

# Desingularizing Special Generic Maps

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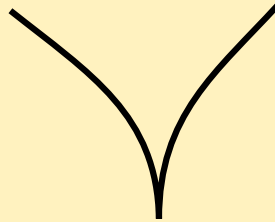
# §1. Desingularizing Singular Maps



# Desingularizing a singular curve

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

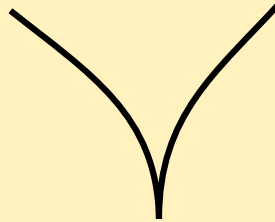
This is a **singular** plane curve.



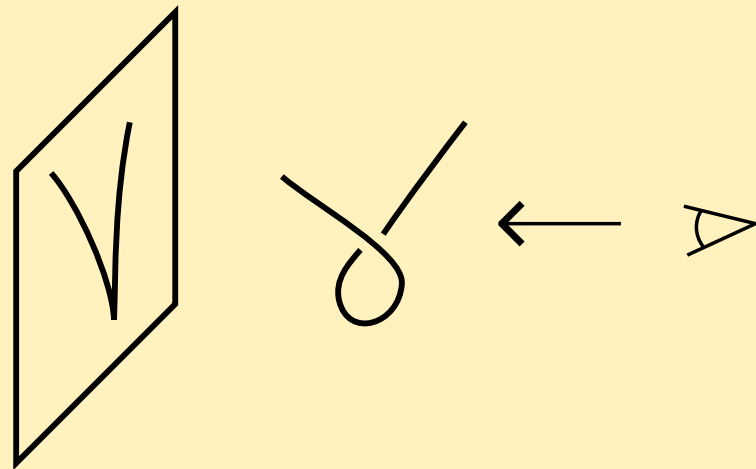
# Desingularizing a singular curve

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This is a **singular** plane curve.



But, this might be the projected image of a **non-singular** space curve.



# Desingularization problem

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$M^n$ : **closed**  $n$ -dim.  $C^\infty$  manifold,  
 $f : M^n \rightarrow \mathbf{R}^p$  a **generic**  $C^\infty$  map ( $n \geq p$ ).

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In this talk, we consider the case  $m = n + 1$ .

# Surface case

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**Theorem 1.2 (Haefliger, 1960)**  $f : M^2 \rightarrow \mathbf{R}^2$  generic

$\exists$  **immersion**  $\eta : M^2 \rightarrow \mathbf{R}^3$  s.t.  $f = \pi \circ \eta$

$\iff$  For every singular set component  $S (\cong S^1)$  of  $f$ :

if  $S$  has an annulus nbhd,  $S$  contains an even number of cusps,

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# Equi-dimensional case

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**Theorem 1.5 (Saito, 1961)**  $M^n$ : **orientable**

$f : M^n \rightarrow \mathbf{R}^n$  *special generic map*

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## Theorem 1.6 (Blank–Curley, 1985)

$f : M^n \rightarrow \mathbf{R}^n$  *generic,*

$\exists$  **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$

$\iff \text{rk } df \geq n - 1$ , and  $[\overline{\{\text{cusps}\}}]^* + \overline{w_1(\nu)} = 0$  in  $H^1(\overline{\{\text{folds}\}}; \mathbf{Z}_2)$ ,  
where  $\nu$  is the normal line bundle of  $\{\text{folds}\}$  in  $M^n$ .



# Special generic maps

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Today's topic:

Desingularization of **special generic maps**.

(Lifting special generic maps to **immersions** and **embeddings** in codimension 1.)

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**Definition 1.7** A singularity of a  $C^\infty$  map  $M^n \rightarrow N^p$ ,  $n \geq p$ , that has the normal form

$$(x_1, x_2, \dots, x_n) \mapsto (x_1, x_2, \dots, x_{p-1}, x_p^2 + x_{p+1}^2 + \dots + x_n^2)$$

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**Definition 1.8**  $f : M^n \rightarrow N^p$  is a **special generic map** (**SGM**, for short) if it has **only definite fold singularities**.

