

Geometric Norm Equality Related to  
the Harmonicity of the Poisson–Hua Kernel  
for Homogeneous Siegel Domains

Takaaki NOMURA

(Kyoto University)

Twente

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## Motivation of this work

$D$  : a Homogeneous Siegel domain

$\Sigma$  : the Shilov boundary of  $D$

$P(z, \xi)$  ( $z \in D, \xi \in \Sigma$ ) :

the Poisson kernel of  $D$  defined à la Hua

$\mathcal{L}$  : the Laplace–Beltrami operator of  $D$

(with respect to the Bergman kernel)

Theorem (Hua-Look ('59), Korányi ('65), Xu ('79))

$$\mathcal{L}P(\cdot, \xi) = 0 \quad \forall \xi \in \Sigma \iff D : \text{symm.}$$

$D$  : symmetric

$$\underset{\text{def}}{\iff} \forall z \in D, \exists \sigma_z \in \text{Hol}(D) \text{ s.t.}$$

$$\begin{cases} \sigma_z^2 = \text{identity,} \\ z \text{ is an isolated fixed point of } \sigma_z. \end{cases}$$

[ $\Leftarrow$ ] well known

- **Hua-Look** : direct and case-by-case computation for 4 classical domains
- **Korányi** : stronger result for general *symmetric* domains

$P(\cdot, \xi)$  is annihilated by *any*  $\text{Hol}(D)^\circ$ -invariant differential operator without const. term

( $\text{Hol}(D)^\circ$  is semisimple for symmetric  $D$ )

[ $\Rightarrow$ ] less known

- **Lu Ru-Qian** : An example of non-symmetric Siegel domain for which  $P(\cdot, \xi)$  is *not* killed by  $\mathcal{L}$  (Chinese Math. Acta, **7** (1965))
- **Xu Yichao** : though the proof is hardly traceable at least for me

- (1) Needs to understand his own theory of “ $N$ -Siegel domains”,
- (2) Some of cited papers of his are written in Chinese not available in English.

The purpose of this talk (my contribution)

Wants to know a geometric reason that the theorem is true

( $\rightarrow$  geometric relationship with a Cayley transform)

- Connection with a geometric property of a bounded model of homogeneous Siegel domains

Validity of some norm equality

$\iff$  Symmetry of the domain

Specialists' folklore

There is *no* canonical bounded model for non-(quasi)symmetric Siegel domains.

My standpoint

Appropriate bounded model varies with problems one treats.

- Canonical bounded model for symmetric Siegel domains

..... Harish-Chandra model

of a Hermitian symmetric space  
 ( Open unit ball of a positive Hermitian JTS  
 w.r.t the spectral norm )

- Canonical bounded model for quasisymmetric Siegel domains

..... by Dorfmeister (1980)

Image of a Siegel domain under the Cayley transform

naturally defined in terms of Jordan algebra structure

(requires a proof for the bddness of the image, of course)

- For general homogeneous Siegel domains

We can consider

- Cayley transf. assoc. to the Szegő kernel  
(N, today's talk)
- Cayley transf. assoc. to the Bergman kernel  
(N, JLT, 2001)
- Cayley transf. assoc. to the char. ftn of the cone  
(R. Penney, 1996)

etc. . .

- ♣ More generally, one can define a family of Cayley transform parametrized by admissible linear forms  
(N, to appear in Diff. Geom. Appl.)

## Siegel Domains

$V$  : a real vector space

$\cup$

$\Omega$  : a regular open convex cone

( $\overset{\text{def}}{\iff}$  contains *no* entire line)

$W := V_{\mathbb{C}}$  ( $w \mapsto w^*$  : conjugation w.r.t.  $V$ )

$U$  : another *complex* vector space

$Q : U \times U \rightarrow W$ , Hermitian sesquilinear  $\Omega$ -positive

$$\text{i.e., } \begin{cases} Q(u', u) = Q(u, u')^* \\ Q(u, u) \in \overline{\Omega} \setminus \{0\} \quad (0 \neq \forall u \in U) \end{cases}$$

$$D := \{(u, w) \in U \times W ; w + w^* - Q(u, u) \in \Omega\}$$

Siegel domain (of type II)

Assume that  $D$  is **homogeneous**

*i.e.*,  $\text{Hol}(D) \curvearrowright D$  transitively

- If  $U = \{0\}$ , then  $D = \Omega + iV$ .  
(tube domain or type I domain)

$\exists G : \text{split solvable} \curvearrowright D$  simply transitively

$\mathfrak{g} := \text{Lie}(G)$  has a structure of normal  $J$ -algebra.

