

# Cohen-Lenstra Heuristics for Relative Shafarevich-Tate Groups

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## Description

### Joint Work

- The contents of today's talk are works in progress

jointly with Kotaro SUGAHARA

# Relative Shafarevich-Tate Group

## Notations

- $K$ : number field,  $\mathcal{O}_K$ : ring of integers
- $G_K := \text{Gal}(\overline{K}/K)$ : absolute Galois group of  $K$
- $S(K) = S_{\text{fin}}$ : collection of inequivalent finite valuations
- $K_v$ :  $v$ -completion of  $K$ ,  $\mathcal{O}_v$ : maximal ideal of  $K_v$ ,  $v \in S_{\text{fin}}$
- $E/K$ : elliptic curve defined over  $K$
- $\mathcal{E} \rightarrow \text{Spec } \mathcal{O}_K$ : integral model of  $E/K$
- $E_v/K_v$ : special fiber over  $v \in S_{\text{fin}}$
- $E_{(v)}/k_{(v)}$ : reduction of  $E_v$  with  $k_{(v)}$  residue field of  $v$
- $\zeta_{E_{(v)}}(s)$ : Artin zeta function for  $E_{(v)}/k_{(v)}$
- $\zeta_{\mathcal{E}/K} = \prod_v \zeta_{E_{(v)}}(s)$ : Hasse-Weil zeta function for  $E/K$

## Relative Shafarevich-Tate Group

### Definition

- $L/K$ : finite Galois extension
- $E := E(\overline{K})$
- $w \in S(L)$ :  $w|v \in S(K)$
- $G_w := G_{L_w}$ : decomposition group at  $w$
- $\text{III}(E/L) := \text{Ker}\left(H^1(G_L, E) \rightarrow \prod_w H^1(G_w, E_v)\right)$

### Inflation-Restriction Exact Sequence

- $0 \rightarrow H^1(G_{L/K}, E(L)) \rightarrow H^1(G_K, E) \rightarrow H^1(G_L, E)$
- $0 \rightarrow H^1(G_{L_w/K_v}, E(L_w)) \rightarrow H^1(G_v, E_v) \rightarrow H^1(G_w, E_v)$
- Not easy to see the relative Shafarevich-Tate group  $\text{III}(E/L)$

# Pure torsion sheaves

## Pure Torsion Sheaves

- $X/K$ : arithmetic variety
- $\mathfrak{X}/\mathrm{Spec}\mathcal{O}_K$ : integral model
- $T_v := (T_{v,Q})_{Q \in X_{(v)}}$ : **pure torsion sheaf on  $X_{(v)}$**  if
  - (i)  $T_{v,Q}$  coherent torsion  $\mathcal{O}_{X_{(v)},Q}$  sheaf
  - (ii)  $\exists$  injection of bundle map  $\phi: V_1 \rightarrow V_2$  of same rank on  $X_{(v)}$  such that  $\mathrm{Coker}(\phi) = T_v$
  - (iii) for all but finitely many closed points  $Q$  of  $X_{(v)}$ ,  $T_{v,Q} = 0$
- $\mathrm{Aut}(T_v) = \mathrm{Aut}_{\mathcal{O}_{X_{(v)}}}(T_v)$ : auto group as  $\mathcal{O}_{X_{(v)}}$ -module
- $N(T_v) := \prod_Q \# T_{v,Q}$ : Norm of  $T_v$
- $T = (T_v)$ : torsion sheaves on  $\mathfrak{X}$  (**finitely many  $v$ : nontrivial**)
- $\mathrm{Aut}(T) := \prod_v \mathrm{Aut}(T_v)$ ,       $N(T) := \prod_v N(T_v)$

# Zeta functions for torsion sheaves

## Torsion Zeta Function

Torsion zeta function for  $X/K$ :

$$\zeta_{\mathfrak{X}}^T(s) := \sum_{T/\mathfrak{X}: \text{torsion sheaves}} \frac{1}{\#\text{Aut}(T)} \cdot N(T)^{-s}$$

## Theorem (Zetas and Strange Duality)

$$(i) \quad \zeta_{\mathfrak{X}}^T(s) = \prod_{k \geq 1} \zeta_X(s + k),$$

$$(ii) \quad \sum_{T_V/E_{(V)}} \frac{1}{\#\text{Aut}(T)} = \sum_{V/E_{(V)}} \frac{1}{\#\text{Aut}(V)}$$

w/  $T_V$ : torsion sheaves on  $E_{(V)}$  of degree  $n$ , i.e., norm  $q_V^n$

$V$ : rank  $n$  semi-stable bundles of degree 0 on  $E_{(V)}$

$\alpha$  and  $\beta$  invariants $\beta$  invariants (Weil-Siegel, Harder-Narasimhan) $\beta$  invariants for curves  $X/\mathbb{F}_q$ :

$$\beta_{X,n}(d) = \sum_V \frac{1}{\#\text{Aut}(V)}$$

w/  $V$ : rank  $n$  semi-stable bundles on  $X/\mathbb{F}_q$  of degree  $d \in \mathbb{Z}$ .