# Examples

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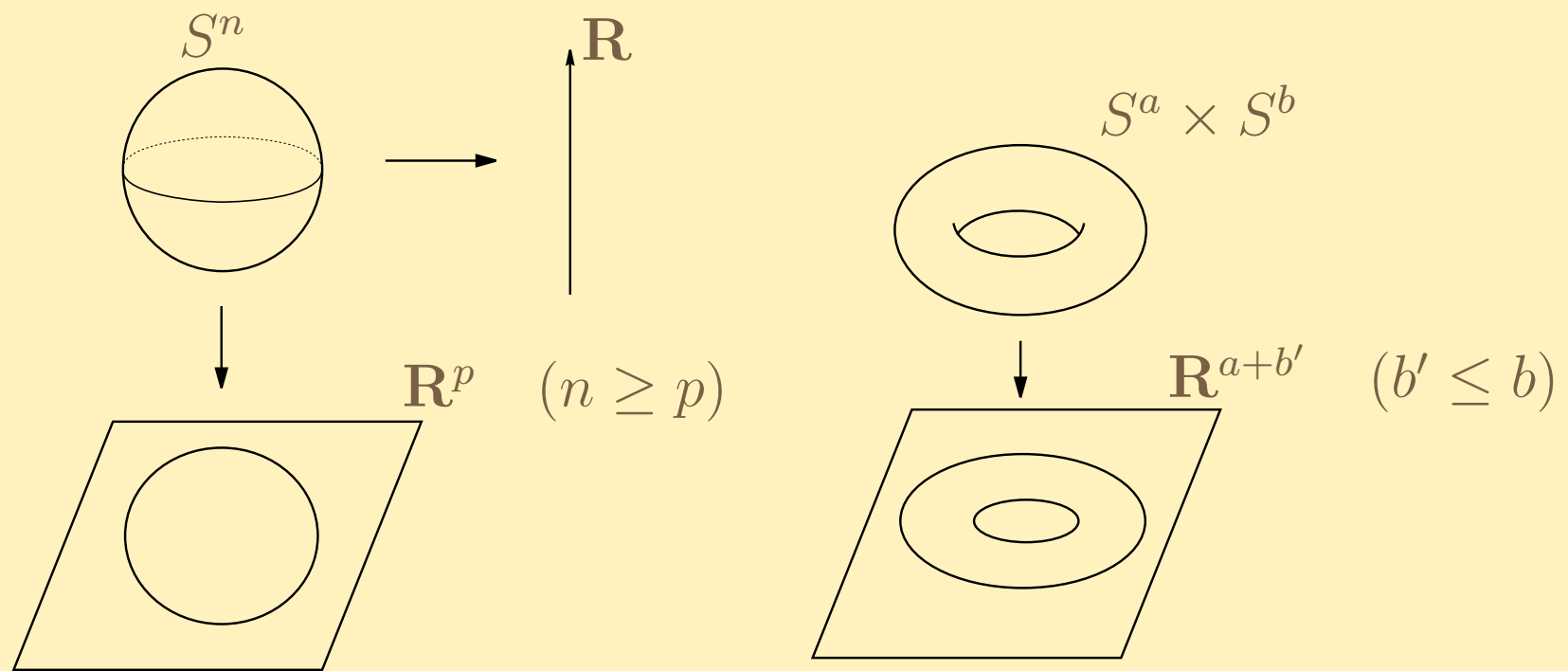


Figure 1: Examples of special generic maps

## §2. Desingularizing Special Generic Functions

# Special generic functions

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## Theorem 2.1 (Reeb, Smale, Cerf et al)

$M^n$ : closed connected  $n$ -dim.  $C^\infty$  manifold

$\exists$  **special generic function**  $M^n \rightarrow \mathbf{R}$

$\iff$

(1)  $M^n \approx S^n$  (homeomorphic)  $(n \neq 4)$

(2)  $M^n \cong S^n$  (diffeomorphic)  $(n = 4)$

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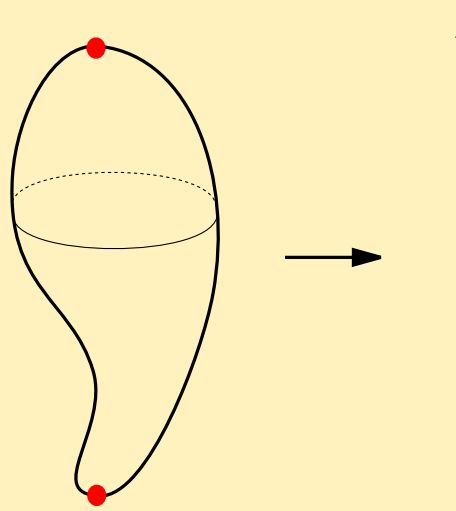
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In the following,  $M^n$  will be closed and connected.



