



# Topology of Definite Fold Singularities

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# §1. Special Generic Maps





# Morse function



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

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**Definition 1.1** A **Morse function**  $M^m \rightarrow \mathbb{R}$  is a  $C^\infty$  function with each critical point being of the form

$$(x_1, x_2, \dots, x_m) \mapsto \pm x_1^2 \pm x_2^2 \pm \dots \pm x_m^2 + c.$$

Number of negative signs is called the **index** of a critical point.

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$$\begin{cases} \text{local minimum} & \iff \text{index } 0 \\ \text{local maximum} & \iff \text{index } m \end{cases}$$

They always appear if  $M^m$  is compact.

# Reeb's theorem

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## Theorem 1.2 (Reeb, Smale, Cerf et al.)

$M^m$ : compact  $C^\infty$  manifold without boundary

$\exists$  Morse function  $M^m \rightarrow \mathbb{R}$  with only critical points of index 0 or  $m$

$\iff$

(1)  $M^m \approx S^m$  (homeomorphic) ( $m \neq 4$ )

(2)  $M^m \cong S^m$  (diffeomorphic) ( $m = 4$ )

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## Remark 1.3

Generalized Poincaré conjecture is still open in dimension 4 in the  $C^\infty$  category.



# Special generic map

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

**Definition 1.4** A singularity of a  $C^\infty$  map  $M^m \rightarrow N^n$ ,  $m \geq n$ , that has the normal form

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**Definition 1.5**  $f : M^m \rightarrow N^n$  is a **special generic map** (**SGM**, for short) if it has **only definite fold singularities**.

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**Example 1.6** A function  $f : M^m \rightarrow \mathbb{R}$  is a SGM iff it is a Morse function with only critical points of index 0 or  $m$

