



# Lifting Special Generic Maps

Osamu Saeki

(**I**nstitute of **M**athematics for **I**ndustry, Kyushu University)







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(Institute of Mathematics for Industry, Kyushu University)  
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Joint work with **Masamichi Takase** (Seikei University)

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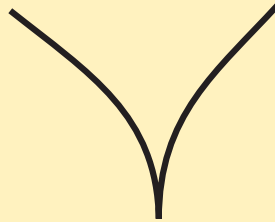


# §1. Lifting Singular Maps

# Desingularizing a singular curve

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

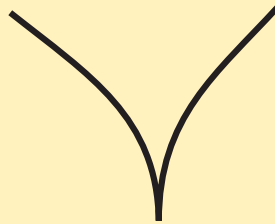
This is a **singular** plane curve.



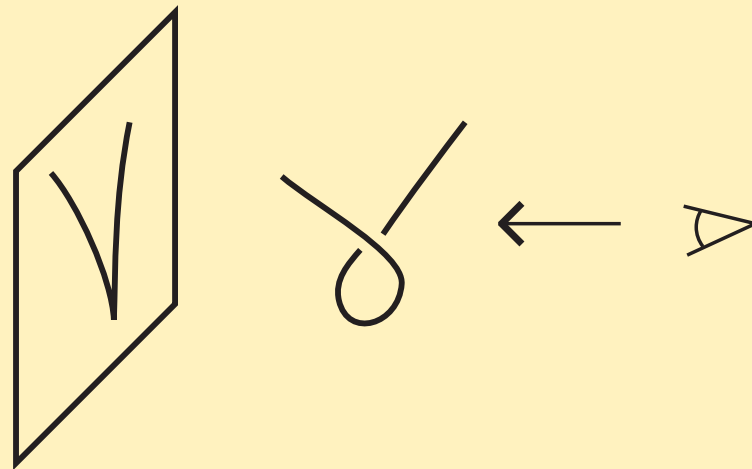
# Desingularizing a singular curve

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

This is a **singular** plane curve.



But, this can be the projected image of a **non-singular** space curve.



# Lifting problem

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

$M^n$ : **closed**  $n$ -dim.  $C^\infty$  manifold,  
 $f : M^n \rightarrow \mathbf{R}^p$  a **generic**  $C^\infty$  map ( $n \geq p$ ).

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For  $m > n \geq p$ ,  $\pi : \mathbf{R}^m \rightarrow \mathbf{R}^p$  will denote the **standard projection**.

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## Problem 1.1

$$\begin{array}{ccc} & & \mathbf{R}^m \\ & & \downarrow \pi \\ M^n & \xrightarrow{f} & \mathbf{R}^p \end{array}$$

# Lifting problem

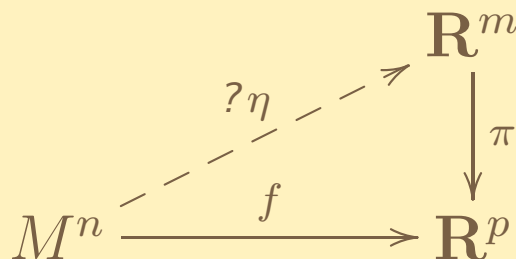
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## Problem 1.1



$\eta$ : **immersion** or **embedding**

# Surface case

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 1.2 (Haefliger, 1960)**  $f : M^2 \rightarrow \mathbf{R}^2$

$\exists$  **immersion**  $\eta : M^2 \rightarrow \mathbf{R}^3$  s.t.  $f = \pi \circ \eta$

$\iff$  For every singular set component  $S (\cong S^1)$  of  $f$ :  
if  $S$  has an annulus nbhd,  $S$  contains an even number of cusps,  
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**Theorem 1.3 (M. Yamamoto, 2007)**  $f : M^2 \rightarrow \mathbf{R}^2$

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**Theorem 1.4 (Burlet–Haab, 1985)**  $f : M^2 \rightarrow \mathbf{R}$  Morse

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# Equi-dimensional case

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 1.5 (Saito, 1961)**  $M^n$ : **orientable**

