## 定値折り目特異点の消去と特異レフシェッツ束

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June 6， 2011

## §1. Broken Lefschetz Fibrations

## Singularities

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We will work in the smooth category ( $=$ real $C^{\infty}$ category).

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(1) A singularity of a $C^{\infty}$ map $M \rightarrow \Sigma$ that has the normal form

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(2) A singularity that has the normal form

$$
\left(x_{1}, x_{2}, x_{3}, x_{4}\right) \mapsto\left(x_{1}, x_{2}^{2}+x_{3}^{2}-x_{4}^{2}\right)
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is called an indefinite fold singularity.

## Broken Lefschetz Fibration

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Definition 1.2 (Auroux-Donaldson-Katzarkov, 2005, etc.)
Let $f: M^{4} \rightarrow \Sigma^{2}$ be a $C^{\infty}$ map.
$f$ is a broken Lefschetz fibration (BLF, for short) if
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A usual Lefschetz fibration (LF, for short) is a special case of a BLF. (LF $\Longleftrightarrow$ BLF with $\left.S_{\mathrm{I}}(f)=\emptyset\right)$

Fibers of a BLF


## Near-Symplectic Structures

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broken Lefschetz fibrations $\Longleftrightarrow$ near-symplectic structures
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(up to blow up)
Near-symplectic structure: $\omega \in \Omega^{2}\left(M^{4}\right), d \omega=0, \omega^{2} \geq 0$,
$\omega$ vanishes along a 1-dim. submanifold "transversely".

## Near-Symplectic vs BLF

Theorem 1.3 (ADK, 2005) $M^{4}$ : closed oriented 4-manifold, $Z \subset M^{4}$ : 1-dim. closed submanifold
Then, the following two are equivalent.
(1) $\exists$ near-symplectic form $\omega$ on $M^{4}$ with zero locus $Z$.
(2) $\exists$ broken Lefschetz pencil (BLP) $f$ over $S^{2}$ with $S_{\mathrm{I}}(f)=Z$ s.t. there is an $h \in H^{2}\left(M^{4} ; \mathbf{R}\right)$ satisfying $h(C)>0$ for every component $C$ of every fiber of $f$.
Furthermore, if (2) holds, then a deformation class of nearsymplectic forms that restrict to a volume form on each fiber away from $Z$ is canonically associated to $f$.

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$\exists B L P \Longrightarrow \exists B L F$ on a blown up 4-manifold
BLF is a special case of a BLP (BLF = BLP without base points).

## A Remark

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Not every 4-manifold admits a symplectic structure. (e.g. $\sharp^{n} \mathbf{C} P^{2}, n \geq 2$, etc.)

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In fact, there are a variety of such structures on a given 4-manifold $M^{4}$.
§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Flimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

## §2. Singularities of Generic Maps

## Definite Fold and Cusp

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\left(x_{1}, x_{2}, x_{3}, x_{4}\right) \mapsto\left(x_{1}, x_{2}^{3}-3 x_{1} x_{2}+x_{3}^{2} \pm x_{4}^{2}\right)
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is called a cusp.

## Base Diagrams for Folds



Figure 1: Indefinite fold

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Figure 2: Definite fold

## Base Diagrams for Cusps



Figure 3: Indefinite cusp

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Figure 4: Definite cusp

## Excellent Map

## Facts.

Whitney (1955) Every $C^{\infty}$ map $M^{4} \rightarrow \Sigma^{2}$ is homotopic to (actually, approximated by) a $C^{\infty}$ map with at most definite fold, indefinite fold, definite cusp, and indefinite cusp singularities.

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Such a map is called an excellent map.

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Levine (1965) [Cusps can be eliminated in pairs.]
Every $C^{\infty}$ map $M^{4} \rightarrow \Sigma^{2}$ is homotopic to an excellent map without a cusp if $\chi\left(M^{4}\right)$ is even, and with exactly one cusp if $\chi\left(M^{4}\right)$ is odd.

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- Excellent maps may have definite folds and cusps, but have no Lefschetz critical point.
- BLFs may have Lefschetz critical points, but have no definite fold or cusp.
§1. Broken Lefschetz Fibrations §2, Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs


## §3. Elimination of Definite Fold

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Every $C^{\infty}$ map $g: M^{4} \rightarrow S^{2}$ is homotopic to an excellent map without definite fold singularities, and possibly with a cusp.

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In other words, we can eliminate definite fold singularities by homotopy.

## Sketch of Proof

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Theorem 3.2 (S., 1995) $g: M^{4} \rightarrow \Sigma^{2}$ a $C^{\infty}$ map
$L \subset M^{4}$ : a non-empty closed 1-dim. submanifold $\exists$ excellent map $f: M^{4} \rightarrow \Sigma^{2}$ homotopic to $g$ s.t. $S(f)=L$ $\Longleftrightarrow[L]_{2}=0$ in $H_{1}\left(M^{4} ; \mathbf{Z}_{2}\right)$

## Moves for Excellent Maps



Merge

Figure 5: Moves for modifying the definite fold locus

## Proof (continued)

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Step 2. Arrange $g$ so that $\left.g\right|_{S_{\mathrm{D}}(g)}$ is an embedding into $S^{2}$.

## Proof (continued)

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Use Reidemeister-like moves on $S^{2}$ and their "lifts". This is possible, since the target is the 2 -sphere.

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For Step 3, we need the following additional move.


Figure 6: Birth

## Definite to Indefinite

Step 3. Definite fold circle $\rightsquigarrow$ Indefinite one (Williams, 2010)

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Q.E.D.