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**Theorem 2.2**  $n \geq 1$

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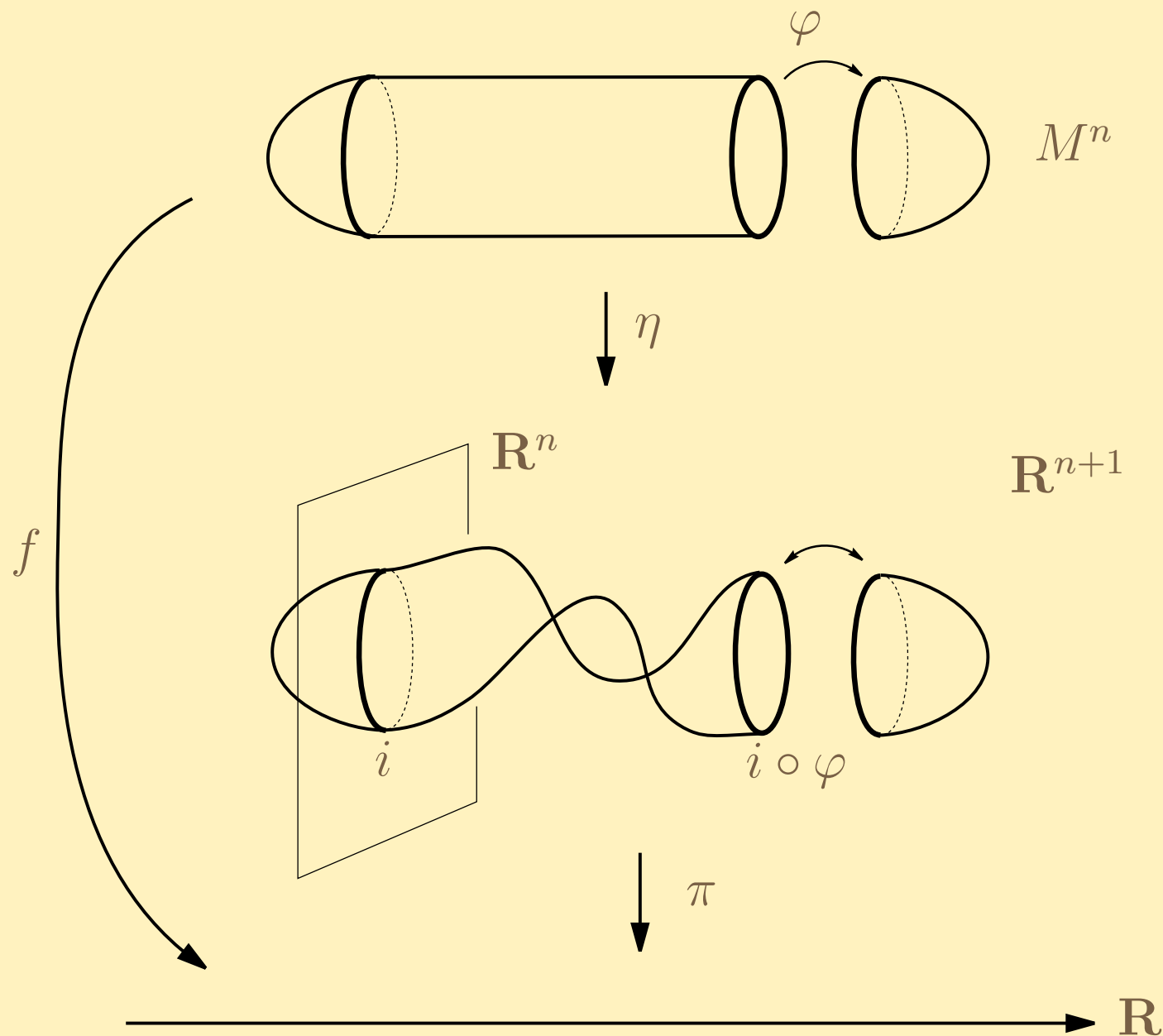
## Lemma 2.3 (Kaiser, 1988)

Let  $i : S^{n-1} \rightarrow \mathbf{R}^n$  be the standard embedding.