$f : M^n \rightarrow \mathbf{R}^n$  *special generic map*

*There always exists an **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$ .*

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**Theorem 1.6 (Blank–Curley, 1985)**

$f : M^n \rightarrow N^n$ ,  $\pi : E \rightarrow N^n$  *line bundle*

$\exists$  **immersion**  $\eta : M^n \rightarrow E$  s.t.  $f = \pi \circ \eta$

$\iff \text{rk } df \geq n - 1$ , *and*

$[\{\text{cusps}\}]^* + w_1(\nu) + i^* f^* w_1(E) = 0$  *in*  $H^1(\{\text{folds}\}; \mathbf{Z}_2)$ ,

*where  $\nu$  is the normal line bundle of  $\{\text{folds}\}$  in  $M^n$  and*

*$i : \{\text{folds}\} \rightarrow M^n$  is the inclusion.*

# Special generic maps

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

Today's topic:

Desingularization of **special generic maps**.

(Lifting special generic maps to **immersions** and **embeddings**.)

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**Definition 1.7** A singularity of a  $C^\infty$  map  $M^n \rightarrow N^p$ ,  $n \geq p$ , that has the normal form

$$(x_1, x_2, \dots, x_n) \mapsto (x_1, x_2, \dots, x_{p-1}, x_p^2 + x_{p+1}^2 + \dots + x_n^2)$$

is called a **definite fold singularity**.

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is called a **definite fold singularity**.

**Definition 1.8**  $f : M^n \rightarrow N^p$  is a **special generic map** (**SGM**, for short) if it has **only definite fold singularities**.