(Pjatetskii-Shapiro)

$$\left\{ \begin{array}{l} \exists J : \text{integrable almost complex structure on } \mathfrak{g} \\ \exists \omega : \text{admissible linear form on } \mathfrak{g}, \text{ i.e.,} \\ \langle x|y \rangle_{\omega} := \langle [Jx, y], \omega \rangle \text{ defines a } J\text{-invariant} \\ \text{inner product on } \mathfrak{g}. \end{array} \right.$$

Example (Koszul '55). Koszul form.

$$\langle x, \beta \rangle := \text{tr}(\text{ad}(Jx) - J \text{ad}(x)) \quad (x \in \mathfrak{g}).$$

$\beta$  is admissible

- In fact,  $\langle x|y \rangle_{\beta}$  is the real part of the Hermitian inner product defined by the Bergman metric on  $D \approx G$  (up to a positive scalar multiple).

## Pseudoinverse assoc. with the Szegő kernel

$\mathcal{S}$  : the Szegő kernel of  $D$   
(= reprod. kernel of the Hardy space)

Hardy space  $H^2(D)$

holomorphic functions  $F$  on  $D$  such that

$$\sup_{t \in \Omega} \int_U \int_V |F(u, t + \frac{1}{2}Q(u, u) + ix)|^2 dx dm(u) < \infty$$

$\exists \eta$  : holomorphic on  $\Omega + iV$  such that

$$\mathcal{S}(z_1, z_2) = \eta(w_1 + w_2^* - Q(u_1, u_2))$$

$(z_j = (u_j, w_j) \in D)$

In more detail

$\exists H \subset G$  : s.t.  $H \curvearrowright \Omega$  simply transitively

$E \in \Omega$  (base point; virtual identity matrix)

Then  $H \approx \Omega$  (diffeo) by  $h \mapsto hE$ .

For each  $\chi : H \rightarrow \mathbb{R}_+^\times$  one dim. repre.