# Examples of SGMs

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

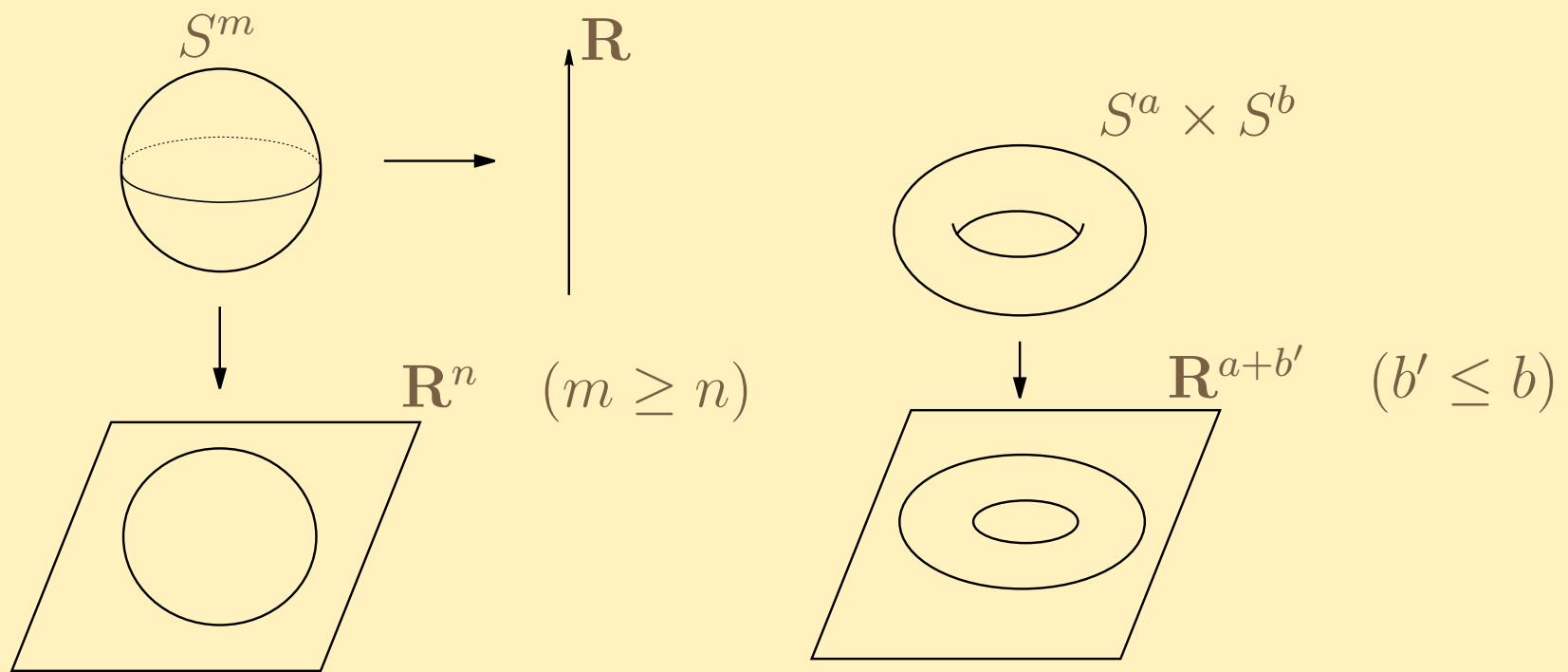


Figure 1: Examples of special generic maps

# An invariant

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

**Definition 1.7**  $M^m$ : compact

$$\mathcal{S}(M^m) = \{n \in \mathbf{Z} \mid 1 \leq n \leq m, \exists f : M^m \rightarrow \mathbf{R}^n \text{ SGM}\}$$

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This is a diffeomorphism invariant of  $M^m$ .

$$M_0 \cong M_1 \quad (\text{diffeomorphic}) \implies \mathcal{S}(M_0) = \mathcal{S}(M_1)$$

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$$(1) \quad \mathcal{S}(S^m) = \{1, 2, \dots, m\}$$

$$(2) \quad \mathcal{S}(S^a \times S^b) = \{a+1, a+2, \dots, a+b\} \quad (a \leq b)$$

# Characterization of the sphere

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## Theorem 1.9 (S., 1993)

$M^m$ : compact  $C^\infty$  manifold of dimension  $m$

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## Example 1.10

$\Sigma^7$  : Milnor's exotic 7-sphere

$$\{1, 2, 7\} \subset \mathcal{S}(\Sigma^7) \subset \{1, 2, 3, 7\}$$

# Cobordism

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

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 $\exists V^{m+1}$ : compact manifold with  $\partial V^{m+1} = M_0^m \cup M_1^m$ ,  
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# Cobordism

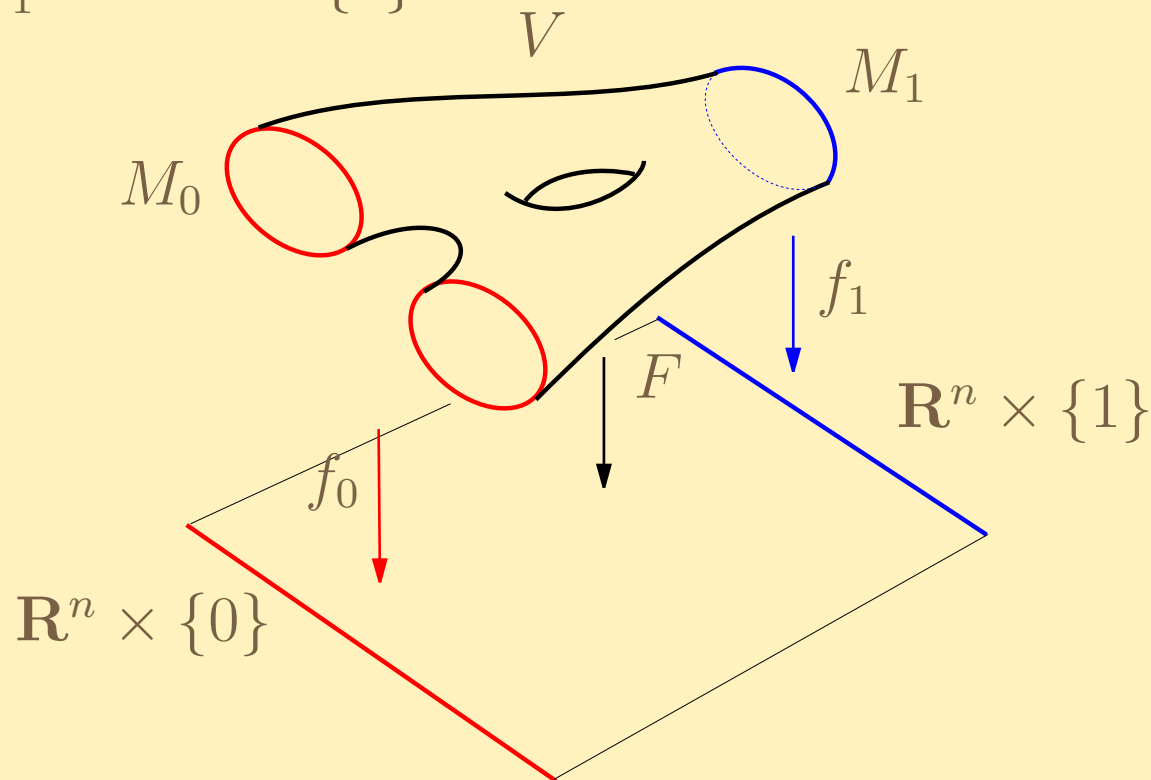
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# Groups of SGMs