For  $\forall$  diffeomorphism  $\varphi : S^{n-1} \rightarrow S^{n-1}$  preserving the orientation, the immersions  $i$  and  $i \circ \varphi$  are **regularly homotopic**.

# Proof of Theorem 2.2

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# Embedding lift

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**Theorem 2.4**  $n \geq 2$

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**Remark 2.5** When  $n = 1$ , the existence problem of an embedding lift has recently been solved by Minoru Yamamoto.



## §3. Desingularizing SGM's into $\mathbf{R}^2$

# Manifolds with SGM's into $\mathbb{R}^2$

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**Theorem 3.1 (Burlet–de Rham, 1974;  
Porto–Furuya, 1990; S, 1993)**

$M^n$ : closed connected **orientable** ( $n \geq 2$ )

$\exists$  special generic map  $f : M^n \rightarrow \mathbb{R}^2$

$\iff M^n$  is diffeomorphic to

$$\Sigma^n \sharp \left( \sharp_{i=1}^r (\Sigma_i^{n-1} \times S^1) \right)$$

for some homotopy spheres  $\Sigma^n$  and  $\Sigma_i^{n-1}$   
(for  $n \leq 6$ , they are standard spheres).

# Desingularizing SGM's into $\mathbb{R}^2$

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**Theorem 3.2**  $M^n$ : **orientable**,  $n \geq 2$ .

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**Remark 3.3** The case  $n = 2$  is a consequence of Haefliger's result.

# Stein factorization

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**Definition 3.4**  $f : M^n \rightarrow \mathbf{R}^p$   $C^\infty$  map ( $n > p$ )

For  $x, x' \in M^n$ , define  $x \sim_f x'$  if

- (i)  $f(x) = f(x') (= y)$ , and
- (ii)  $x$  and  $x'$  belong to the same connected component of  $f^{-1}(y)$ .

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$W_f = M^n / \sim_f$  quotient space,  $q_f : M^n \rightarrow W_f$  quotient map

$\exists! \bar{f} : W_f \rightarrow \mathbf{R}^p$  that makes the diagram commutative:

$$\begin{array}{ccc} M^n & \xrightarrow{f} & \mathbf{R}^p \\ q_f \searrow & & \nearrow \bar{f} \\ & W_f & \end{array}$$

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The above diagram is called the **Stein factorization** of  $f$ .