define  $\Delta_\chi$  on  $\Omega$  by

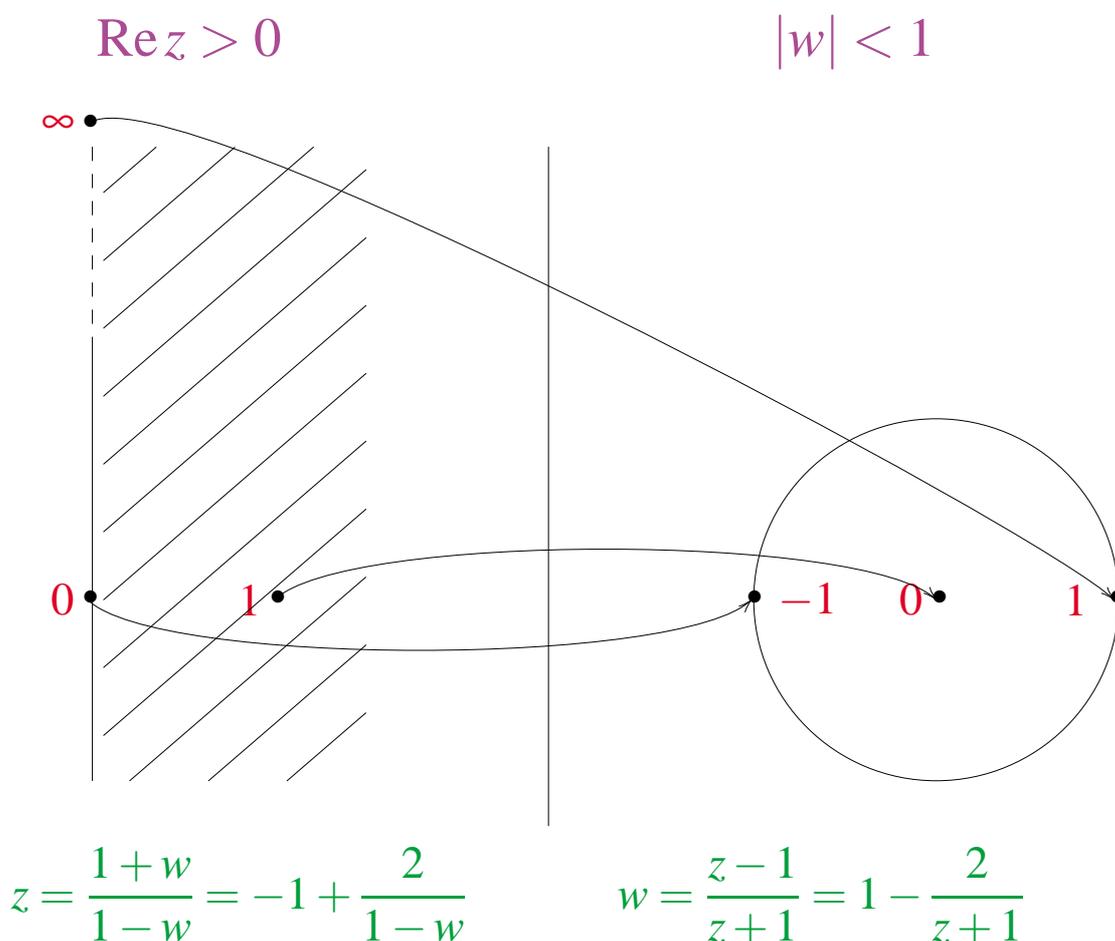
$$\Delta_\chi(hE) := \chi(h) \quad (h \in H)$$

- $\Delta_\chi$  extends to a holomorphic function on  $\Omega + iV$  as the Laplace transform of the Riesz distribution on the dual cone  $\Omega^*$  (Gindikin, Ishi (J. Math. Soc. Japan, 2000)), where

$$\Omega^* := \{\xi \in V^* ; \langle x, \xi \rangle > 0 \ \forall x \in \overline{\Omega} \setminus \{0\}\}.$$

<ul style="list-style-type: none"><li>• <math>\exists \chi, \exists c &gt; 0</math> s.t. <math>\eta = c \Delta_\chi</math></li></ul>
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## Cayley transform



If one puts in a complex semisimple Jordan algebra

$$z = \frac{e+w}{e-w}, \quad w = \frac{z-e}{z+e},$$

then the above figure is the case for symmetric tube domains.

- In general, if one can define something like  $(z+1)^{-1}$  (denominator), one has a Cayley transform by  $1 - 2(z+1)^{-1}$  for tube domains.

For each  $x \in \Omega$ , define  $\mathcal{I}(x) \in V^*$  by

$$\langle v, \mathcal{I}(x) \rangle := -D_v \log \eta(x)$$

$$(D_v f(x) := \left. \frac{d}{dt} f(x + tv) \right|_{t=0})$$

- $\mathcal{I}(\lambda x) = \lambda^{-1} \mathcal{I}(x) \quad (\lambda > 0)$

**Prop.** (1)  $\mathcal{I}(x) \in \Omega^*$  and  $\mathcal{I} : \Omega \rightarrow \Omega^*$  is bij.

(2)  $\mathcal{I}$  extends analytically to a rational map  $W \rightarrow W^*$ .

(3) One also has an explicit formula for  $\mathcal{I}^{-1} : \Omega^* \rightarrow \Omega$ , which continues analytically to a rational map  $W^* \rightarrow W$ .

Thus  $\mathcal{I}$  is **birational**.

(4)  $\mathcal{I} : \Omega + iV \rightarrow \mathcal{I}(\Omega + iV)$  is biholo.

Remark. If  $\chi : H \rightarrow \mathbb{R}_+^\times$  is defined in a natural way by an admissible linear form, then the above proposition holds for  $\mathcal{I} = \mathcal{I}_\chi$  [N, to appear in Diff. Geom. Appl.].

