# 定値折り目特異点の消去と 特異レフシェッツ束

#### 佐伯 修 (九州大学,マス・フォア・インダストリ研究所) (Institute of Mathematics for Industry, Kyushu University)

June 6, 2011



§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

# §1. Broken Lefschetz Fibrations

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We will work in the **smooth category** (= real  $C^{\infty}$  category).

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We will work in the **smooth category** (= real  $C^{\infty}$  category).

#### Definition 1.1

M,  $\Sigma$ : closed connected oriented manifolds,  $\dim_{\mathbf{R}} M = 4$ ,  $\dim_{\mathbf{R}} \Sigma = 2$ 

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We will work in the **smooth category** (= real  $C^{\infty}$  category).

#### Definition 1.1

 $M, \Sigma$ : closed connected oriented manifolds,  $\dim_{\mathbf{R}} M = 4, \dim_{\mathbf{R}} \Sigma = 2$ (1) A singularity of a  $C^{\infty}$  map  $M \to \Sigma$  that has the normal form

 $(z,w)\mapsto zw$ 

w.r.t. complex coordinates compatible with the orientations, is called a **Lefschetz singularity**.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We will work in the **smooth category** (= real  $C^{\infty}$  category).

#### Definition 1.1

 $M, \Sigma$ : closed connected oriented manifolds,  $\dim_{\mathbf{R}} M = 4, \dim_{\mathbf{R}} \Sigma = 2$ (1) A singularity of a  $C^{\infty}$  map  $M \to \Sigma$  that has the normal form

 $(z,w)\mapsto zw$ 

w.r.t. complex coordinates compatible with the orientations, is called a Lefschetz singularity.
(2) A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^2 + x_3^2 - x_4^2)$$

is called an indefinite fold singularity.

#### **Broken Lefschetz Fibration**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Definition 1.2 (Auroux–Donaldson–Katzarkov, 2005, etc.)** Let  $f: M^4 \to \Sigma^2$  be a  $C^{\infty}$  map. f is a **broken Lefschetz fibration (BLF**, for short) if it has at most <u>Lefschetz</u> and <u>indefinite fold</u> singularities.

### **Broken Lefschetz Fibration**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Definition 1.2 (Auroux–Donaldson–Katzarkov, 2005, etc.)** Let  $f: M^4 \to \Sigma^2$  be a  $C^{\infty}$  map. f is a **broken Lefschetz fibration (BLF**, for short) if it has at most Lefschetz and indefinite fold singularities.

In this case,  $S_{\rm I}(f)$ , the set of indefinite fold singularities of f, is a closed submanifold of  $M^4$  of dimension 1.

## **Broken Lefschetz Fibration**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Definition 1.2 (Auroux–Donaldson–Katzarkov, 2005, etc.)** Let  $f: M^4 \to \Sigma^2$  be a  $C^{\infty}$  map. f is a **broken Lefschetz fibration (BLF**, for short) if it has at most Lefschetz and indefinite fold singularities.

In this case,  $S_{\rm I}(f)$ , the set of indefinite fold singularities of f, is a closed submanifold of  $M^4$  of dimension 1.

A usual **Lefschetz fibration** (**LF**, for short) is a special case of a BLF. (LF  $\iff$  BLF with  $S_{I}(f) = \emptyset$ )

#### Fibers of a BLF

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs



§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Donaldson, Gompf,**  $\sim$ **2000 Lefschetz fibrations**  $\iff$  **symplectic structures** (up to blow-up)

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Donaldson, Gompf, ~2000 Lefschetz fibrations  $\iff$  symplectic structures (up to blow-up) Symplectic structure:  $\omega \in \Omega^2(M^4)$ ,  $d\omega = 0$ , non-degenerate ( $\omega^2 > 0$ )

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Donaldson, Gompf,** ~2000 **Lefschetz fibrations**  $\iff$  **symplectic structures** (up to blow-up) Symplectic structure:  $\omega \in \Omega^2(M^4)$ ,  $d\omega = 0$ , non-degenerate ( $\omega^2 > 0$ )