# Examples

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

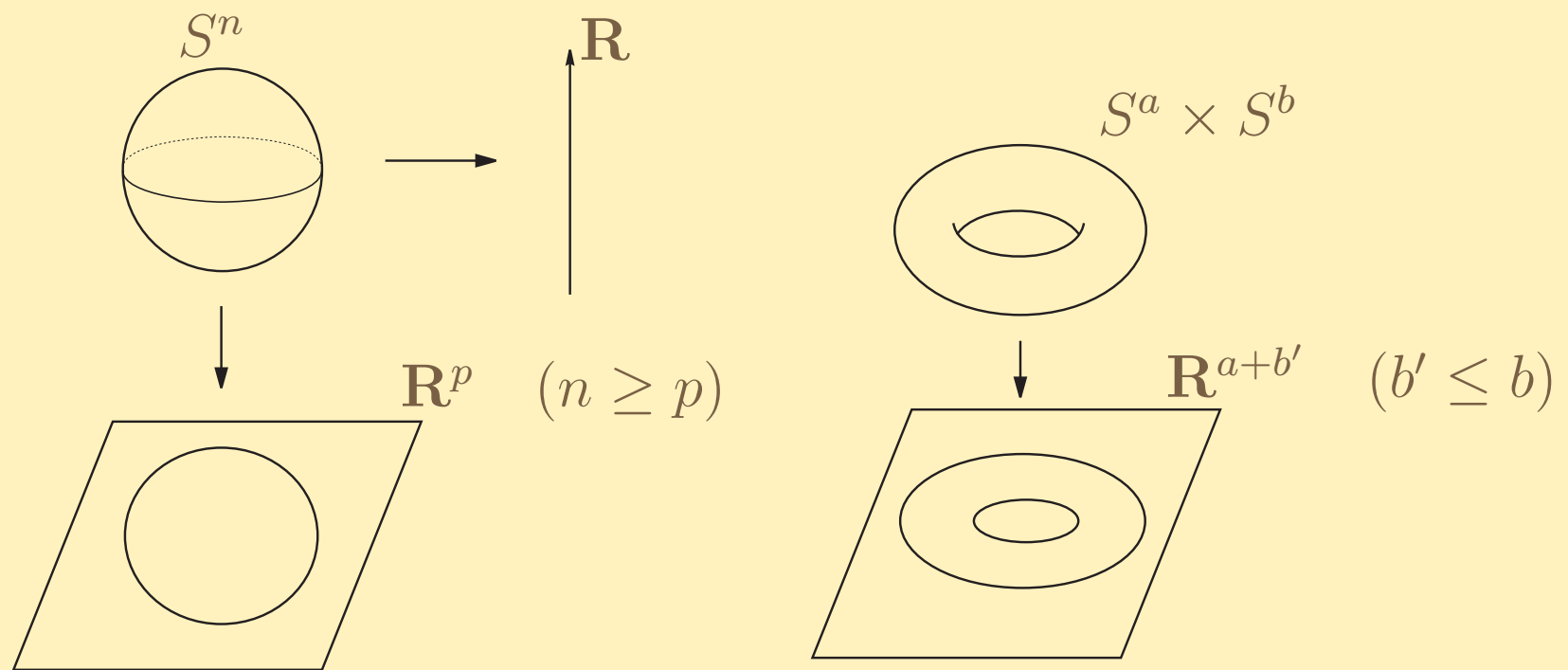


Figure 1: Examples of special generic maps

## §2. Lifting Special Generic Functions

# Special generic functions

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

## Theorem 2.1 (Reeb, Smale, Cerf et al)

$M^n$ : closed connected  $n$ -dim.  $C^\infty$  manifold

$\exists$  special generic function  $M^n \rightarrow \mathbf{R}$

$\iff$

(1)  $M^n \approx S^n$  (homeomorphic)  $(n \neq 4)$

(2)  $M^n \cong S^n$  (diffeomorphic)  $(n = 4)$

# Special generic functions

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$\Longleftrightarrow$

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(2)  $M^n \cong S^n$  (diffeomorphic) ( $n = 4$ )

## Remark 2.2

Generalized Poincaré conjecture is still open in dimension 4 in the  $C^\infty$  category.

# Special generic functions

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## Remark 2.2

Generalized Poincaré conjecture is still open in dimension 4 in the  $C^\infty$  category.

In the following,  $M^n$  will be connected.

# Lifting special generic functions

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 2.3**  $n \geq 1$

$f : M^n \rightarrow \mathbf{R}$  *special generic function*

*There always exists an **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$ .*

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This is a consequence of the following.

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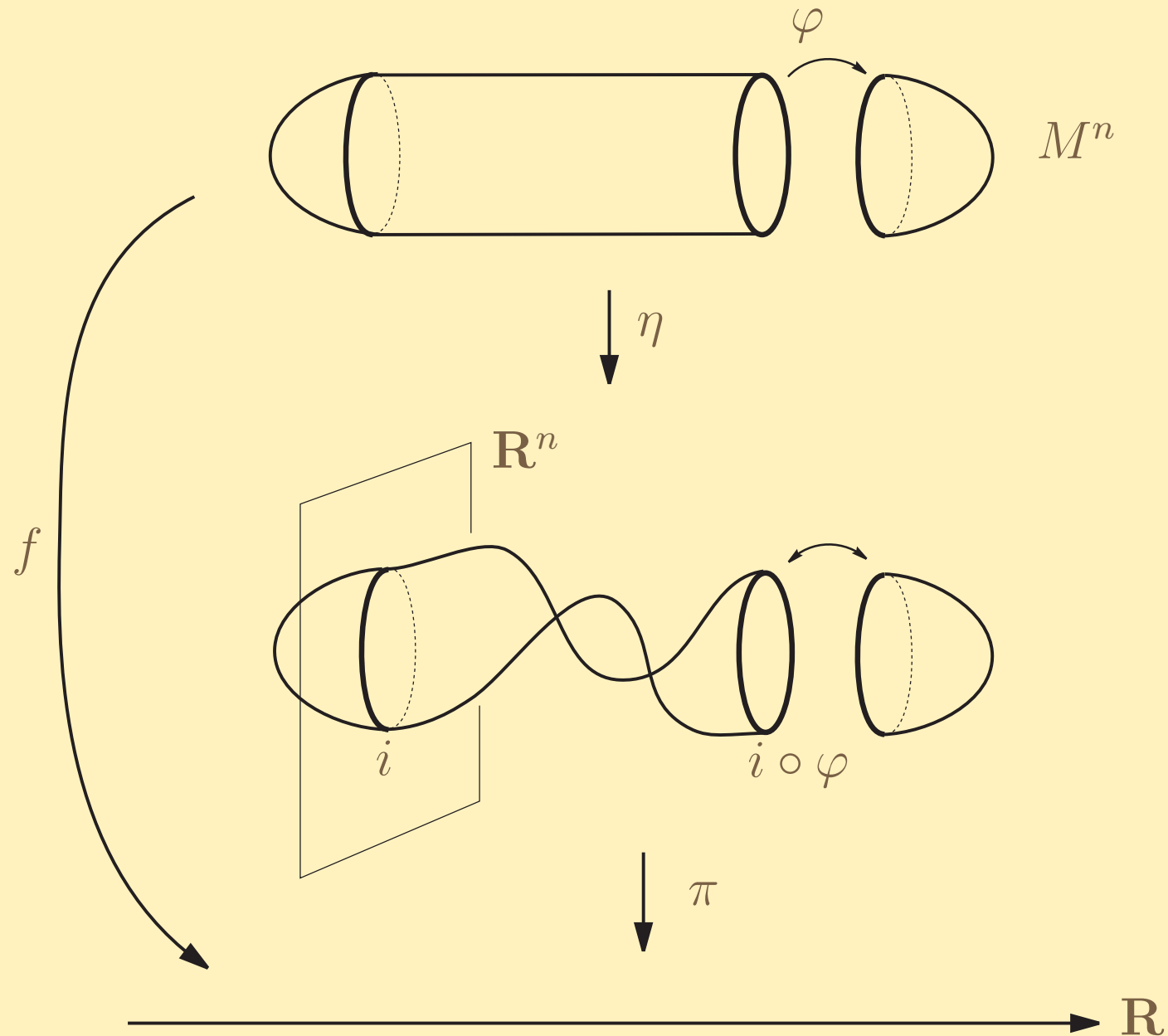
## Lemma 2.4 (Kaiser, 1988)