## Cayley transform

$$E^* := \mathcal{I}(E) \in \Omega^*.$$

$$C(w) := E^* - 2\mathcal{I}(w + E) \quad \text{for tube domains}$$

$$\mathcal{C}(u, w) := \underbrace{2\langle Q(u, \cdot), \mathcal{I}(w + E) \rangle}_{\in U^\dagger} \oplus \underbrace{C(w)}_{\in W^*}$$

$U^\dagger$  : the space of antilinear forms on  $U$

Prop. (1)  $\mathcal{C} : D \rightarrow \mathcal{C}(D)$  is birational and biholomorphic.

(2)  $\mathcal{C}^{-1}$  can be written explicitly.

Theorem [N].  $\mathcal{C}(D)$  is bounded  
(in  $U^\dagger \oplus W^*$ ).

Remark. (1)  $C_\chi$  and  $\mathcal{C}_\chi$  can be defined similarly from  $\mathcal{I}_\chi$ . One can prove that  $\mathcal{C}_\chi(D)$  is bounded [N].

(2) For general  $\chi$ ,  $\mathcal{C}_\chi(D)$  for symmetric  $D$  is *not* the standard Harish-Chandra model of a Hermitian symmetric space.

## Norm equality

$e := (0, E) \in D$  : base point

$\langle x | y \rangle_\omega$  :  $J$ -inv. inner prod. on  $\mathfrak{g}$

$\rightsquigarrow$  Upon  $G \equiv D$  by  $g \mapsto g \cdot e$ , we have

Hermitian inner prod. on  $T_e(D) \equiv U \oplus W$

$\rightsquigarrow$  Herm. inner prod.  $(\cdot | \cdot)_\omega$  and norm  $\|\cdot\|_\omega$   
on the 'dual' vector space  $U^\dagger \oplus W^*$ .

$\Sigma$  : the Shilov boundary of  $D$

$$\Sigma = \{ (u, w) \in U \times W ; 2\operatorname{Re} w = Q(u, u) \}$$

•  $\Psi_\omega \in \mathfrak{g}$  :  $\operatorname{tr}(\operatorname{ad}(x)) = \langle x | \Psi_\omega \rangle_\omega$  ( $\forall x \in \mathfrak{g}$ )

$$S(z_1, z_2) = \eta(w_1 + w_2^* - Q(u_1, u_2)) \text{ with } \eta = c \Delta_\chi$$
$$\Delta_\chi(hE) = \chi(h) = e^{-\langle \log h, \alpha \rangle} \quad (\alpha \in \mathfrak{h}^* \subset \mathfrak{g}^*).$$

### Theorem [N].

$$\|\mathcal{C}(\xi)\|_\omega^2 = \langle \Psi_\omega, \alpha \rangle \text{ for } \forall \xi \in \Sigma$$

$$\iff D \text{ is symm. and } \omega|_{[\mathfrak{g}, \mathfrak{g}]} = \gamma \cdot \beta|_{[\mathfrak{g}, \mathfrak{g}]} \quad (\gamma > 0).$$

$\langle x, \beta \rangle = \operatorname{tr}(\operatorname{ad}(Jx) - J \operatorname{ad}(x))$  : Koszul form

$\langle x | y \rangle_\omega$  inner prod. on  $\mathfrak{g}$

$\rightsquigarrow$  left invariant Riemannian metric on  $G$

$\rightsquigarrow$  Laplace–Beltrami operator  $\mathcal{L}_\omega$  on  $G$

Upon  $G \equiv D$  by  $g \mapsto g \cdot e$ ,

we have, for  $\omega = \beta$ ,  $\mathcal{L}_\beta = c' \mathcal{L}$  ( $c' > 0$ )

( $\mathcal{L}$  : Laplace–Beltrami operator  $\leftrightarrow$  the Bergman metric of  $D$ ).