# Example

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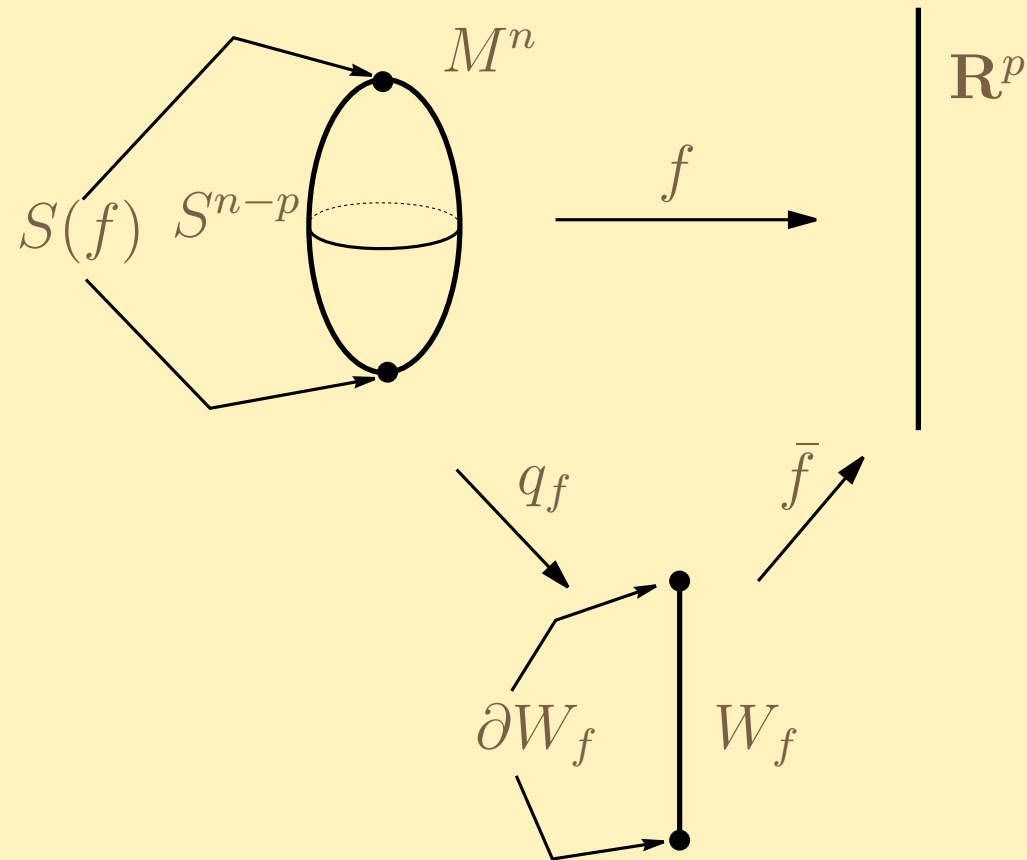


Figure 2: Stein factorization of a SGM



# Fundamental properties

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**Proposition 3.5**  $f : M^n \rightarrow \mathbf{R}^p$  *special generic map* ( $n > p$ ).

# Fundamental properties

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**Proposition 3.5**  $f : M^n \rightarrow \mathbf{R}^p$  special generic map ( $n > p$ ).

- (1) *The singular point set  $S(f)$  is a regular submanifold of  $M^n$  of dimension  $p - 1$ ,*
- (2)  *$W_f$  has the structure of a smooth  $p$ -dim. manifold with boundary such that  $\bar{f} : W_f \rightarrow \mathbf{R}^p$  is an immersion.*
- (3)  *$q_f|_{S(f)} : S(f) \rightarrow \partial W_f$  is a diffeomorphism.*
- (4)  *$q_f|_{M^n \setminus S(f)} : M^n \setminus S(f) \rightarrow \text{Int } W_f$  **is a smooth  $S^{n-p}$ -bundle.***

# Proof of Theorem 3.2

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Let  $f : M \rightarrow \mathbf{R}^2$  ( $p = 2$ ) be a SGM.

We want to construct an immersion lift  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  of  $f$ .

# Proof of Theorem 3.2

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Enough to construct an immersion

$$\tilde{\eta} : M^n \looparrowright W_f \times \mathbf{R}^{n-1} \left( \begin{array}{c} \bar{f} \times \text{id} \\ \looparrowright \mathbf{R}^2 \times \mathbf{R}^{n-1} \end{array} \right)$$

of the form  $\tilde{\eta} = (q_f, *)$ .