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

Set of cobordism classes of all SGMs of  $m$ -dim. compact manifolds into  $\mathbb{R}^n$  forms an **abelian group**, denoted by  $\Gamma(m, n)$ .

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**Special generic maps**

$\updownarrow$  closely related !

**Differentiable structures**

## §2. 4-Dimensional Case

# Exotic 4-manifolds

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

## Theorem 2.1 (Sakuma-S., 1990's)

$\exists(M_1^4, M_2^4)$ : pair of compact  $C^\infty$  4-manifolds such that  
 $M_1^4 \approx M_2^4$  (homeomorphic),

$\exists f_1 : M_1^4 \rightarrow \mathbf{R}^3$  SGM,

$\nexists f_2 : M_2^4 \rightarrow \mathbf{R}^3$  SGM.

*In fact, there are infinitely many such pairs.*



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*In fact, there are infinitely many such pairs.*

$$M_1^4 \not\cong M_2^4 \quad \text{non-diffeomorphic}$$

SGMs can detect distinct differentiable structures on a given topological 4-manifold.

# Compact 1-connected 4-manifolds

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

## Theorem 2.2 (S. (1993) + 3-dim. Poincaré Conj.)

$M^4$ : compact simply connected  $C^\infty$  4-manifold

$\exists f : M^4 \rightarrow \mathbf{R}^3$  special generic map

$\iff M^4 \cong \#^k(S^2 \times S^2)$  or  $\#^k(S^2 \tilde{\times} S^2)$  (diffeomorphic)

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## Corollary 2.3

$M^4$ :  $C^\infty$  4-manifold

$M^4 \approx \#^k(S^2 \times S^2) \text{ or } \#^k(S^2 \widetilde{\times} S^2) \quad (\text{homeomorphic})$

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**Remark 2.4** Smooth structures on  $\sharp^k(S^2 \times S^2)$  are not unique. In fact, there are *infinitely many* such structures if  $k$  is a sufficiently big odd integer (Jongil Park, 2002).

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**Remark 2.5**  $M_1^4, M_2^4$ : compact orientable  $C^\infty$  4-manifolds

If  $M_1^4 \approx M_2^4$  (homeomorphic), then

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**Fold maps cannot detect distinct differentiable structures.**



# Open 1-connected 4-manifolds

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

## Theorem 2.6 (S., 2010)

$M^4$ : *open simply connected  $C^\infty$  4-manifold of “finite type”*

$\exists f : M^4 \rightarrow N^3$  *proper special generic map*

*for some 3-manifold  $N^3$  with  $S(f) \neq \emptyset$*

$\iff M^4$  *is diffeomorphic to the connected sum of a finite number of copies of the following manifolds:*