Kähler  $\implies$  symplectic  $\implies$  almost complex

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Donaldson, Gompf,** ~2000 **Lefschetz fibrations**  $\iff$  **symplectic structures** (up to blow-up) Symplectic structure:  $\omega \in \Omega^2(M^4)$ ,  $d\omega = 0$ , non-degenerate ( $\omega^2 > 0$ )

Kähler  $\implies$  symplectic  $\implies$  almost complex  $\rightsquigarrow$  Gauge theoretic invariants can be defined.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Donaldson, Gompf,** ~2000 **Lefschetz fibrations**  $\iff$  **symplectic structures** (up to blow-up) Symplectic structure:  $\omega \in \Omega^2(M^4)$ ,  $d\omega = 0$ , non-degenerate ( $\omega^2 > 0$ )

Kähler  $\implies$  symplectic  $\implies$  almost complex  $\rightsquigarrow$  Gauge theoretic invariants can be defined.

Auroux–Donaldson–Katzarkov, 2005 broken Lefschetz fibrations ↔ near-symplectic structures (↑ admitting 1-dim. zero locus) (up to blow up)

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Donaldson, Gompf,** ~2000 **Lefschetz fibrations**  $\iff$  **symplectic structures** (up to blow-up) Symplectic structure:  $\omega \in \Omega^2(M^4)$ ,  $d\omega = 0$ , non-degenerate ( $\omega^2 > 0$ )

Kähler  $\implies$  symplectic  $\implies$  almost complex  $\rightsquigarrow$  Gauge theoretic invariants can be defined.

Auroux–Donaldson–Katzarkov, 2005 broken Lefschetz fibrations ↔ near-symplectic structures (↑ admitting 1-dim. zero locus) (up to blow up)

Near-symplectic structure:  $\omega \in \Omega^2(M^4)$ ,  $d\omega = 0$ ,  $\omega^2 \ge 0$ ,  $\omega$  vanishes along a 1-dim. submanifold "transversely".

## Near-Symplectic vs BLF

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Theorem 1.3 (ADK, 2005) M<sup>4</sup>: closed oriented 4-manifold, Z ⊂ M<sup>4</sup>: 1-dim. closed submanifold Then, the following two are equivalent.
(1) ∃near-symplectic form ω on M<sup>4</sup> with zero locus Z.
(2) ∃broken Lefschetz pencil (BLP) f over S<sup>2</sup> with S<sub>I</sub>(f) = Z s.t. there is an h ∈ H<sup>2</sup>(M<sup>4</sup>; R) satisfying h(C) > 0 for every component C of every fiber of f.
Furthermore, if (2) holds, then a deformation class of nearsymplectic forms that restrict to a volume form on each fiber away from Z is canonically associated to f.

## Near-Symplectic vs BLF

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Theorem 1.3 (ADK, 2005) M<sup>4</sup>: closed oriented 4-manifold, Z ⊂ M<sup>4</sup>: 1-dim. closed submanifold Then, the following two are equivalent.
(1) ∃near-symplectic form ω on M<sup>4</sup> with zero locus Z.
(2) ∃broken Lefschetz pencil (BLP) f over S<sup>2</sup> with S<sub>I</sub>(f) = Z s.t. there is an h ∈ H<sup>2</sup>(M<sup>4</sup>; R) satisfying h(C) > 0 for every component C of every fiber of f.
Furthermore, if (2) holds, then a deformation class of nearsymplectic forms that restrict to a volume form on each fiber away from Z is canonically associated to f.