Prop (Urakawa '79).  $\mathcal{L}_\omega = -\Lambda + \Psi_\omega.$

- $\Lambda := X_1^2 + \cdots + X_{\dim \mathfrak{g}}^2 \in U(\mathfrak{g}),$
- $\{X_1, \dots, X_{\dim \mathfrak{g}}\}$  is an ONB of  $\mathfrak{g}$  w.r.t.  $\langle \cdot | \cdot \rangle_\omega$   
( $\Lambda$  is independent of choice of ONB.)
- $\langle \cdot | \Psi_\omega \rangle_\omega = \text{trad}(\cdot),$
- Elements of  $U(\mathfrak{g})$  are regarded as left invariant differential operators on  $G$  — thus if  $X \in \mathfrak{g},$

$$Xf(x) = \left. \frac{d}{dt} f(x \exp tX) \right|_{t=0}.$$

## Poisson kernel

$S(z_1, z_2)$  : the Szegő kernel of the Siegel domain  $D$

We know

$$S(z_1, z_2) = \eta(w_1 + w_2^* - Q(u_1, u_2)), \quad \eta = c \Delta_\chi.$$

$S(z, \xi)$  for  $z \in D$  and  $\xi \in \Sigma$  has a meaning.

$$P(z, \xi) := \frac{|S(z, \xi)|^2}{S(z, z)} \quad (z \in D, \xi \in \Sigma) :$$

the Poisson kernel of  $D$

$$P_\xi^G(g) := P(g \cdot e, \xi) \quad (g \in G).$$

### Theorem [N].

$$\mathcal{L}_\omega P_\xi^G(e) = (-\|\mathcal{L}(\xi)\|_\omega^2 + \langle \Psi_\omega, \alpha \rangle) P_\xi^G(e),$$

where  $\alpha$  is related to  $\chi$  by  $\chi(\exp T) = e^{-\langle T, \alpha \rangle}$ .

Remark. By  $P(g \cdot z, \xi) = \chi(g)P(z, g^{-1} \cdot \xi)$  ( $g \in G$ ),

$$\mathcal{L}_\omega P_\xi^G = 0 \quad \forall \xi \in \Sigma \iff \mathcal{L}_\omega P_\xi^G(e) = 0 \quad \forall \xi \in \Sigma.$$

Theorem.  $\mathcal{L}_\omega P_\xi^G = 0$  for  $\forall \xi \in \Sigma$

$\iff D$  is symm. and  $\omega|_{[\mathfrak{g}, \mathfrak{g}]} = \gamma \cdot \beta|_{[\mathfrak{g}, \mathfrak{g}]}$  ( $\gamma > 0$ ).

## Validity of the norm equality for symmetric $D$ ( $\omega = \beta$ )

$D$  : symmetric  $\implies \mathcal{D} := \mathcal{C}(D)$  is the Harish-Chandra model of a Hermitian symmetric space

In particular,  $\mathcal{D}$  is circular (Note  $\mathcal{C}(e) = 0$ ).

$G := \text{Hol}(\mathcal{D})^\circ$  : semisimple Lie gr. (with trivial center)

$K := \text{Stab}_G(0)$  : maximal cpt subgr. of  $G$

Circularity of  $\mathcal{D}$  ( $\implies K$  is linear)  
+  $K$ -inv. of the Bergman metric  
 $\implies K \subset \text{Unitary group}$

$$\begin{cases} \mathcal{C} : \Sigma \ni 0 \mapsto -E^*, \\ \text{Shilov boundary } \Sigma_{\mathcal{D}} \text{ of } \mathcal{D} = K \cdot (-E^*). \end{cases}$$

Since  $\Sigma_{\mathcal{D}}$  is also a  $G$ -orbit  $\Sigma_{\mathcal{D}} = G \cdot (-E^*)$  and since  $\Sigma$  is an orbit of a nilpotent subgroup of  $G \subset \text{Hol}(D)^\circ$ , we get

$$\begin{aligned} \mathcal{C}(\Sigma) &\subset G \cdot (-E^*) = \Sigma_{\mathcal{D}} \\ &= K \cdot (-E^*) \\ &\subset \{z ; \|z\|_\beta = \|E^*\|_\beta\}. \end{aligned}$$

We see easily that  $\|E^*\|_\beta^2 = \langle \Psi_\beta, \alpha \rangle$  in this case.

