.$$

## Example 1: pushforward along a trivial covering

- ▶ Let  $\{E_i\}_{i \in \mathbb{Z}}$  be vector bundles of rank  $n$  over  $S^{2n}$  with the Chern number  $a_i = c_n(E_i)[S^{2n}]$  such that

$$\sup\{|a_i| \mid i \in \mathbb{Z}\} < \infty.$$

- ▶ Consider the pushforward  $p_*E$  of

$$E = \coprod_{i \in I} E_i \rightarrow I \times S^{2n}$$

along the trivial covering  $I \times S^{2n} \rightarrow S^{2n}$ .

### Proposition

- ▶ The equality  $\alpha_n(p_*E; b)[S^{2n}] = b((a_i)_i)$  holds for any  $b \in \mathcal{B}$ .

## Example 1: pushforward along a non-trivial covering

- ▶ Let  $L$  be the trivial bundle  $\mathbb{R} \times \mathbb{C}$  over  $\mathbb{R}$ .
- ▶ The pushforward  $p_*L$  along the universal covering  $\mathbb{R} \rightarrow S^1$  is a Hilbert bundle with end modeled on  $\mathbb{Z}$ .

### Proposition

- ▶ The equality  $\beta_1(p_*L)[S^1] = 1$  holds.

### Proof.

Let  $\{e_i\}_{i \in \mathbb{Z}}$  be the standard basis of  $\ell^2(\mathbb{Z})$ . One can observe that  $e_i$  is mapped to  $e_{i+1}$  by the circular parallel transport. □

- ▶ The non-triviality of  $\beta_1$  can be extended to any non-trivial  $\mathbb{Z}$ -covering since  $\mathbb{Z}$ -coverings are classified by  $H^1(X; \mathbb{Z})$ .

## Example 2: fiberwise Fourier transform

- ▶ We constructed the Hilbert bundle with end

$$E_n = \coprod_{w \in S^1} L^2(p^{-1}(x)) \rightarrow S^1,$$

which is also obtained by the identification on  $[0, 1] \times \ell^2(\mathbb{Z})$  generated by

$$(w, (v_i)_i) \sim (w, (v_{i+n})_i).$$

### Proposition

- ▶ The equality  $\beta_1(L_n)[S^1] = n$  holds.

## Further problems

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## Other choices of $I$

- ▶ In general, it seems difficult to compute the cohomology groups of  $BU_{\text{fp}}(I)$ .

### Theorem (KKT)

- ▶ The following (weak) homotopy equivalence holds:

$$BU_1(C_u^*(|\mathbb{Z}^2|)) \\ \simeq \mathbb{Z} \times BU_\infty(\mathbb{C}) \times V_0 \times \prod_{n=1}^{\infty} (K(V_0, 2n) \times K(V_1, 2n-1))$$

for some (huge)  $\mathbb{Q}$ -vector spaces  $V_0$  and  $V_1$ .

- ▶ In fact, the inclusion  $U_1(C_u^*(|\mathbb{Z}^n|)) \rightarrow C^*(|\mathbb{Z}^n|)$  admits a homotopy section.
- ▶ What about for other infinite  $I$ ...?

- ▶ Tensor product of Hilbert bundles with ends modeled on  $l_1$  and  $l_2$  coincides with the operation

$$C_u^*(l_1) \otimes C_u^*(l_2) \rightarrow C_u^*(l_1 \times l_2).$$

How does it work on cohomologies?

- ▶ What does the geometric meaning of the characteristic class  $\beta_n \in H^{2n-1}(BU_{\text{fp}}(\mathbb{Z}); \mathbb{Q})$  ( $n \geq 2$ )?
- ▶ Are there any good applications of our bundles and their characteristic classes?

Thank you!