Let  $i : S^{n-1} \rightarrow \mathbf{R}^n$  be the standard embedding.

For  $\forall$  diffeomorphism  $\varphi : S^{n-1} \rightarrow S^{n-1}$  that preserves the orientation, the immersions  $i$  and  $i \circ \varphi$  are regularly homotopic.

# Proof of Theorem 2.3

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results



# Characterization of immersion lifts

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 2.5**  $n \geq 2$ ,  $f : M^n \rightarrow \mathbf{R}$  special generic function

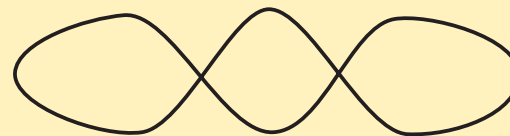
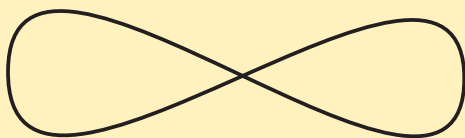
$\eta_0 : M^n \rightarrow \mathbf{R}^{n+1}$  immersion

$\exists$  immersion  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  regularly homotopic to  $\eta_0$  s.t.  $f = \pi \circ \eta$

$\iff$  normal degree of  $\eta_0$  is equal to

$$\begin{cases} \pm 1, & n \neq 3, 7 \\ \pm 1 \text{ or } 0, & n = 3, 7. \end{cases}$$

$S^3$  or  $S^7$



# Embedding lift

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 2.6**  $n \geq 2, n \neq 5$

$f : M^n \rightarrow \mathbf{R}$  *special generic function*

$\exists$  **embedding**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  *s.t.*  $f = \pi \circ \eta$

$\iff$

$M^n \cong S^n$  (*diffeomorphic*)

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**Problem 2.7** *How about  $n = 1$  or  $n = 5$ ?*

## §3. Lifting Special Generic Maps into $\mathbf{R}^2$

# Manifolds with SGM's into $\mathbb{R}^2$

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbb{R}^2$  §4. Further Results

**Theorem 3.1 (Burlet–de Rham, 1974;  
Porto–Furuya, 1990; S, 1993)**

$M^n$ : closed connected **orientable** ( $n \geq 2$ )

$\exists$  special generic map  $f : M^n \rightarrow \mathbb{R}^2$

$\iff M^n$  is diffeomorphic to

$$\Sigma^n \sharp \left( \sharp_{i=1}^r (\Sigma_i^{n-1} \times S^1) \right)$$

for some homotopy spheres  $\Sigma^n$  and  $\Sigma_i^{n-1}$   
(for  $n \leq 6$ , they are standard spheres).