 $\exists BLP \implies \exists BLF \text{ on a blown up } 4\text{-manifold}$ 

## Near-Symplectic vs BLF

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Theorem 1.3 (ADK, 2005) M<sup>4</sup>: closed oriented 4-manifold, Z ⊂ M<sup>4</sup>: 1-dim. closed submanifold Then, the following two are equivalent.
(1) ∃near-symplectic form ω on M<sup>4</sup> with zero locus Z.
(2) ∃broken Lefschetz pencil (BLP) f over S<sup>2</sup> with S<sub>I</sub>(f) = Z s.t. there is an h ∈ H<sup>2</sup>(M<sup>4</sup>; R) satisfying h(C) > 0 for every component C of every fiber of f.
Furthermore, if (2) holds, then a deformation class of nearsymplectic forms that restrict to a volume form on each fiber away from Z is canonically associated to f.

 $\exists BLP \implies \exists BLF \text{ on a blown up } 4\text{-manifold}$ 

BLF is a special case of a BLP (BLF = BLP without base points).

#### A Remark

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Remark 1.4** Not every 4-manifold admits a symplectic structure. (e.g.  $\sharp^n \mathbb{C}P^2$ ,  $n \ge 2$ , etc.)

#### A Remark

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### **Remark 1.4** Not every 4-manifold admits a symplectic structure. (e.g. $\sharp^n \mathbb{C}P^2$ , $n \ge 2$ , etc.)

On the other hand, it is known that every closed oriented 4-manifold  $M^4$  with  $b_2^+(M^4) > 0$  admits a near-symplectic structure.

#### A Remark

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Remark 1.4** Not every 4-manifold admits a symplectic structure. (e.g.  $\sharp^n \mathbb{C}P^2$ ,  $n \ge 2$ , etc.)

On the other hand, it is known that every closed oriented 4-manifold  $M^4$  with  $b_2^+(M^4) > 0$  admits a near-symplectic structure.

In fact, there are a variety of such structures on a given 4-manifold  $M^4$ .



# §2. Singularities of Generic Maps

## **Definite Fold and Cusp**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Let us discuss the relation to the singularity theory of  $C^{\infty}$  maps.

## **Definite Fold and Cusp**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Let us discuss the relation to the singularity theory of  $C^{\infty}$  maps.

**Definition 2.5** (1) A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^2 + x_3^2 + x_4^2)$$

is called a **definite fold singularity**.

## **Definite Fold and Cusp**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Let us discuss the relation to the singularity theory of  $C^{\infty}$  maps.

**Definition 2.5** (1) A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^2 + x_3^2 + x_4^2)$$

is called a **definite fold singularity**.(2) A singularity that has the normal form

$$(x_1, x_2, x_3, x_4) \mapsto (x_1, x_2^3 - 3x_1x_2 + x_3^2 \pm x_4^2)$$

is called a **cusp**.

## **Base Diagrams for Folds**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs



#### Figure 1: Indefinite fold

## **Base Diagrams for Folds**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs



#### Figure 1: Indefinite fold



Figure 2: Definite fold

## **Base Diagrams for Cusps**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs



Figure 3: Indefinite cusp

## **Base Diagrams for Cusps**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs





Figure 3: Indefinite cusp

Figure 4: Definite cusp

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Facts.

Whitney (1955) Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to (actually, approximated by) a  $C^{\infty}$  map with at most <u>definite fold</u>, <u>indefinite fold</u>, definite cusp, and indefinite cusp singularities.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Facts.

Whitney (1955) Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to (actually, approximated by) a  $C^{\infty}$  map with at most <u>definite fold</u>, <u>indefinite fold</u>, <u>definite cusp</u>, and <u>indefinite cusp</u> singularities. Such a map is called an **excellent map**.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Facts.

Whitney (1955) Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to (actually, approximated by) a  $C^{\infty}$  map with at most <u>definite fold</u>, <u>indefinite fold</u>, <u>definite cusp</u>, and <u>indefinite cusp</u> singularities. Such a map is called an **excellent map**.

Levine (1965) [Cusps can be eliminated in pairs.] Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to an excellent map without a cusp if  $\chi(M^4)$  is even, and with exactly one cusp if  $\chi(M^4)$  is odd.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Facts.