## Norm equality $\implies$ symmetry of $D$

Assumption :  $\|\mathcal{C}(\zeta)\|_\omega^2 = \langle \Psi_\omega, \alpha \rangle$  for  $\forall \zeta \in \Sigma$ .

### (1) Reduction to a quasisymmetric domain

$\kappa$  : the Bergman kernel of  $D$

$$\left( \begin{array}{l} \kappa(z_1, z_2) = \eta_0(w_1 + w_2^* - Q(u_1, u_2)), \\ \exists \chi_0 : H \rightarrow \mathbb{R}_+^\times, \exists c_0 > 0 \text{ s.t. } \eta_0 = c_0 \Delta_{\chi_0}, \\ \Delta_{\chi_0}(hE) = \chi_0(h) : \Delta_{\chi_0} \rightsquigarrow \text{hol. ftn on } \Omega + iV \end{array} \right)$$

$\langle x|y \rangle_\kappa := D_x D_y \log \Delta_{\chi_0}(E)$  : inner prod. of  $V$

Def.  $D = D(\Omega, Q)$  is *quasisymmetric*

$\underset{\text{def}}{\iff} \Omega$  is selfdual w.r.t.  $\langle \cdot | \cdot \rangle_\kappa$ .

Define a non-associative prod.  $xy$  in  $V$  by

$$\langle xy|z \rangle_\kappa = -\frac{1}{2} D_x D_y D_z \log \Delta_{\chi_0}(E).$$

Prop. (Dorfmeister, D'Atri, Dotti, Vinberg).

$D$  is quasisymmetric  $\iff$  prod.  $xy$  is Jordan.

In this case,  $V$  is a Euclidean Jordan algebra.

$$\mathfrak{g} = \mathfrak{a} \ltimes \mathfrak{n}$$

$\mathfrak{a}$  : abelian,     $\mathfrak{n}$  : sum of  $\alpha$ -root spaces

(positive roots only)

Possible forms of roots:

$$\frac{1}{2}(\alpha_k \pm \alpha_j) \ (j < k), \quad \alpha_k, \quad \frac{1}{2}\alpha_k$$

Always  $\dim \mathfrak{g}_{\alpha_k} = 1 \ (\forall k)$ .

Prop. (D'Atri and Dotti '83;  $D$  : irred.)

$D$  is quasisymmetric

$$\iff \begin{cases} (1) \dim \mathfrak{g}_{(\alpha_k + \alpha_j)/2} \text{ is indep. of } j, k, \\ (2) \dim \mathfrak{g}_{\alpha_k/2} \text{ is indep. of } k. \end{cases}$$

Extend  $\langle \cdot | \cdot \rangle_{\kappa}$  to a  $\mathbb{C}$ -bilinear form on  $W \times W$ .

$$(u_1 | u_2)_{\kappa} := \langle Q(u_1, u_2) | E \rangle_{\kappa}$$

defines a Hermitian inner product on  $U$ .

For each  $w \in W$ , define  $\varphi(w) \in \text{End}_{\mathbb{C}}(U)$  by

$$(\varphi(w)u_1 | u_2)_{\kappa} = \langle Q(u_1, u_2) | w \rangle_{\kappa}.$$

Clearly  $\varphi(E) = \text{identity operator on } U$ .

Prop. (Dorfmeister).  $D$  is quasisymmetric

$\implies w \mapsto \varphi(w)$  is a Jordan  $*$ -repre. of  $W = V_{\mathbb{C}}$

$$\begin{cases} \varphi(w^*) = \varphi(w)^*, \\ \varphi(w_1 w_2) = \frac{1}{2}(\varphi(w_1)\varphi(w_2) + \varphi(w_2)\varphi(w_1)). \end{cases}$$

(2) Reduction : quasisymm  $\implies$  symm

Quasisymmetric Siegel domain

$$\leftrightarrow \begin{cases} \text{Euclidean Jordan algebra } V \text{ and} \\ \text{Jordan } * \text{-representation } \varphi \text{ of } W = V_{\mathbb{C}}. \end{cases}$$