$$\beta_{X,n}(d) = \beta_{X,n}(0).$$

 $\alpha$  invariants (Weng)

$$\alpha_{X,n}(d) = \sum_V \frac{\#q_v^{h^0(E_{(v)}, V)} - 1}{\#\text{Aut}(V)}$$

w/  $V$ : rank  $n$  semi-stable bundles on  $X/\mathbb{F}_q$  of degree  $d \in \mathbb{Z}$ .



# Non-abelian zeta for elliptic curves and the RH

## Definition (Weng)

**Non-abelian zeta function** curves  $X/\mathbb{F}_q$ :

$$\zeta_{X,n}(s) = \sum_V \frac{\#q_v^{h^0(X,V)} - 1}{\#\text{Aut}(V)} \cdot q_v^{-s \cdot \deg V}$$

w/  $V$ : rank  $n$  semi-stable bundles on  $X$  of **degree**  $\in n\mathbb{Z}$

## Example

$X = E$ : an elliptic curve

$$\zeta_{E,n}(s) = \alpha_{E,n}(0) + \beta_{E,n}(0) \cdot \frac{(Q-1) \cdot T}{(1-T)(1-QT)}$$

with  $Q = q^n$ ,  $T = t^n$ ,  $t = q^{-s}$

# Non-abelian zeta for elliptic curves and the RH

## Theorem (Weng-Zagier)

- (WZ:  $E_{(v)}$ ; Sugahara:  $X/\mathbb{F}_q$ )

$$\alpha_{X,n+1}(0) = q^{n(g-1)} \cdot \beta_{X,n}(0).$$



$$\sum_{n \geq 0} \beta_{E_{(v)},n}(0) \cdot q_v^{-ns} = \prod_{k \geq 1} \zeta_{E_{(v)}}(s+k)$$



$$1 < \frac{\beta_{E_{(v)},n}(0)}{\beta_{E_{(v)},n-1}(0)} < \frac{q^{n/2} + 1}{q^{n/2} - 1}$$

- The Riemann Hypothesis holds for  $\zeta_{E_{(v)},n}(s)$ .

# Elliptic Average

## Elliptic Average

- $E/\mathbb{Q}$ : elliptic curve
- $f$ :  $\mathbb{C}$ -valued function on iso classes of finite groups
- 

$$\mathcal{M}_{E,r}(f) := \lim_{x \rightarrow \infty} \frac{\sum_{|D_L| \leq x} f(\text{III}(E/L))}{\sum_{|D_L| \leq x} 1}$$

w/  $L$ : quadratic field satisfying  $\text{rk}(E(L)) = r$

## Torsion Sheaf Average: Special Case

- $F$ :  $\mathbb{C}$ -valued function on iso classes of torsion sheaves
- 

$$M_0(F) := \lim_{x \rightarrow \infty} \frac{\sum_{N(T) \leq x} \frac{1}{\#\text{Aut}(T)} \cdot F(T)}{\sum_{N(T) \leq x} \frac{1}{\#\text{Aut}(T)}}$$

## Torsion Sheaf Average

### Torsion Sheaf Average: General Case

- $E/\mathbb{Q}$ : elliptic curve
- $r \in \mathbb{Z}_{\geq 0}$
- $F$  :  $\mathbb{C}$ -valued function on iso classes of torsion sheaves
- 

$$M_r(F) := \lim_{x \rightarrow \infty} \frac{\sum_{N(T) \leq x} \frac{1}{\#\text{Aut}(T)} \cdot \sum_{\varphi: \mathcal{O}^r \rightarrow T} F(T/\text{Im}\varphi)}{\sum_{N(T) \leq x} \frac{1}{\#\text{Aut}(T)}}$$

### Probabilities

$F$ : **characteristic function** of certain property  $P$ ,

$\implies M_r(F) =$ : the probability of  $P$

## Heuristics for $\text{III}(E/L)$

### Heuristic Assumption for $\text{III}(E/L)$

$\exists$  natural  $f \mapsto F$  for certain restricted type  $f$   
s.t. if  $E/\mathbb{Q}$  is an elliptic curve

$$\mathcal{M}_{E,r}(f) = M_r(F)$$

## Example

## Example

## Example

- $f_0$ : characteristic function of the odd part of  $G$  being acyclic
- $F_0$ : characteristic function of the odd part of  $T$  being simple
- $T = (T_{v,Q})$  is called simple if  $T_{v,Q} = \mathcal{O}_{v,Q}/\mathfrak{m}_{v,Q}^{n_{v,Q}}$