Whitney (1955) Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to (actually, approximated by) a  $C^{\infty}$  map with at most <u>definite fold</u>, <u>indefinite fold</u>, <u>definite cusp</u>, and <u>indefinite cusp</u> singularities. Such a map is called an **excellent map**.

Levine (1965) [Cusps can be eliminated in pairs.] Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to an excellent map without a cusp if  $\chi(M^4)$  is even, and with exactly one cusp if  $\chi(M^4)$  is odd.

Excellent maps may have definite folds and cusps, but have no Lefschetz critical point.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Facts.

Whitney (1955) Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to (actually, approximated by) a  $C^{\infty}$  map with at most <u>definite fold</u>, <u>indefinite fold</u>, <u>definite cusp</u>, and <u>indefinite cusp</u> singularities. Such a map is called an **excellent map**.

Levine (1965) [Cusps can be eliminated in pairs.] Every  $C^{\infty}$  map  $M^4 \to \Sigma^2$  is homotopic to an excellent map without a cusp if  $\chi(M^4)$  is even, and with exactly one cusp if  $\chi(M^4)$  is odd.

- Excellent maps may have definite folds and cusps, but have no Lefschetz critical point.
- BLFs may have Lefschetz critical points, but have no definite fold or cusp.



# §3. Elimination of Definite Fold
### **Elimination of Definite Fold**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Theorem 3.1 (S., 2006)

Every  $C^{\infty}$  map  $g : M^4 \to S^2$  is homotopic to an excellent map without definite fold singularities, and possibly with a cusp.

### **Elimination of Definite Fold**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Theorem 3.1 (S., 2006)

Every  $C^{\infty}$  map  $g: M^4 \to S^2$  is homotopic to an excellent map without definite fold singularities, and possibly with a cusp.

In other words, we can eliminate **definite fold singularities** by homotopy.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Sketch of Proof

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Sketch of Proof We may assume that g is an excellent map.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Sketch of Proof We may assume that g is an excellent map.  $S(g) \ (\subset M^4)$ : set of singular points  $S_D(g) \ (\subset S(g))$ : set of definite fold singular points

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Sketch of Proof We may assume that g is an excellent map.  $S(g) \ (\subset M^4)$ : set of singular points  $S_D(g) \ (\subset S(g))$ : set of definite fold singular points Step 1. Modify  $S_D(g)$  to a single "unknotted" component.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Sketch of Proof We may assume that g is an excellent map.  $S(g) \ (\subset M^4)$ : set of singular points  $S_D(g) \ (\subset S(g))$ : set of definite fold singular points Step 1. Modify  $S_D(g)$  to a single "unknotted" component. For this, we use the proof of the following theorem.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Sketch of Proof We may assume that g is an excellent map.  $S(g) \ (\subset M^4)$ : set of singular points  $S_D(g) \ (\subset S(g))$ : set of definite fold singular points Step 1. Modify  $S_D(g)$  to a single "unknotted" component. For this, we use the proof of the following theorem.

**Theorem 3.2 (S., 1995)**  $g: M^4 \to \Sigma^2$  a  $C^{\infty}$  map  $L \subset M^4$ : a non-empty closed 1-dim. submanifold  $\exists$  excellent map  $f: M^4 \to \Sigma^2$  homotopic to g s.t. S(f) = L $\iff [L]_2 = 0$  in  $H_1(M^4; \mathbb{Z}_2)$ 

#### **Moves for Excellent Maps**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs



## **Proof (continued)**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Step 2**. Arrange g so that  $g|_{S_D(g)}$  is an embedding into  $S^2$ .

# **Proof (continued)**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Step 2**. Arrange g so that  $g|_{S_D(g)}$  is an embedding into  $S^2$ .

Use Reidemeister-like moves on  $S^2$  and their "lifts". This is possible, since the target is the 2-sphere.

# **Proof (continued)**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Step 2**. Arrange g so that  $g|_{S_D(g)}$  is an embedding into  $S^2$ .