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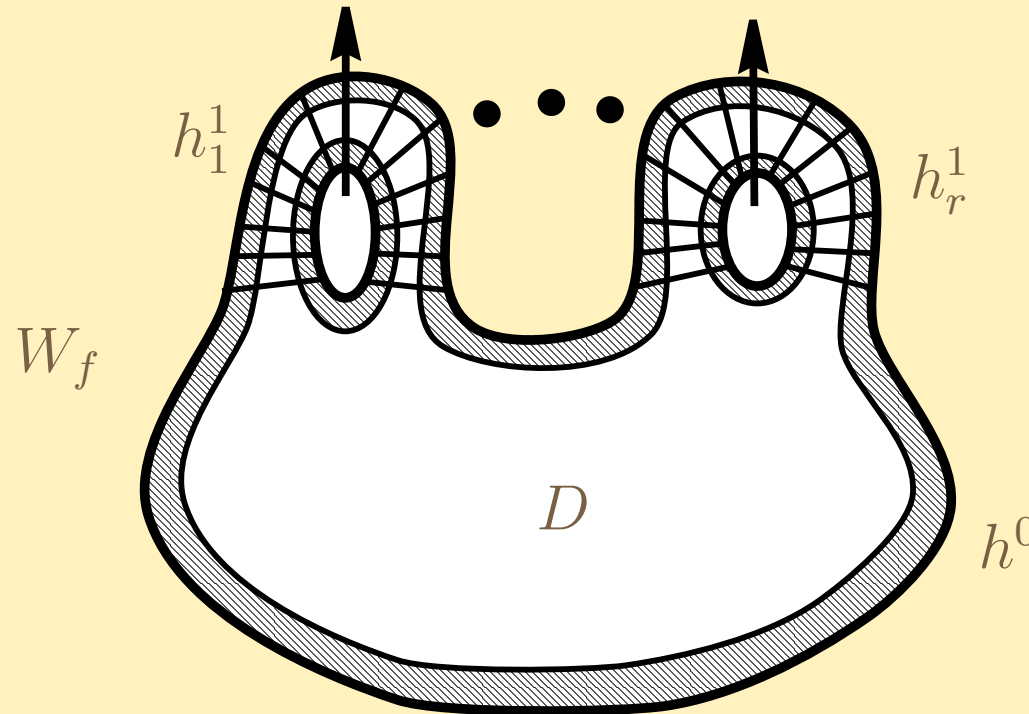
of the form  $\tilde{\eta} = (q_f, *)$ .

Easy to construct  $\tilde{\eta}$  on a nbhd of  $S(f)$ , i.e. over a nbhd of  $\partial W_f$ .

# Constructing an immersion lift

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

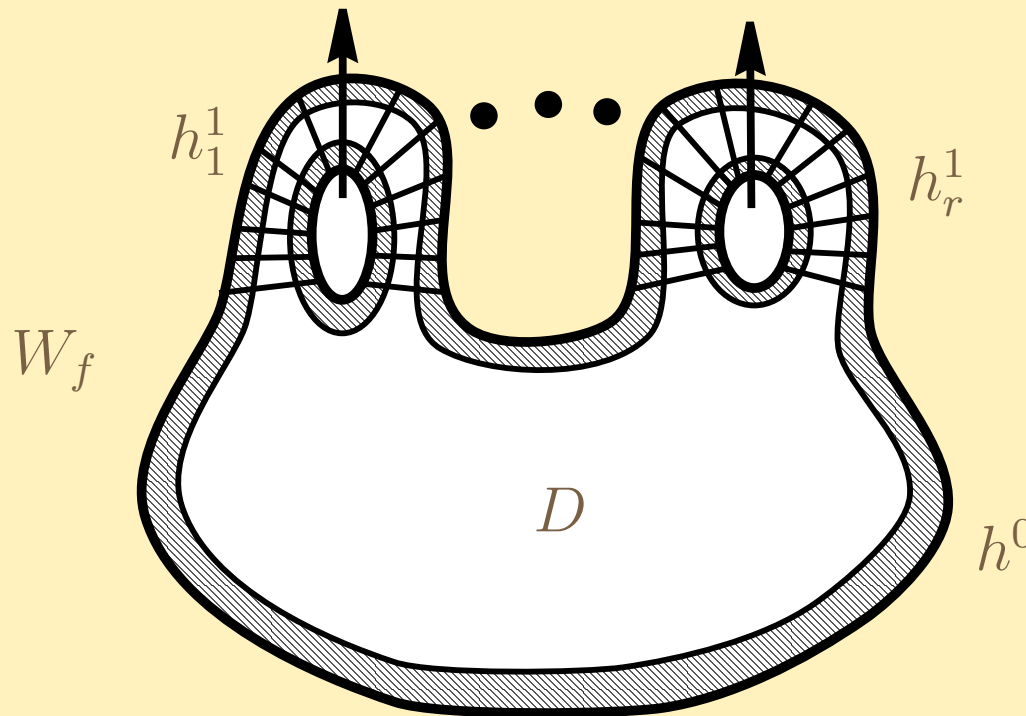
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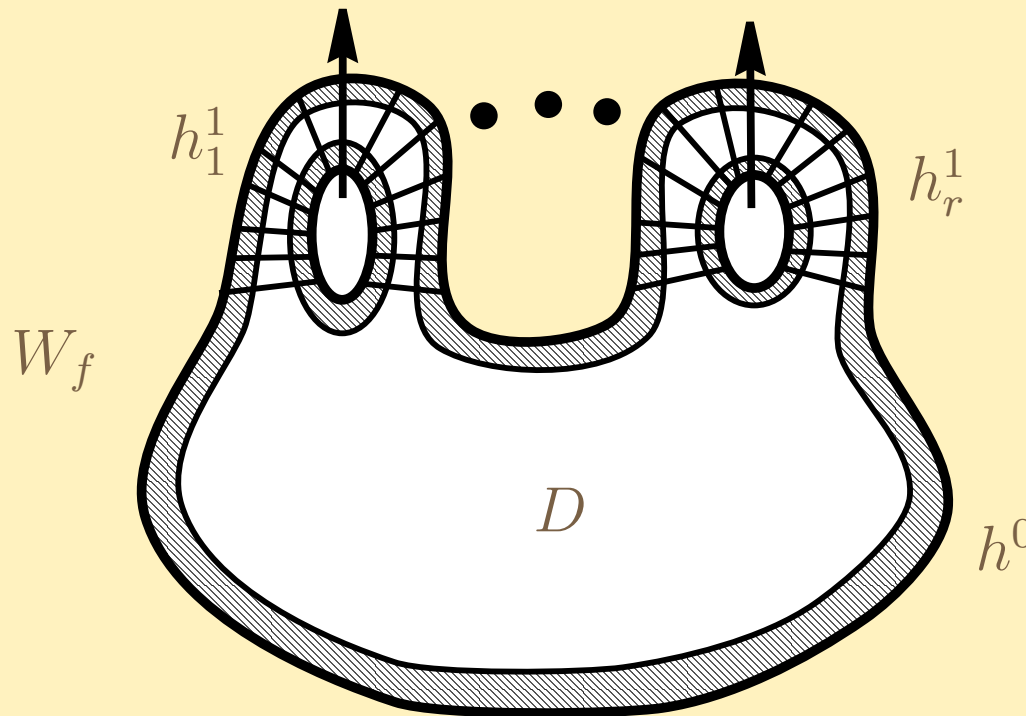