## Theorem

Under the Heuristic Assumption, for a fixed  $E/\mathbb{Q}$ ,  
 the probability of  $\text{III}^{\text{odd}}(E/L)$  being cyclic  
 among quadratic extensions  $L/\mathbb{Q}$  satisfying  $\text{rank}(E(L)) = 0$  is

$$\left( \prod_{p: \text{bad}} \frac{\zeta_{E(p)}(6)}{\zeta_{E(p)}(2)\zeta_{E(p)}(3)} \right) \cdot \frac{\zeta_E(2)\zeta_E(3)}{\zeta_E(6)} \cdot C_\infty(E)$$

$$\text{w/ } C_\infty(E) := \text{Res}_{s=0} \prod_{k \geq 1} \zeta_E(s+k)$$

# Why Torsions (I)

## Torsion points and Bundles

Rank two s. stable bundles  $V$  of determinant  $\mathcal{O}_E$ :

- Over  $\overline{\mathbb{F}_q}$ :  $\text{Gr}(V) = \lambda \oplus \lambda^{-1}$ ,  $\lambda/\overline{\mathbb{F}_q}$
- Over  $\mathbb{F}_q$ 
  - (i.a)  $V = \lambda_2 \oplus \lambda_2$       (i.b)  $V = I_2 \otimes \lambda_2$ ,  $\lambda_2 \in E(\mathbb{Q})[2]$
  - (ii)  $V = \lambda \oplus \lambda^{-1}$ ,  $\lambda \neq \lambda^{-1}$ ,  $\lambda \in \text{Pic}^0(E)(\mathbb{Q})$
  - (iii)  $V = \lambda \oplus \lambda^\sigma$ ,  $\lambda^\sigma = \lambda^{-1}$ ,  $\lambda \in \text{Pic}^0(E)(L) \setminus \text{Pic}^0(E)(\mathbb{Q})$

## Bundles and Torsion Sheaves

**Strange Duality:** Over elliptic curve  $E_{(v)}/\mathbb{F}_{q_v}$

$$\sum_{T_v, \deg(T_v)=n} \frac{1}{\#\text{Aut}(T_v)} = \sum_{V: \text{s. stable rk}=n, \deg=0} \frac{1}{\#\text{Aut}(V)}.$$

## Why Torsions (II)

### Cohen-Lenstra: Number Fields

$$\sum_G \frac{1}{|\text{Aut } G|} \cdot |G|^{-s} = \prod_{k \geq 1} \zeta(s+k).$$

### Torsion Zeta: Varieties

$$\sum_{T/\mathfrak{x}} \frac{1}{|\text{Aut}(T)|} \cdot N(T)^{-s} = \prod_{k \geq 1} \zeta_{\mathfrak{x}}(s+k).$$



# Why Relative Shafarevich-Tate

## BSD Conjecture

$\text{rk } E(\mathbb{Q}) = \text{ord}_{s=1} \zeta_{\mathcal{E}}(s) =: r$  and

$$\lim_{s \rightarrow 1} \frac{1}{(s-1)^r} \cdot \zeta_{\mathcal{E}}(s) = \# \text{III}(E/\mathbb{Q}) \cdot \frac{R_E \cdot \text{Tam}(E) \cdot \Omega_E^+}{\# E(\mathbb{Q})_{\text{tor}}}.$$

## Dedekind Theorem

$$\lim_{s \rightarrow 1} (s-1) \zeta_K(s) = \# \text{CL}(K) \cdot \frac{2^{r_1} (2\pi)^{r_2} R}{w \sqrt{|D_K|}}.$$

# Cohen-Lenstra: Number Fields

## Cohen-Lenstra: Number Fields

Rank of the unit group of the field  $L = \mathbb{Q}(\sqrt{D})$  plays a key role

## Number Fields versus Elliptic Curves: Zeta Analogues

Number Field:  $w$  versus  $E(\mathbb{Q})_{\text{tor}}$ : Elliptic Curves

$\implies$  Unit group versus Mordell-Weil Group

## Our Heuristics: Elliptic Curves

Rank of Mordell-Weil group  $E(L = \mathbb{Q}(\sqrt{D}))$  should play key role

Thank You

**Thank You**

# THANK YOU!

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