

# LOCALIZATION OF A RENORMALIZED HAMILTONIAN IN QFT BY A PATH MEASURE

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## 1. RENORMALIZED NELSON HAMILTONIAN

In this talk we discuss the ground state of the renormalized Nelson Hamiltonian introduced by E. Nelson in 1964 [8] to consider the removal of cutoffs. The renormalized Nelson Hamiltonian describes a linear interaction between non-relativistic matters and spinless scalar mesons, where the non-relativistic matter is governed by Schrödinger operators. Firstly the Nelson Hamiltonian is defined by imposing ultraviolet cutoff functions and it can be realized as a self-adjoint operator acting in a Hilbert space given by

$$\mathcal{H} = L^2(\mathbb{R}^3) \otimes \mathcal{F},$$

where  $\mathcal{F}$  denotes a boson Fock space over  $L^2(\mathbb{R}^3)$ . Secondly subtracting a renormalization term from the Nelson Hamiltonian, we can define the renormalized Nelson Hamiltonian  $H_{\text{ren}}$ , which has no cutoff functions. A crucial point is that  $H_{\text{ren}}$  is defined through a quadratic form and it can not be possible to see an explicit form of  $H_{\text{ren}}$  as an operator. Let

$$H_\Lambda = H_p \otimes \mathbb{1} + \mathbb{1} \otimes H_f + gH_i, \quad g \in \mathbb{R},$$

where  $H_p = -\frac{1}{2}\Delta + V$  denotes a Schrödinger operator in  $L^2(\mathbb{R}^3)$ ,  $H_f = \int \omega(k)a^\dagger(k)a(k)dk$  the free field Hamiltonian in  $\mathcal{F}$  and  $H_i$  the interaction term defined by

$$H_i = \frac{1}{\sqrt{2}} \int \left( a^\dagger(k)e^{-ikx} \frac{\hat{\varphi}_{\kappa,\Lambda}(k)}{\sqrt{\omega(k)}} + a(k)e^{ikx} \frac{\hat{\varphi}_{\kappa,\Lambda}(k)}{\sqrt{\omega(k)}} \right) dk.$$

Here  $\omega(k) = |k|$  denotes the dispersion relation and  $\hat{\varphi}_{\kappa,\Lambda}$  the cutoff function given by

$$\hat{\varphi}_{\kappa,\Lambda}(k) = \begin{cases} 0 & |k| < \kappa \\ 1 & \kappa \leq |k| \leq \Lambda \\ 0 & |k| > \Lambda. \end{cases}$$

Here we fix  $\kappa > 0$ . We introduce assumptions on  $V$ . We assume that  $V$  is 3-dimensional Kato-decomposable potential, i.e.,  $V = V_+ + V_-$  and  $V_+$  is local Kato-class and  $V_-$  Kato-class. We refer to see [6] for Kato-class. According to [8], we introduce the renormalization term defined by

$$E_\Lambda = -\frac{g^2}{2} \int_{\mathbb{R}^d} \frac{|\hat{\varphi}_{\kappa,\Lambda}(k)|^2}{\omega(k)} \beta(k) dk,$$

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where  $\beta(k)$  describes a propagator given by  $\beta(k) = \frac{1}{\omega(k) + \frac{|k|^2}{2}}$ . We notice that  $\lim_{\Lambda \rightarrow \infty} E_\Lambda = -\infty$ . There exists a self-adjoint operator  $H_{\text{ren}}$  bounded below such that for any  $T \geq 0$

$$(1.1) \quad \lim_{\kappa \rightarrow \infty} e^{-T(H_\Lambda - E_\Lambda)} = e^{-TH_{\text{ren}}}.$$

Nelson [8] proved the convergence in (1.1) in the strong sense, it is however shown that this convergence is in the uniform sense in [1]. (1.1) is also shown in [3, 7, 2]. Let  $(B_t)_{t \geq 0}$  be 3-dimensional Brownian motion on a probability space  $(\Omega, \mathcal{B}, \mathcal{W}^x)$ , where  $\mathcal{W}^x(B_0 = x) = 1$ . Let

$$U(k) = \int_0^T \frac{e^{-s\omega(k)}}{\sqrt{\omega(k)}} \mathbb{1}_{|k| \geq \kappa} e^{-ikB_s} ds, \quad \tilde{U}(k) = \int_0^T \frac{e^{-|T-s|\omega(k)}}{\sqrt{\omega(k)}} \mathbb{1}_{|k| \geq \kappa} e^{ikB_s} ds.$$

Both integrals are finite for arbitrary  $\kappa \geq 0$  and  $\mathbb{R}^d \ni k \neq 0$ . Furthermore we can see that  $U, \tilde{U} \in L^2(\mathbb{R}^3)$  almost surely. Hence both  $a^\dagger(U)$  and  $a(U)$  are well-defined closed operators almost surely. Let  $A = e^{a^\dagger(U)} e^{-\frac{T}{2}H_f}$  and  $\tilde{A} = e^{-\frac{T}{2}H_f} e^{a(\tilde{U})}$ . Let  $F, G \in \mathcal{H}$ . Then we have

$$(F, e^{-TH_{\text{ren}}} G)_{\mathcal{H}} = \int_{\mathbb{R}^d} dx \mathbb{E}^x \left[ e^{-\int_0^T V(B_s) ds} e^{\frac{g^2}{2}S} \left( F(B_0), A\tilde{A}G(B_T) \right)_{\mathcal{F}} \right],$$

where phase factor  $S$  is given by

$$S = 2 \int_0^T \left( \int_0^t \nabla \varphi_0(B_s - B_t, s - t) ds \right) dB_t - 2 \int_0^T \varphi_0(B_s - B_T, s - T) ds$$

with  $\varphi_0(X, t) = \int_{\mathbb{R}^d} \frac{e^{-ikX} e^{-|t|\omega(k)}}{2\omega(k)} \beta(k) dk$ . This is shown by [3, 7].