Use Reidemeister-like moves on  $S^2$  and their "lifts". This is possible, since the target is the 2-sphere.

For Step 3, we need the following additional move.



#### **Definite to Indefinite**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Step 3**. Definite fold circle  $\rightsquigarrow$  Indefinite one (Williams, 2010)

### **Definite to Indefinite**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Step 3**. Definite fold circle  $\rightsquigarrow$  Indefinite one (Williams, 2010)



#### **Existence of BLF**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Corollary 3.3 (Baykur, 2008)** Every closed oriented 4-manifold admits a BLF over  $S^2$ .

#### **Existence of BLF**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Corollary 3.3 (Baykur, 2008)** Every closed oriented 4-manifold admits a BLF over  $S^2$ .



Figure 7: Sinking and Unsinking (Lekili, 2009)

#### **Existence of BLF**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Corollary 3.3 (Baykur, 2008)** Every closed oriented 4-manifold admits a BLF over  $S^2$ .



Figure 7: Sinking and Unsinking (Lekili, 2009)

**Remark 3.4** For the existence of BLF, several proofs are known (Gay–Kirby, Baykur, Lekili, Akbulut–Karakurt).

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We can also prove the following (cf. Lekili, 2009).

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We can also prove the following (cf. Lekili, 2009).

**Theorem 3.5**  $g: M^4 \to S^2$  a  $C^{\infty}$  map  $L \subset M^4$ : a non-empty closed 1-dim. submanifold  $\exists f: M^4 \to S^2$  BLF homotopic to g s.t.  $S_{\mathrm{I}}(f) = L$  $\iff [L]_2 = 0$  in  $H_1(M^4; \mathbf{Z}_2)$ 

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We can also prove the following (cf. Lekili, 2009).

**Theorem 3.5**  $g: M^4 \to S^2$  a  $C^{\infty}$  map  $L \subset M^4$ : a non-empty closed 1-dim. submanifold  $\exists f: M^4 \to S^2$  BLF homotopic to g s.t.  $S_{\mathrm{I}}(f) = L$  $\iff [L]_2 = 0$  in  $H_1(M^4; \mathbf{Z}_2)$ 

Using similar techiniques in the context of near-symplectic structures (Perutz, 2006; Lekili, 2009), we can prove the following.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

We can also prove the following (cf. Lekili, 2009).

**Theorem 3.5**  $g: M^4 \to S^2$  a  $C^{\infty}$  map  $L \subset M^4$ : a non-empty closed 1-dim. submanifold  $\exists f: M^4 \to S^2$  BLF homotopic to g s.t.  $S_{\mathrm{I}}(f) = L$  $\iff [L]_2 = 0$  in  $H_1(M^4; \mathbf{Z}_2)$ 

Using similar techiniques in the context of near-symplectic structures (Perutz, 2006; Lekili, 2009), we can prove the following.

**Theorem 3.6**  $M^4$ : closed oriened 4-manifold with  $b_2^+(M^4) > 0$  $L \subset M^4$ : a non-empty closed 1-dim. submanifold  $\exists$ **near-symplectic structure**  $\omega$  whose zero locus coincides with L $\iff [L]_2 = 0$  in  $H_1(M^4; \mathbb{Z}_2)$ 

### Recent Result by Gay–Kirby

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 3.7 (Gay–Kirby, 2011)**  $g: M^4 \to \Sigma^2$  a  $C^{\infty}$  map  $\exists f: M^4 \to \Sigma^2$  BLF homotopic to g  $\iff [\pi_1(\Sigma^2): g_*\pi_1(M^4)] < +\infty$ Furthermore, if  $g_*: \pi_1(M^4) \to \pi_1(\Sigma^2)$  is surjective, then we can arrange so that  $\forall$ **fibers are connected**.

Remark 3.8 Fiber connectedness is very important!