# Lifting SGM's into $\mathbb{R}^2$

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbb{R}^2$  §4. Further Results

**Theorem 3.2**  $M^n$ : **orientable**,  $2 \leq n \leq 7$  or  $n = 4m$ .

$f : M^n \rightarrow \mathbb{R}^2$  special generic map

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The case  $n = 3, 7$  or  $4m$  is a consequence of the fact that

$\pi_{n-1}(SO(n-1)) \rightarrow \pi_{n-1}(SO(n))$  is injective (Kervaire, 1960).

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The case  $n = 5$  is a consequence of  $\text{Diff}(S^3) \simeq O(4)$  (Hatcher, 1983).

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The case  $n = 6$  is a consequence of the fact that every homotopy 6-sphere is standard (Kervaire–Milnor, 1963).

# An invariant of SGM

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

Suppose  $n \geq 5$ .

$\Theta_n$ : the group of homotopy  $n$ -spheres

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$f : M^n \rightarrow \mathbf{R}^2$  special generic map,  $n \geq 5$

$\implies$  A **“canonical” homotopy  $n$ -sphere**  $\Sigma(f) \in \Theta_n$  can be defined in such a way that

$$M^n \cong \Sigma(f) \sharp \left( \#_{i=1}^r (\Sigma_i^{n-1} \times S^1) \right) \quad (\text{diffeomorphic})$$

for some homotopy  $(n - 1)$ -spheres  $\Sigma_i^{n-1}$ .

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for some homotopy  $(n-1)$ -spheres  $\Sigma_i^{n-1}$ .

On the other hand, we have the homomorphism

$\text{SH} : \Theta_n \rightarrow \mathbf{Z}_2$  called the **“Smale–Hirsch map”** (Budney, 2004).

# A refinement

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 3.4**  $f : M^n \rightarrow \mathbf{R}^2$  *special generic map*,  $n \geq 5$

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$\iff \text{SH}(\Sigma(f)) = 0$

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## Problem 3.5

(1) *Can the map  $\text{SH} : \Theta_n \rightarrow \mathbf{Z}_2$  be non-trivial?*

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## Problem 3.5

(1) Can the map  $\text{SH} : \Theta_n \rightarrow \mathbf{Z}_2$  be non-trivial?

That is, does there exist a SGM  $f : M^n \rightarrow \mathbf{R}^2$  that cannot be lifted to an immersion into  $\mathbf{R}^{n+1}$  ?

# A refinement

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 3.4**  $f : M^n \rightarrow \mathbf{R}^2$  special generic map,  $n \geq 5$

$\exists$  **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$

$\iff \text{SH}(\Sigma(f)) = 0$

## Problem 3.5

(1) Can the map  $\text{SH} : \Theta_n \rightarrow \mathbf{Z}_2$  be non-trivial?

That is, does there exist a SGM  $f : M^n \rightarrow \mathbf{R}^2$  that cannot be lifted to an immersion into  $\mathbf{R}^{n+1}$  ?

(2) Is  $\Sigma(f)$  determined only by the source manifold  $M^n$  ?

# §4. Further Results



# Stein factorization

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Definition 4.1**  $f : M^n \rightarrow \mathbf{R}^p$   $C^\infty$  map ( $n > p$ )

For  $x, x' \in M^n$ , define  $x \sim_f x'$  if

- (i)  $f(x) = f(x') (= y)$ , and
- (ii)  $x$  and  $x'$  belong to the same connected component of  $f^{-1}(y)$ .

# Stein factorization

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- (i)  $f(x) = f(x') (= y)$ , and
- (ii)  $x$  and  $x'$  belong to the same connected component of  $f^{-1}(y)$ .