$\mathbf{R}^4 (= S^4 \setminus \{\text{point}\})$ ,

$\text{Int}(\natural^k(S^2 \times D^2)) = S^4 \setminus (\vee^k S^1)$ ,

$S^2 \times S^2$ ,

$S^2 \tilde{\times} S^2$ ,

$\mathbf{R}^2$ -*bundle over  $S^2$*

# Standard $\mathbb{R}^4$

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

## Corollary 2.7

$M^4$ :  $C^\infty$  4-manifold with  $M^4 \approx \mathbb{R}^4$  (homeomorphic)

$\exists f : M^4 \rightarrow \mathbb{R}^p$  proper SGM with  $S(f) \neq \emptyset$  for  $1 \leq \exists p \leq 3$

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It is known that  $\mathbb{R}^n$ ,  $n \neq 4$ , has a unique differentiable structure (Munkres, Stallings, ~ 60's).

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However,  $\mathbb{R}^4$  admits **uncountably many** differentiable structures (Donaldson, Freedman, Taubes,  $\sim$  80's).



# Remark & Conjecture



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

**Remark 2.9** Every 4-manifold as in Theorem 2.6 admits infinitely many (or uncountably many) distinct differentiable structures.



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Theorem 2.6 implies that among them there is exactly one structure that allows the existence of a proper SGM into a 3-manifold.

## Conjecture 2.10

$M^4$ : *topological 4-manifold*

$\implies$  *There exists at most one differentiable structure on  $M^4$  that allows the existence of a proper SGM into  $\mathbf{R}^3$ .*

# §3. Broken Lefschetz Fibrations





# Broken Lefschetz fibration



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$M, \Sigma$ : compact connected oriented  $C^\infty$  manifolds  
 $\dim M = 4, \dim \Sigma = 2$

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## Definition 3.1

A singularity of a smooth map  $M \rightarrow \Sigma$  that has the normal form

$$(z, w) \mapsto zw$$

w.r.t. complex coordinates compatible with the orientations, is called a **Lefschetz singularity**.

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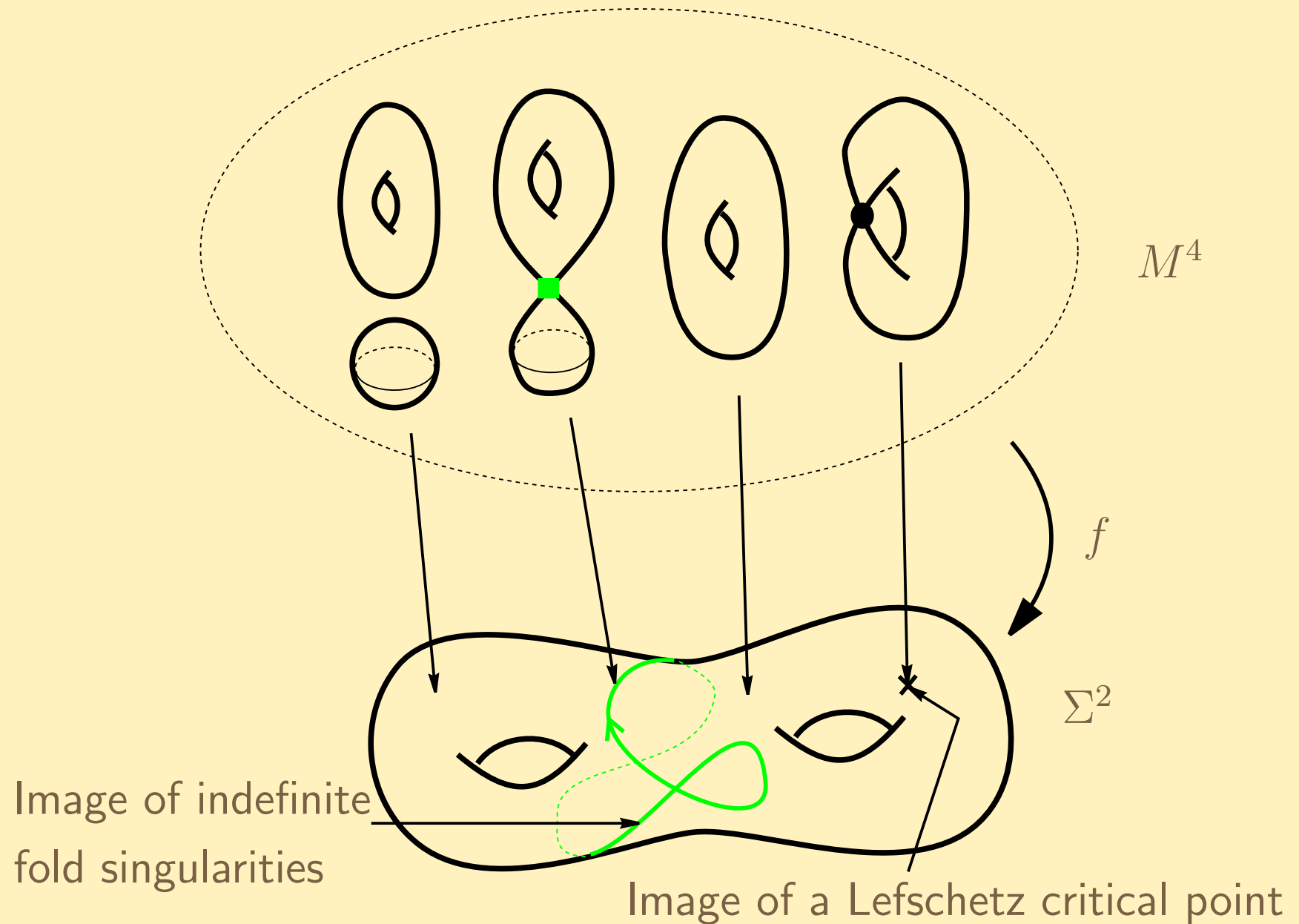
## Definition 3.2 (Auroux–Donaldson–Katzarkov 2005, etc.)