### Recent Result by Gay–Kirby

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 3.7 (Gay–Kirby, 2011)**  $g: M^4 \to \Sigma^2$  a  $C^{\infty}$  map  $\exists f: M^4 \to \Sigma^2$  BLF homotopic to g  $\iff [\pi_1(\Sigma^2): g_*\pi_1(M^4)] < +\infty$ Furthermore, if  $g_*: \pi_1(M^4) \to \pi_1(\Sigma^2)$  is surjective, then we can arrange so that  $\forall$ **fibers are connected**.

**Remark 3.8 Fiber connectedness** is very important! Recall the cohomological condition appearing in the ADK theorem on the existence and uniqueness of near-symplectic structures.



# $\S$ 4. Moves for BLFs

#### Lekili's Moves

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

There is a set of "moves" for BLFs, called Lekili's moves.

#### Lekili's Moves

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

There is a set of "moves" for BLFs, called Lekili's moves.



Figure 8: Lekili's moves

#### Uniqueness

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 4.1 (Williams, 2010; Gay–Kirby, 2011)** If two BLFs  $M^4 \rightarrow \Sigma^2$  are homotopic, then one is obtained from the other by a finite sequence of **Lekili's moves** (Birth, Merge, Flip, Wrinkle, and Sink operations, and their inverses), together with "Isotopies".

#### Uniqueness

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 4.1 (Williams, 2010; Gay–Kirby, 2011)** If two BLFs  $M^4 \rightarrow \Sigma^2$  are homotopic, then one is obtained from the other by a finite sequence of **Lekili's moves** (Birth, Merge, Flip, Wrinkle, and Sink operations, and their inverses), together with "Isotopies".

If one can describe the change in the corresponding near-symplectic structures, one would be able to define a gauge theoretic invariant for 4-manifolds  $\implies$  Lagrangian matching invariant (Perutz, 2007)

#### Uniqueness

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 4.1 (Williams, 2010; Gay–Kirby, 2011)** If two BLFs  $M^4 \rightarrow \Sigma^2$  are homotopic, then one is obtained from the other by a finite sequence of **Lekili's moves** (Birth, Merge, Flip, Wrinkle, and Sink operations, and their inverses), together with "Isotopies".

If one can describe the change in the corresponding near-symplectic structures, one would be able to define a gauge theoretic invariant for 4-manifolds  $\implies$  Lagrangian matching invariant (Perutz, 2007)

It is conjectured that Lagrangian matching invariants equal the Seiberg–Witten invariants.

#### **Another Problem**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Problem 4.2 (Baykur)

Find a sufficient sequence of moves that guarantees to stay within the class of fibrations **without null-homologous fiber components**.

### **Another Problem**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Problem 4.2 (Baykur)

Find a sufficient sequence of moves that guarantees to stay within the class of fibrations **without null-homologous fiber components**.

How about the class of fibrations with connected fibers?

### **Another Problem**

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

#### Problem 4.2 (Baykur)

Find a sufficient sequence of moves that guarantees to stay within the class of fibrations **without null-homologous fiber components**.

How about the class of fibrations with connected fibers?

#### Note.

These guarantee that if we start with a **near-symplectic BLF**, then we can perform the moves within the subclass of **near-symplectic BLFs**.

#### An Answer

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 4.3 (Gay–Kirby, 2011)**  $f_0, f_1 : M^4 \to \Sigma^2$  excellent maps without definite folds *s.t. all the fibers are connected.*  $\Longrightarrow \exists$ generic homotopy  $f_t$  between  $f_0$  and  $f_1$ *s.t.*  $\forall$ fibers of  $f_t$  are connected.

#### An Answer

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 4.3 (Gay–Kirby, 2011)**  $f_0, f_1 : M^4 \to \Sigma^2$  excellent maps without definite folds s.t. all the fibers are connected.  $\Longrightarrow \exists$ generic homotopy  $f_t$  between  $f_0$  and  $f_1$ s.t.  $\forall$ fibers of  $f_t$  are connected.

Idea: A careful application of the classical Cerf theory.