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Figure 7: Sinking and Unsinking (Lekili, 2009)

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Figure 7: Sinking and Unsinking (Lekili, 2009)
Remark 3.4 For the existence of BLF, several proofs are known (Gay-Kirby, Baykur, Lekili, Akbulut-Karakurt).

## Prescribed Indefinite Locus

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Theorem $3.5 \mathrm{~g}: M^{4} \rightarrow S^{2}$ a $C^{\infty}$ map
$L \subset M^{4}$ : a non-empty closed 1-dim. submanifold
$\exists f: M^{4} \rightarrow S^{2}$ BLF homotopic to $g$ s.t. $S_{\mathrm{I}}(f)=L$
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Using similar techiniques in the context of near-symplectic structures (Perutz, 2006; Lekili, 2009), we can prove the following.

Theorem 3.6 $M^{4}$ : closed oriened 4-manifold with $b_{2}^{+}\left(M^{4}\right)>0$
$L \subset M^{4}$ : a non-empty closed 1-dim. submanifold $\exists$ near-symplectic structure $\omega$ whose zero locus coincides with $L$ $\Longleftrightarrow[L]_{2}=0$ in $H_{1}\left(M^{4} ; \mathbf{Z}_{2}\right)$

## Recent Result by Gay-Kirby

Theorem 3.7 (Gay-Kirby, 2011) $g: M^{4} \rightarrow \Sigma^{2}$ a $C^{\infty}$ map
$\exists f: M^{4} \rightarrow \Sigma^{2} \quad$ BLF homotopic to $g$
$\Longleftrightarrow\left[\pi_{1}\left(\Sigma^{2}\right): g_{*} \pi_{1}\left(M^{4}\right)\right]<+\infty$
Furthermore, if $g_{*}: \pi_{1}\left(M^{4}\right) \rightarrow \pi_{1}\left(\Sigma^{2}\right)$ is surjective, then we can arrange so that $\forall$ fibers are connected.

Remark 3.8 Fiber connectedness is very important!

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Remark 3.8 Fiber connectedness is very important!
Recall the cohomological condition appearing in the ADK theorem on the existence and uniqueness of near-symplectic structures.
§1. Broken Lefschetz Fibrations

## §4. Moves for BLFs

There is a set of "moves" for BLFs, called Lekili's moves.

## Lekili's Moves

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Figure 8: Lekili's moves

## Uniqueness

Theorem 4.1 (Williams, 2010; Gay-Kirby, 2011)
If two BLFs $M^{4} \rightarrow \Sigma^{2}$ are homotopic, then one is obtained from the other by a finite sequence of Lekili's moves (Birth, Merge, Flip, Wrinkle, and Sink operations, and their inverses), together with "Isotopies".

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If one can describe the change in the corresponding near-symplectic structures, one would be able to define a gauge theoretic invariant for 4 -manifolds $\Longrightarrow$ Lagrangian matching invariant (Perutz, 2007) It is conjectured that Lagrangian matching invariants equal the Seiberg-Witten invariants.

## Another Problem

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Find a sufficient sequence of moves that guarantees to stay within the class of fibrations without null-homologous fiber components.

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How about the class of fibrations with connected fibers?
Note.
These guarantee that if we start with a near-symplectic BLF, then we can perform the moves within the subclass of near-symplectic BLFs.

## An Answer

Theorem 4.3 (Gay-Kirby, 2011)
$f_{0}, f_{1}: M^{4} \rightarrow \Sigma^{2}$ excellent maps without definite folds
s.t. all the fibers are connected.
$\Longrightarrow \exists$ generic homotopy $f_{t}$ between $f_{0}$ and $f_{1}$
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Idea: A careful application of the classical Cerf theory. cf. The proof that the Kirby moves are enough for converting one framed link diagram to another for a given 3-manifold.
§1. Broken Lefschetz Fibrations

## §5. Simplified BLFs

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(1) $\quad S_{\mathrm{I}}(f) \cong S^{1}$,
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Then, $f$ is a simplified broken Lefschetz fibration (SBLF, for short).

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Then, $f$ is a simplified broken Lefschetz fibration (SBLF, for short).


## Simplified BLF

Let $f: M^{4} \rightarrow S^{2}$ be a BLF. Suppose
(1) $\quad S_{\mathrm{I}}(f) \cong S^{1}$,
(2) $\left.f\right|_{S_{\mathrm{I}}(f)}$ is an embedding onto the equator of $S^{2}$,
(3) $\forall$ fibers are connected.

Then, $f$ is a simplified broken Lefschetz fibration (SBLF, for short).


It is known that every closed oriented 4-manifold admits a SBLF (Gay-Kirby, etc.).

## Surface Diagram

Williams (2010): Convert the Lefschetz singularities to cusps by Lekili's moves.

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Theorem 5.1 (Williams, 2011)
Surface diagram of a given closed oriented 4-manifold is unique up to certain moves, called stabilization, handleslide, multislide, and shift.

## Summary

(1) Every closed oriented 4-manifold admits a lot of BLFs; when $b_{2}^{+}\left(M^{4}\right)>0$, a lot of BLFs with associated near-symplectic structures.
(2) Two BLFs in a fixed homotopy class are related by Lekili's moves. They are also related in the class of BLFs with connected fibers. This would lead to prove the conjecture that the Lagrangian matching invariant defined for near-symplectic structures equals the Seiberg-Witten invariant.
(3) The indefinite locus of a BLF can be prescribed, and the zero locus of a near-symplectic structure as well.
(4) Surface diagrams arising from SBLFs may be useful to describe a given 4-manifold, like Heegaard diagrams or framed link diagrams for 3-manifolds.
§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

## Thank you for your attention !