Let  $f : M \rightarrow \Sigma$  be a  $C^\infty$  map.

$f$  is a **broken Lefschetz fibration** (**BLF**, for short) if it has at most Lefschetz and indefinite fold singularities.

# Fibers of a BLF

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations





# Regular fibers



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

## Remark 3.3

Regular fibers of a BLF may not be connected.

Even if they are connected, their genera may not be constant.

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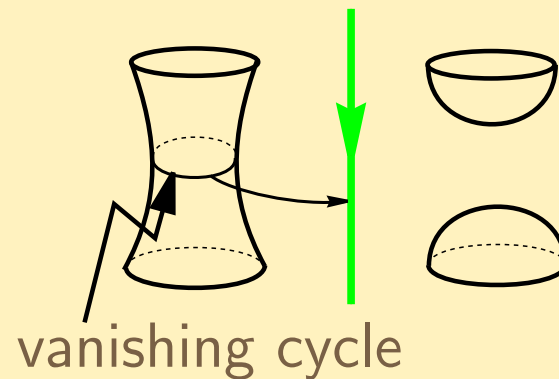


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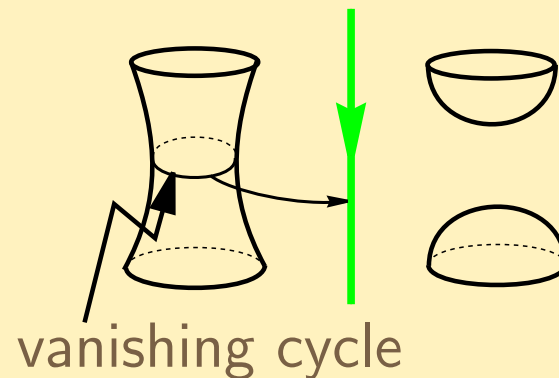


Figure 2: Regular fibers near **indefinite fold**

For a BLF  $f : M^4 \rightarrow \Sigma^2$ , we denote by  $S_I(f)$  ( $\subset M^4$ ) the **oriented** 1-dimensional submanifold of  $M^4$  consisting of the indefinite fold singularities.



# Near-symplectic structures



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

A usual Lefschetz fibration is a special case of a BLF.





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Gauge theoretic invariants can be defined.



# Symplectic vs Near-symplectic



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Not every 4-manifold admits a symplectic structure.  
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In fact, there are a variety of such structures on a given 4-manifold  $M^4$ .