## 2. LOCALIZATION OF THE GROUND STATE

In the case of  $\Lambda < \infty$  it is shown that the ground state of  $H_\Lambda$  exists and it is unique up to multiple constants. Using Feynman-Kac type formula mentioned above we can also show that the ground state of  $H_{\text{ren}}$  exists.

**Theorem 2.1.**  *$H_{\text{ren}}$  has the ground state and it is unique.*

*Proof.* Outline of a proof is as follows. The uniqueness is proven in [7]. The existence of the ground state is due to [4]. Let  $G \subset \mathbb{R}$  be a bounded and open subset. Let  $\tau_G(x) = \inf\{t > 0 | B_t + x \notin G\}$  be the exit time from  $G$ . In particular when  $x \notin G$ ,  $\tau_G(x) = 0$ . Define the quadratic form  $Q_t : \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{C}$  by

$$Q_t : F \times G \mapsto \int_{\mathbb{R}^d} \mathbb{E}^x \left[ \mathbb{1}_{\tau_G(x) \geq t} e^{\frac{g^2}{2}S} e^{-\int_0^t V(B_s) ds} \left( F(B_0), A\tilde{A}G(B_t) \right) \right] dx.$$

Thus it can be seen that there exists a self-adjoint operator  $H_G$  bounded from below such that  $(F, e^{-tH_G} G) = Q_t(F, G)$ . The self-adjoint operator  $H_G$  can be regarded as a self-adjoint operator on  $L^2(G) \otimes \mathcal{F}$ . Under the identifications  $F \cong L^2(Q)$  and  $L^2(G) \otimes \mathcal{F} \cong L^2(G \times Q)$ , it can be checked that  $e^{-tH_G}$  is hypercontractive. Hence  $H_G$  must have the ground state in  $L^2(G \times Q)$ , since the measure of  $G \times Q$  is finite and  $e^{-tH_\infty}$  is hypercontractive. Let  $\varphi_G$  be the unique ground state of  $H_G$  and we extend  $\varphi_G$  to the

vector on  $L^2(\mathbb{R}^3) \otimes \mathcal{F}$  by zero-extension, i.e.,  $\tilde{\varphi}_G(x, \phi) = \begin{cases} \varphi_G(x, \phi) & (x, \phi) \in G \times Q \\ 0 & (x, \phi) \notin G \times Q \end{cases}$ .

Let  $\varphi_n = \tilde{\varphi}_{G_n}$  and  $G_n \uparrow \mathbb{R}^d$ . Then it can be seen that  $\{\varphi_n\}$  is Cauchy and  $\lim_{n \rightarrow \Lambda} \varphi_n$  exists for each  $\Lambda < \infty$ . The limit is denoted by  $\varphi_\Lambda$ . Suppose that  $\Lambda \rightarrow \infty$ . Hence it can be also shown that  $\{\varphi_\Lambda\}$  is compact, which implies that  $\{\varphi_\Lambda\}$  includes a convergent subsequence  $\varphi_{\Lambda'}$ . Then  $\lim_{\Lambda' \rightarrow \infty} \varphi_{\Lambda'} = \varphi$  is the ground state of  $H_{\text{ren}}$ .  $\square$

Let  $\Phi_g$  be the ground state.. We want to estimate  $(\Phi_g, O\Phi_g)$  for some self-adjoint operator  $O$ . Typical examples of  $O$  are  $e^{+\beta N}$ ,  $e^{+\beta\phi(f)^2}$ .

**Theorem 2.2.** *We have*

- (1):  $\|e^{\beta N} \Phi_g\| < \infty$  for any  $\beta > 0$ ;
- (2):  $\|e^{\beta\phi(f)^2} \Phi_g\| < \infty$  for any  $\beta < \frac{1}{\|f\|^2}$ ;
- (3):  $\lim_{\beta \uparrow \frac{1}{\|f\|^2}} \|e^{\beta\phi(f)^2} \Phi_g\| = \infty$ .

*Proof.* This is due to [4].  $\square$

Furthermore we estimate the spatial decay of  $\|\Phi_g(x)\|_{\mathcal{F}}$  as  $|x| \rightarrow \infty$ .

**Theorem 2.3.** *Assume that  $V$  obeys the lower bound*

$$V(x) \geq \frac{a^2}{2}|x|^{2n} - b, \quad |x| \geq R$$

for some  $a, b, n > 0$  and  $R > 0$  so that  $a^2 R^{2n}/2 - b > 0$ . Then for all  $\varepsilon > 0$ ,  $\delta > 0$ ,

$$C_{\varepsilon, \delta, 1} e^{-\sqrt{\Delta(1, \varepsilon)} \frac{a}{n+1} |x|^{n+1}} \leq \|\Phi_b(x)\|_{\mathcal{F}} \leq c_\varepsilon e^{-(1-\varepsilon) \frac{a}{n+1} |x|^{n+1}}.$$

Here  $\Delta(1, \varepsilon) > 1$  is a constant.

*Proof.* The lower bound follows from [5] and the upper bound from [4].  $\square$

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