$W_f = M^n / \sim_f$  quotient space,  $q_f : M^n \rightarrow W_f$  quotient map

$\exists! \bar{f} : W_f \rightarrow \mathbf{R}^p$  that makes the diagram commutative:

$$\begin{array}{ccc} M^n & \xrightarrow{f} & \mathbf{R}^p \\ q_f \searrow & & \nearrow \bar{f} \\ & W_f & \end{array}$$

# Stein factorization

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

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$$\begin{array}{ccc} M^n & \xrightarrow{f} & N \\ & \searrow q_f & \nearrow \bar{f} \\ & W_f & \end{array}$$

The above diagram is called the **Stein factorization** of  $f$ .

# Example

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

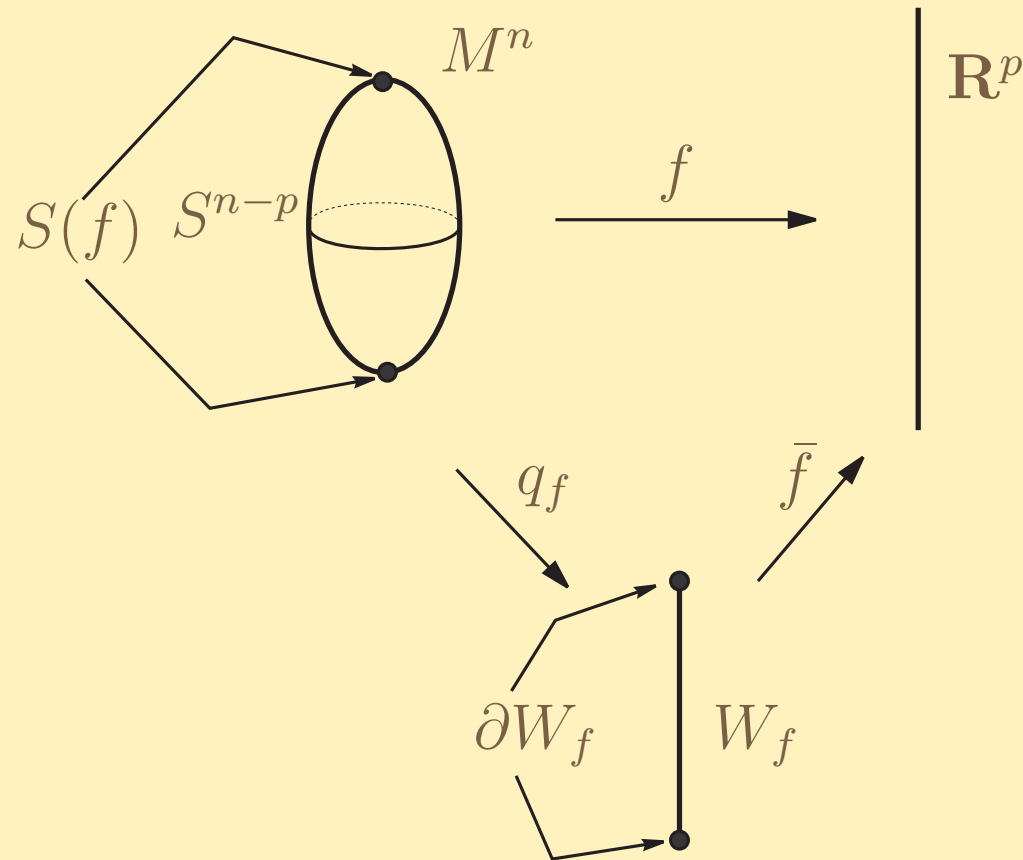


Figure 2: Stein factorization of a SGM

# Fundamental properties

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Proposition 4.2**  $f : M^n \rightarrow \mathbf{R}^p$  *special generic map* ( $n > p$ ).

# Fundamental properties

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Proposition 4.2**  $f : M^n \rightarrow \mathbf{R}^p$  special generic map ( $n > p$ ).