**Definition 3.5** A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^3 - 3x_1x_2 + x_3^2 \pm x_4^2)$$

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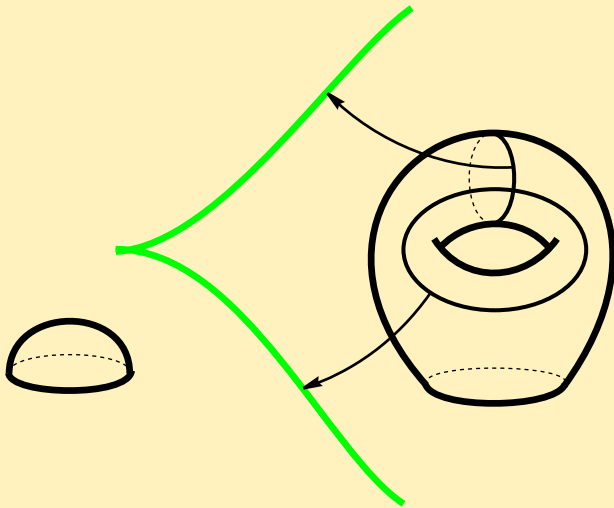


Figure 3: Indefinite cusp

# Cusps

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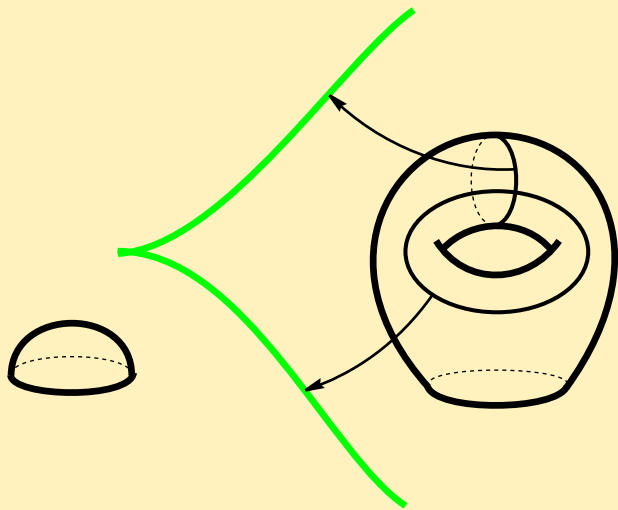


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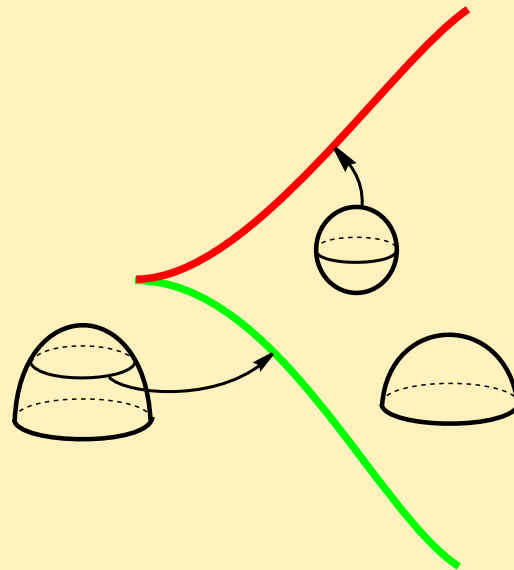


Figure 4: Definite cusp

# Excellent maps

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

## Facts.

Whitney (1955)

Every smooth map  $M \rightarrow \Sigma$  is homotopic to a map with at most definite fold, indefinite fold, and cusp singularities.

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Levine (1965)

Every smooth map  $M \rightarrow \Sigma$  is homotopic to an excellent map without a cusp if  $\chi(M)$  is even, and with exactly one cusp if  $\chi(M)$  is odd.

# Elimination of definite fold

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## Theorem 3.6 (S., 2006)

*Every smooth map  $g : M \rightarrow S^2$  is homotopic to an excellent map without definite fold singularities, and possibly with a cusp.*

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## Theorem 3.6 (S., 2006)

*Every smooth map  $g : M \rightarrow S^2$  is homotopic to an excellent map without definite fold singularities, and possibly with a cusp.*

In other words, we can eliminate **definite fold singularities** by homotopy.