Extend  $\tilde{\eta}$  over the 1-handles  $h_j^1$  using lifts of special generic **functions**.

# Constructing an immersion lift

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Extend  $\tilde{\eta}$  over the 1-handles  $h_j^1$  using lifts of special generic **functions**.  
Let  $D$  be the 2-disk over which  $\tilde{\eta}$  has not been defined.

By construction, over  $\partial D$ , we have a family of **embeddings**

$$\eta_t : S^{n-2} \rightarrow \mathbf{R}^{n-1}, t \in \partial D.$$



# Proof of Theorem 3.2 (continued)

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

We need to extend this family of embeddings to a family of immersions over the whole  $D$ .

# Proof of Theorem 3.2 (continued)

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We need to extend this family of embeddings to a family of immersions over the whole  $D$ .

This is possible if the following natural homomorphism is the zero map.

$$\pi_1 \text{Emb}(S^{n-2}, \mathbf{R}^{n-1}) \rightarrow \pi_1 \text{Imm}(S^{n-2}, \mathbf{R}^{n-1})$$

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where  $\text{Imm}^{\text{TOP}}(S^{n-2}, \mathbf{R}^{n-1})$  denotes the space of locally flat topological immersions.

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$\Rightarrow$  DONE!

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For  $n = 3, 4, 5$ , we use some arguments on  $\text{Diff}(S^{n-2})$ .



# Non-orientable case

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

**Theorem 3.6**  $M^n$ : **non-orientable**,  $n \geq 2$ .

$f : M^n \rightarrow \mathbf{R}^2$  special generic map

$\exists$  **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$

$\iff$

$n = 2, 4$  or  $8$ , and the tubular neighborhood of  $S(f)$  in  $M$  is orientable.