- (1) *The singular point set  $S(f)$  is a regular submanifold of  $M^n$  of dimension  $p - 1$ ,*
- (2)  *$W_f$  has the structure of a smooth  $p$ -dim. manifold possibly with boundary such that  $\bar{f} : W_f \rightarrow \mathbf{R}^p$  is an immersion.*
- (3)  *$q_f|_{S(f)} : S(f) \rightarrow \partial W_f$  is a diffeomorphism.*
- (4)  *$q_f|_{M^n \setminus S(f)} : M^n \setminus S(f) \rightarrow \text{Int } W_f$  is a smooth  $S^{n-p}$ -bundle.*

# Immersion lift

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 4.3**  $M^n$ : orientable,  $(n, p) = (5, 3), (6, 3), (6, 4)$  or  $(7, 4)$

$f : M^n \rightarrow \mathbf{R}^p$  special generic map

$\exists$  **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$

$\iff M^n$  is spin, i.e.  $w_2(M^n) = 0$ .

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Key to the proof:

The Stein factorization induces a smooth  $S^{n-p}$ -bundle

$$M^n \setminus S(f) \rightarrow \text{Int } W_f.$$

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If  $w_2(M^n) = 0$ , then we can show that this is a trivial bundle.

# Codimension $-1$ case

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

$f : M^n \rightarrow \mathbf{R}^p$  special generic map ( $n > p$ )

Orient  $\mathbf{R}^p$ . Then the quotient space  $W_f$  has the induced orientation.

Then  $\partial W_f \cong S(f)$  also have the induced orientations.

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**Theorem 4.4**  $M^n$ : orientable,  $f : M^n \rightarrow \mathbf{R}^{n-1}$  special generic

$\exists$  **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$

$\iff [S(f)] = 0$  in  $H_{n-2}(M^n; \mathbf{Z})$ .

# Codimension $-1$ case

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

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The Stein factorization induces a smooth  $S^{n-p}$ -bundle

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# Codimension $-1$ case

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

$f : M^n \rightarrow \mathbf{R}^p$  special generic map ( $n > p$ )

Orient  $\mathbf{R}^p$ . Then the quotient space  $W_f$  has the induced orientation.

Then  $\partial W_f \cong S(f)$  also have the induced orientations.

**Theorem 4.4**  $M^n$ : orientable,  $f : M^n \rightarrow \mathbf{R}^{n-1}$  special generic  
 $\exists$  **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$   
 $\iff [S(f)] = 0$  in  $H_{n-2}(M^n; \mathbf{Z})$ .

Key to the proof:

The Stein factorization induces a smooth  $S^{n-p}$ -bundle

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If  $[S(f)] = 0$ , then we can show that this is a trivial bundle.

# Embedding results

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 4.5**  $M^n$ : orientable,  $f : M^n \rightarrow \mathbf{R}^p$  special generic map  
 $(n, p) = (2, 1), (3, 2), (4, 3), (5, 3), (6, 3), (6, 4)$  or  $(7, 4)$   
 $\implies \exists$  regular homotopy of **immersions**  $\eta_t : M^n \rightarrow \mathbf{R}^{n+1}$ ,  $t \in [0, 1]$ ,  
with  $f = \pi \circ \eta_0$  s.t.  $f_t = \pi \circ \eta_t$  is a special generic map,  $t \in [0, 1]$ ,  
and  $\eta_1$  is an **embedding**.

# Embedding results

§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Theorem 4.5**  $M^n$ : orientable,  $f : M^n \rightarrow \mathbf{R}^p$  special generic map  
 $(n, p) = (2, 1), (3, 2), (4, 3), (5, 3), (6, 3), (6, 4)$  or  $(7, 4)$   
 $\implies \exists$  regular homotopy of **immersions**  $\eta_t : M^n \rightarrow \mathbf{R}^{n+1}$ ,  $t \in [0, 1]$ ,  
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and  $\eta_1$  is an **embedding**.

**Theorem 4.6**  $M^4$ : orientable,  $\exists f : M^4 \rightarrow \mathbf{R}^3$  special generic map  
 $M^4$  can be embedded into  $\mathbf{R}^5$   
 $\iff M^4$  is spin, i.e.  $w_2(M^4) = 0$ .



§1. Lifting Singular Maps §2. Lifting Special Generic Functions §3. Lifting Special Generic Maps into  $\mathbf{R}^2$  §4. Further Results

**Thank you!**