# Elimination of Definite Fold and Broken Lefschetz Fibrations

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### We will work in the **smooth category**.

### Definition 1.1

 $M, \Sigma$ : closed connected oriented manifolds  $\dim M=4, \dim \Sigma=2$ 

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 $M,\,\Sigma :$  closed connected oriented manifolds

 $\dim M = 4, \dim \Sigma = 2$ 

(1) A singularity of a smooth map  $M \to \Sigma$  that has the normal form  $[(z, w) \mapsto zw]$ 

w.r.t. complex coordinates compatible with the orientations,

is called a Lefschetz singularity.

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(2) A singularity that has the normal form

$$(z,w)\mapsto z\bar{w}$$

is called an achiral Lefschetz singularity.

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(3) A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^2 + x_3^2 - x_4^2)$$

is called an indefinite fold singularity (or a round singularity).

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**Definition 1.2 (Auroux, Donaldson and Katzarkov 2005, etc.)** Let  $f: M \to \Sigma$  be a smooth map.

(1) f is a **broken Lefschetz fibration** (**BLF**, for short) if

it has at most Lefschetz and indefinite fold singularities.

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**Definition 1.2 (Auroux, Donaldson and Katzarkov 2005, etc.)** Let  $f: M \to \Sigma$  be a smooth map.

(1) *f* is a broken Lefschetz fibration (BLF, for short) if
it has at most Lefschetz and indefinite fold singularities.
(2) *f* is an achiral broken Lefschetz fibration (ABLF, for short) if

it has at most <u>Lefschetz</u>, <u>achiral Lefschetz</u>, and <u>indefinite fold</u> singularities.

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(2) *f* is an **achiral broken Lefschetz fibration** (**ABLF**, for short) if it has at most <u>Lefschetz</u>, <u>achiral Lefschetz</u>, and <u>indefinite fold</u> singularities.

In either case, Z(f), the set of indefinite fold singularities of f, is a closed submanifold of M of dimension 1.

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**Remark 1.3** (1) A usual <u>Lefschetz fibration</u> is a special case of a BLF.

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In either case, Z(f), the set of indefinite fold singularities of f, is a closed submanifold of M of dimension 1.

**Remark 1.3** (1) A usual <u>Lefschetz fibration</u> is a special case of a BLF.

(2) Regular fibers of a BLF (or ABLF) may not be connected.

Even if they are connected, their genera may not be constant.

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**Remark 1.3** (1) A usual <u>Lefschetz fibration</u> is a special case of a BLF.

(2) Regular fibers of a BLF (or ABLF) may not be connected.

Even if they are connected, their genera may not be constant.

**Remark 1.4** Sometimes we impose the condition that  $f|_{Z(f)}$  should be an embedding into  $\Sigma$  (e.g. Gay–Kirby).

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**Definition 1.5** (1) A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^2 + x_3^2 + x_4^2)$$

is called a **definite fold singularity**.

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$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^2 + x_3^2 + x_4^2)$$

# is called a **definite fold singularity**.(2) A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^3 - 3x_1x_2 + x_3^2 \pm x_4^2)$$

is called a **cusp**.

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Figure 1: Indefinite fold

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Figure 1: Indefinite fold



Figure 2: **Definite fold** 

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Figure 3: Indefinite cusp

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Figure 3: Indefinite cusp

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Figure 4: Definite cusp

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### Facts.

Whitney (1955) Every smooth map  $M \to \Sigma$  is homotopic to a map with at most definite fold, indefinite fold, and cusp singularities.

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### Facts.

Whitney (1955) Every smooth map  $M \to \Sigma$  is homotopic to a map with at most definite fold, indefinite fold, and cusp singularities. Such a map is called an **excellent map**.

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### Facts.

Whitney (1955) Every smooth map  $M \to \Sigma$  is homotopic to a map with at most definite fold, indefinite fold, and cusp singularities. Such a map is called an **excellent map**.

Levine (1965) Every smooth map  $M \to \Sigma$  is homotopic to an excellent map without a cusp if  $\chi(M)$  is even, and with exactly one cusp if  $\chi(M)$  is odd.

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**Theorem 2.1 (S. 2006)** Every smooth map  $g: M \to S^2$  is homotopic to an excellent map without definite fold singularities, and possibly with a cusp.

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**Theorem 2.1 (S. 2006)** Every smooth map  $g: M \to S^2$  is homotopic to an excellent map without definite fold singularities, and possibly with a cusp.

In other words, we can eliminate **definite fold singularities** by homotopy.

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Sketch of Proof In the following,  $S(g) (\subset M)$  denotes the set of singular points, and  $S_0(g) (\subset S(g))$  denotes the set of definite fold singular points.

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**Step 1**. Arrange  $S_0(g)$  so that it consists of a single "unknotted" component.

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Use Levine's cusp elimination technique (S. 1995).

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**Step 2.** Arrange g so that  $g|_{S_0(g)}$  is an embedding into  $S^2$ .

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**Step 2**. Arrange *g* so that  $g|_{S_0(g)}$  is an embedding into  $S^2$ .

Use Reidemeister-like moves on  $S^2$  and their "lifts". This is possible, since the target is the 2-sphere.

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For Step 3, we need the following "moves".

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# **Modifying Excellent Maps**



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**Step 3**. Change the definite fold circle into an indefinite one (Williams 2010).

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**Corollary 2.2 (Baykur 2008)** Every closed oriented 4-manifold admits a BLF over  $S^2$ .

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Figure 7: Sinking and Unsinking (Lekili 2009)

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**Corollary 2.2 (Baykur 2008)** Every closed oriented 4-manifold admits a BLF over  $S^2$ .