Symmetric Siegel domain

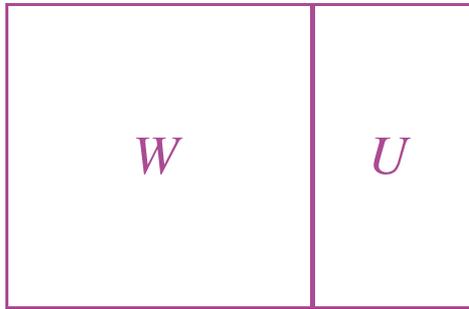
$\leftrightarrow$  Positive Hermitian JTS

The following strange formula fills the gap:

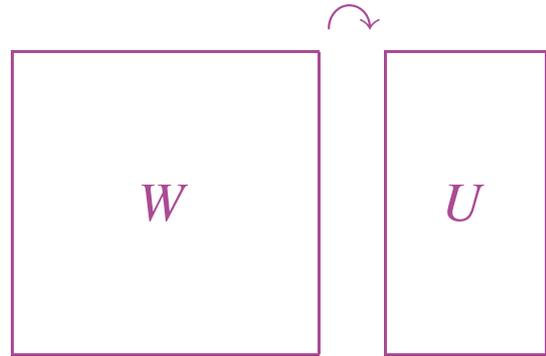
$$\varphi(w)\varphi(Q(u, u'))u = \varphi(Q(\varphi(w)u, u'))u,$$

where  $u, u' \in U$  and  $w \in W$ .

$$Z = W \oplus U$$



natural action



complex semisimple

Jordan algebra  $\ast$ -repre. of  $W$

Jordan algebra

$$W = V_{\mathbb{C}}$$

with  $V$  Euclidean JA

Prop. (Satake). Quasisymm.  $D$  is symm.

$\iff V$  and  $\varphi$  come from a positive Hermitian  
JTS this way.

**Definition of triple product:**  $z_j = (u_j, w_j)$  ( $j = 1, 2, 3$ ),

$\{z_1, z_2, z_3\} := (u, w)$ , where

$$\begin{aligned} u &:= \frac{1}{2}\varphi(w_3)\varphi(w_2^*)u_1 + \frac{1}{2}\varphi(w_1)\varphi(w_2^*)u_3 \\ &\quad + \frac{1}{2}\varphi(Q(u_1, u_2))u_3 + \frac{1}{2}\varphi(Q(u_3, u_2))u_1, \\ w &:= (w_1w_2^*)w_3 + w_1(w_2^*w_3) - w_2^*(w_1w_3) \\ &\quad + \frac{1}{2}Q(u_1, \varphi(w_3^*)u_2) + \frac{1}{2}Q(u_3, \varphi(w_1^*)u_2). \end{aligned}$$

Prop. (Dorfmeister).

Irreducible quasisymmetric  $D$  is symmetric

$\iff \exists f_1, \dots, f_r$ : Jordan frame of  $V$  s.t.  
with  $U_k := \varphi(f_k)U$  we have

$$\varphi(Q(u_1, u_2))u_1 = 0$$

for  $\forall u_1 \in U_1$  and  $\forall u_2 \in U_2$ .

In a similar way

Theorem [N; Diff. Geom. Appl., 15-1 (2001)].

Berezin transforms on  $D$  commute with  $\mathcal{L}_\omega$

$\iff D$  is symmetric and

$$\omega|_{[\mathfrak{g}, \mathfrak{g}]} = \gamma \cdot \beta_{[\mathfrak{g}, \mathfrak{g}]} \quad (\gamma > 0).$$

Related norm equality

$\mathcal{C}_B$  : Cayley transf. assoc. to the Bergman kernel.

Theorem [N; Transform. Groups, 6-3 (2001)].

$\|\mathcal{C}_B(g \cdot e)\|_\omega = \|\mathcal{C}_B(g^{-1} \cdot e)\|_\omega$  holds for  $\forall g \in G$

$\iff D$  is symmetric and

$$\omega|_{[\mathfrak{g}, \mathfrak{g}]} = \gamma \cdot \beta_{[\mathfrak{g}, \mathfrak{g}]} \quad (\gamma > 0).$$