# Existence of BLF

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

## Corollary 3.7 (Baykur, 2008)

*Every closed oriented 4-manifold admits a BLF over  $S^2$ .*

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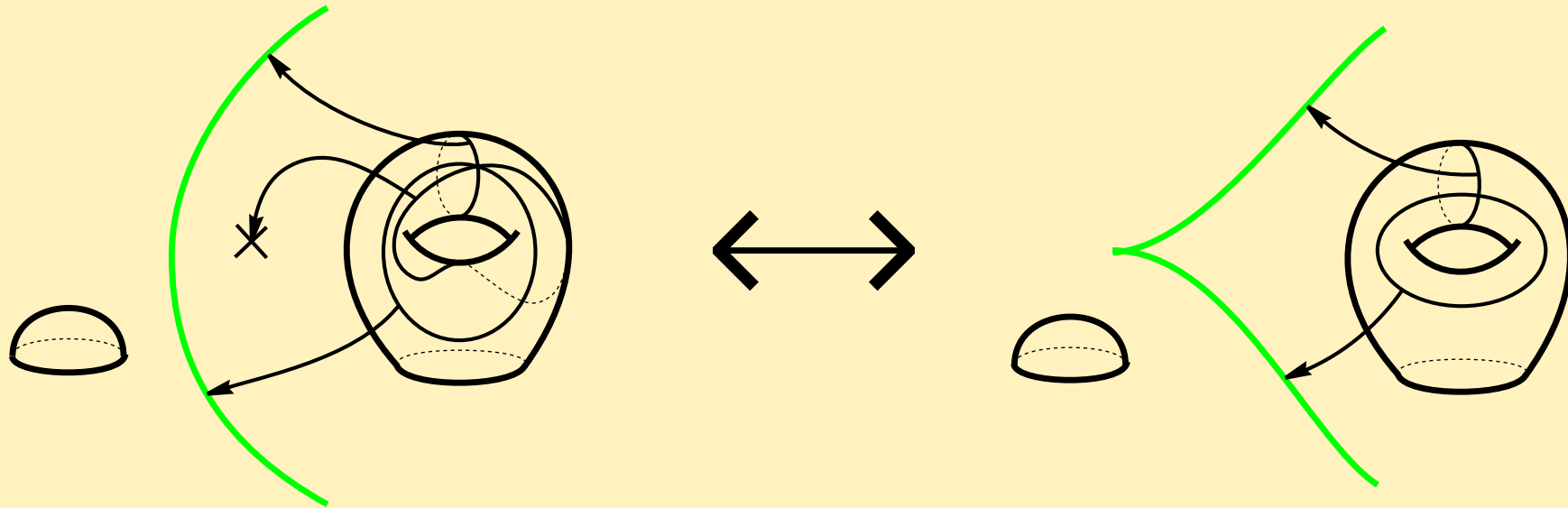


Figure 5: Sinking and Unsinking (Lekili 2009)



# Prescribed indefinite locus



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

We can also prove the following (cf. Lekili, 2009).

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**Theorem 3.8**  $g : M^4 \rightarrow S^2$  a  $C^\infty$  map

$L \subset M^4$ : a non-empty closed oriented 1-dim. submanifold

$\exists f : M^4 \rightarrow S^2$  BLF homotopic to  $g$  s.t.  $S_I(f) = L$

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Using similar techniques in the context of near-symplectic structures (Perutz, 2006; Lekili, 2009), we can prove the following.

**Theorem 3.9**  $M^4$ : closed oriented 4-manifold with  $b_2^+(M^4) > 0$

$L \subset M^4$ : a non-empty closed oriented 1-dim. submanifold

$\exists$  **near-symplectic structure**  $\omega$  whose zero locus coincides with  $L$

$\iff [L] = 0$  in  $H_1(M^4; \mathbf{Z})$



# Moves for BLFs



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

**Remark 3.10** For the existence of a BLF, several proofs have been known (Auroux–Donaldson–Katzarkov, Gay–Kirby, Baykur, Lekili, Akbulut–Karakurt).