#### An Answer

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

**Theorem 4.3 (Gay–Kirby, 2011)**  $f_0, f_1 : M^4 \to \Sigma^2$  excellent maps without definite folds *s.t. all the fibers are connected.*  $\Rightarrow \exists$ generic homotopy  $f_t$  between  $f_0$  and  $f_1$ *s.t.*  $\forall$ fibers of  $f_t$  are connected.

Idea: A careful application of the classical Cerf theory. cf. The proof that the **Kirby moves** are enough for converting one framed link diagram to another for a given 3-manifold.



# $\S 5.$ Simplified BLFs
§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Let  $f: M^4 \to S^2$  be a BLF.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

```
Let f: M^4 \to S^2 be a BLF. Suppose

(1) S_{\mathrm{I}}(f) \cong S^1,

(2) f|_{S_{\mathrm{I}}(f)} is an embedding onto the equator of S^2,

(3) \forallfibers are connected.
```

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Let  $f: M^4 \to S^2$  be a BLF. Suppose (1)  $S_{\mathrm{I}}(f) \cong S^1$ , (2)  $f|_{S_{\mathrm{I}}(f)}$  is an embedding onto the equator of  $S^2$ , (3)  $\forall$ fibers are connected.

Then, f is a simplified broken Lefschetz fibration (SBLF, for short).

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Let 
$$f: M^4 \to S^2$$
 be a BLF. Suppose  
(1)  $S_{\mathrm{I}}(f) \cong S^1$ ,  
(2)  $f|_{S_{\mathrm{I}}(f)}$  is an embedding onto the equator of  $S^2$ ,  
(3)  $\forall$ fibers are connected.

Then, f is a simplified broken Lefschetz fibration (SBLF, for short).



§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Let 
$$f: M^4 \to S^2$$
 be a BLF. Suppose  
(1)  $S_{\mathrm{I}}(f) \cong S^1$ ,  
(2)  $f|_{S_{\mathrm{I}}(f)}$  is an embedding onto the equator of  $S^2$ ,  
(3)  $\forall$ fibers are connected.

Then, f is a simplified broken Lefschetz fibration (SBLF, for short).



It is known that **every closed oriented** 4-**manifold admits a SBLF** (Gay–Kirby, etc.).

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Williams (2010): Convert the Lefschetz singularities to cusps by Lekili's moves.

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Williams (2010): Convert the Lefschetz singularities to cusps by Lekili's moves.



§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Williams (2010): Convert the Lefschetz singularities to cusps by Lekili's moves.



Then, one can represent the 4-manifold by a finite sequence of simple closed curves on a fiber surface.  $\rightsquigarrow$  surface diagram of a 4-manifold

§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

Williams (2010): Convert the Lefschetz singularities to cusps by Lekili's moves.



Then, one can represent the 4-manifold by a finite sequence of simple closed curves on a fiber surface.  $\rightsquigarrow$  surface diagram of a 4-manifold

#### Theorem 5.1 (Williams, 2011)

Surface diagram of a given closed oriented 4-manifold is unique up to certain moves, called stabilization, handleslide, multislide, and shift.



§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs

- (1) Every closed oriented 4-manifold admits a lot of **BLFs**; when  $b_2^+(M^4) > 0$ , a lot of BLFs with associated **near-symplectic** structures.
- Two BLFs in a fixed homotopy class are related by Lekili's moves. They are also related in the class of BLFs with connected fibers. This would lead to prove the conjecture that the Lagrangian matching invariant defined for near-symplectic structures equals the Seiberg-Witten invariant.
- (3) The **indefinite locus** of a BLF can be prescribed, and the **zero locus** of a near-symplectic structure as well.
- (4) **Surface diagrams** arising from SBLFs may be useful to describe a given 4-manifold, like Heegaard diagrams or framed link diagrams for 3-manifolds.



§1. Broken Lefschetz Fibrations §2. Singularities of Generic Maps §3. Elimination of Definite Fold §4. Moves for BLFs §5. Simplified BLFs



#### Thank you for your attention !