Figure 7: Sinking and Unsinking (Lekili 2009)

**Remark 2.3** For the existence of BLF (or ABLF), several proofs have been known (Auroux–Donaldson–Katzarkov, Gay–Kirby, Baykur, Lekili, Akbulut–Karakurt).

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Figure 8: Birth

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Figure 8: Birth



Figure 9: Merge

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Figure 10: Flip

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Figure 10: Flip



Figure 11: Wrinkle

## Example

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One can convert each **achiral Lefschetz singularity** to <u>one</u> **circle of indefinite fold** and <u>three</u> **Lefschetz singularities** (Lekili 2009).



Figure 12: Removing an achiral Lefschetz singularity

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**Theorem 3.1 (Williams 2010)** If two BLFs  $M \rightarrow \Sigma$  are homotopic, then one is obtained from the other by a finite sequence of Birth, Merge, Flip, Wrinkle, and Sink operations (and their inverses), together with "Isotopies".

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**Remark 3.2** During the moves, indefinite cusps may appear. However, these cusps can be turned into Lefschetz singularities by "unsinking".

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Idea of Proof of Theorem 3.1

Each BLF can be homotoped to an **excellent map** without definite fold (by Wrinkle moves).

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Idea of Proof of Theorem 3.1

Each BLF can be homotoped to an **excellent map** without definite fold (by Wrinkle moves).

By singularity theory, the two excellent maps can be connected by a generic 1-parameter family  $\{f_t\}$  of smooth maps.

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The generic 1-parameter family  $\{f_t\}$  satisfies the following.

- Every  $f_t : M \to \Sigma$  is an excellent map, except for a finite number of values of t, say  $t_1, t_2, \ldots, t_k$ .
- For each bifurcation value  $t_i$ , the difference between  $f_{t_i\pm\varepsilon}$  is "well-understood".

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The generic homotopy  $F: M \times [0,1] \rightarrow \Sigma \times [0,1]$  defined by  $F(*,t) = (f_t(*),t)$  has folds, cusps and swallowtails.

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William's Theorem
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Note. The BLFs  $f_0$  and  $f_1$  do not have definite folds, while for  $0 < t < 1, f_t : M \to \Sigma$  may have definite folds.

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We need to **eliminate the definite folds** appearing in the generic homotopy F.

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We need to **eliminate the definite folds** appearing in the generic homotopy F.

Williams' idea: Remove the definite folds of the homotopy F by modifying it by "surgery" (not by homotopy).

## **Final Step**

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Suppose that the generic homotopy F has no definite folds. Then, Lekili has shown that his moves (together with isotopies) generate F, by essentially using singularity theory.

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# $\S{\textbf{3. Isotopies}}$

# **Bifurcations during Isotopies**

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# **Bifurcations during Isotopies**

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sotopies" are generated by the following moves.



Figure 13: Moves involving isotopies

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Y.K.S. Furuya, Sobre aplicações genéricas  $M^4 \rightarrow {f R}^2$ in Portuguese), PhD Thesis, University of São Paulo, 1986.

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Y.K.S. Furuya, Sobre aplicações genéricas  $M^4 \rightarrow \mathbf{R}^2$ (in Portuguese), PhD Thesis, University of São Paulo, 1986.

She studies the "essential" changes of **global base diagrams** during the three moves.

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Example of Furuya's Move (1) Example of Furuya's Move (2) Concluding Remarks Open Problem Y.K.S. Furuya, Sobre aplicações genéricas  $M^4 \rightarrow \mathbf{R}^2$ (in Portuguese), PhD Thesis, University of São Paulo, 1986.

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More precisely, she studies the case where the corresponding vanishing cycles lie on the same component of a fiber.

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Otherwise, the change is easy to describe: only the combination of the connected components changes.

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More precisely, she studies the case where the corresponding vanishing cycles lie on the same component of a fiber.

Otherwise, the change is easy to describe: only the combination of the connected components changes.

Number of essential change types

- II: 8 types
- III: 13 types
- C: 6 types

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# Example of Furuya's Move (1)

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The integers indicate the genus of the corresponding fiber component.



Figure 14: A type III move

# Example of Furuya's Move (2)

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Figure 15: A type C move

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**Remark 4.1** To a BLF is associated a deformation class of **near-symplectic forms** (Lekili).

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# **Remark 4.1** To a BLF is associated a deformation class of **near-symplectic forms** (Lekili).

Lekili gives one-parameter families of near-symplectic forms for the deformations corresponding to his moves.

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**Remark 4.2** Perutz (2007) defines **Lagrangian matching invariants** for BLFs.

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We do not know if they are invariant under Lekili's moves (or under isotopies).

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**Remark 4.2** Perutz (2007) defines **Lagrangian matching invariants** for BLFs.

We do not know if they are invariant under Lekili's moves (or under isotopies).

It is <u>conjectured</u> that Lagrangian matching invariants equal the Seiberg–Witten invariants.

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## **Problem 4.3 (Baykur)**

Find a sufficient sequence of moves that guarantees to stay within the class of fibrations **without null-homologous fiber components**.
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## Problem 4.3 (Baykur)

Find a sufficient sequence of moves that guarantees to stay within the class of fibrations **without null-homologous fiber components**.

How about the class of **fibrations with connected fibers**?

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## Problem 4.3 (Baykur)

Find a sufficient sequence of moves that guarantees to stay within the class of fibrations **without null-homologous fiber components**.

How about the class of **fibrations with connected fibers**?

## Note.

These guarantee that if we start with a **near-symplectic BLF**, then we can perform the moves within the subclass of **near-symplectic BLFs**.

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# Thank you!