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Two BLFs on a given 4-manifold are homotopic iff one can be obtained from the other by a finite iteration of Lekili's moves.

Elimination of definite fold for generic homotopy is possible.

# Lekili's moves

§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

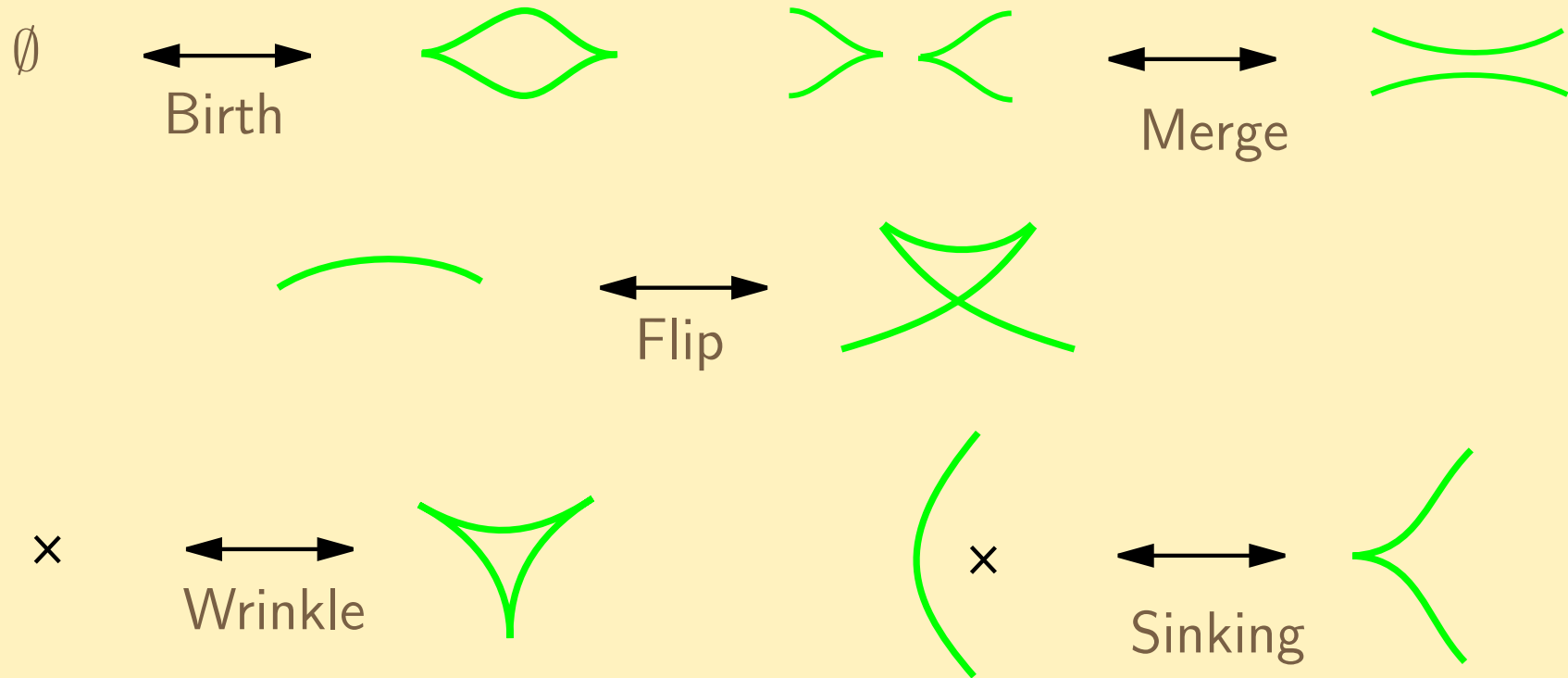


Figure 6: Lekili's moves



# Concluding remarks



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

**Remark 3.11** To a BLF is associated a deformation class of **near-symplectic forms** (Lekili).



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**Singularities of  $C^\infty$  maps** are closely related to **differentiable structures** of manifolds!



§1. Special Generic Maps §2. 4-Dimensional Case §3. Broken Lefschetz Fibrations

**Thank you!**