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Turning the sphere  $S^{n-2} \subset \mathbf{R}^{n-1}$  inside out (**sphere eversion**) is possible if and only if  $n = 2, 4, 8$ .

# Embedding lift

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

**Theorem 3.7**  $f : M^n \rightarrow \mathbf{R}^2$  special generic map,  $n \geq 3$

$\exists$  **embedding**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$

$\iff M \cong S^n$  or  $\sharp^k(S^1 \times S^{n-1})$  (diffeomorphic).

Proof of ( $\Leftarrow$ ): The universal cover of  $\sharp^k(S^1 \times S^{n-1})$  embeds in  $S^n$ .

(Use the Schottky group argument. The free group of rank  $k$  can act on  $S^n$  as a Schottky group with totally disconnected limit set.)



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( $\Rightarrow$ ): Standard argument.



## §4. Further Results

# Immersion lift

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

**Theorem 4.1**  $M^n$ : orientable,  $(n, p) = (5, 3), (6, 3), (6, 4)$  or  $(7, 4)$

$f : M^n \rightarrow \mathbf{R}^p$  special generic map

$\exists$  **immersion**  $\eta : M^n \rightarrow \mathbf{R}^{n+1}$  s.t.  $f = \pi \circ \eta$

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If  $w_2(M^n) = 0$ , then we can show that this is a trivial bundle.

# Codimension $-1$ case

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$f : M^n \rightarrow \mathbf{R}^p$  special generic map ( $n > p$ )

Orient  $\mathbf{R}^p$ . Then the quotient space  $W_f$  has the induced orientation.

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# Summary

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

- Special generic function  $M^n \rightarrow \mathbf{R}$  can always be desingularized by an **immersion**  $M^n \rightarrow \mathbf{R}^{n+1}$ .  
It can be desingularized by an **embedding** iff  $M^n \cong S^n$  (diffeo.).
- Special generic map  $f : M^n \rightarrow \mathbf{R}^2$  can always be desingularized by an **immersion**  $M^n \rightarrow \mathbf{R}^{n+1}$  if  $M^n$  is orientable.  
It can be desingularized by an **embedding** iff  $M^n \cong S^n$  or  $\#^k(S^1 \times S^{n-1})$  (diffeomorphic).  
When  $M^n$  is non-orientable,  $f$  can be desingularized by an **immersion** iff  $n = 2, 4, 8$  and  $S(f)$  has an orientable nbhd.
- Special generic map  $f : M^n \rightarrow \mathbf{R}^3$  with  $M^n$  orientable can be desingularized by an **immersion**  $M^n \rightarrow \mathbf{R}^{n+1}$  iff  $M^n$  is spin for  $n = 5$  and  $6$ .
- Special generic map  $f : M^n \rightarrow \mathbf{R}^{n-1}$  with  $M^n$  orientable can be desingularized by an **immersion**  $M^n \rightarrow \mathbf{R}^{n+1}$  iff  $[S(f)] = 0$  in  $H_{n-2}(M^n; \mathbf{Z})$ .



Muito obrigado!

# Embedding results

§1. Desingularizing Singular Maps §2. Desingularizing Special Generic Functions §3. Desingularizing SGM's into  $\mathbf{R}^2$  §4. Further Results

**Theorem 4.3**  $M^n$ : orientable,  $f : M^n \rightarrow \mathbf{R}^p$  special generic map  
 $(n, p) = (2, 1), (3, 2), (4, 3), (5, 3), (6, 3), (6, 4)$  or  $(7, 4)$   
 $\implies \exists$  regular homotopy of **immersions**  $\eta_t : M^n \rightarrow \mathbf{R}^{n+1}$ ,  $t \in [0, 1]$ ,  
with  $f = \pi \circ \eta_0$  s.t.  $f_t = \pi \circ \eta_t$  is a special generic map,  $t \in [0, 1]$ ,  
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and  $\eta_1$  is an **embedding**.

**Theorem 4.4**  $M^4$ : orientable,  $\exists f : M^4 \rightarrow \mathbf{R}^3$  special generic map  
 $M^4$  can be embedded into  $\mathbf{R}^5$   
 $\iff M^4$  is spin, i.e.  $w_2(M^4) = 0$ .