

# Analysis of ground state of quantum field theory by Gibbs measures

Fumio Hiroshima  
joint work with Takeru Hidaka

XVIII ICMP 2015, Santiago, Chile

2015/7/27-8/1

- 1 Definition of SRPF model
- 2 Questions of ground states of SRPF model
- 3 Related models
- 4 Gibbs measures
- 5 Main results: Thm1-Thm5
- 6 Idea of proofs and conclusion

- The **Pauli-Fierz (PF) model** is introduced by W. Pauli and M. Fierz at 1938, which describes an interaction between a **nonrelativistic particle** and a quantized radiation field.
- The Hamiltonian can be realized as a **self-adjoint operator**  $H$  on a Hilbert space  $\mathcal{H}$ . Spectrum of  $H$  has been studied from mathematical point of view.
- In this talk a nonrelativistic particle is replaced by a **semi-relativistic particle**.

# Particle part and field part

Particle part is governed by  $h_{sr}$ .

- $h_{sr} = \sqrt{-\Delta + m^2} + V$  on  $L^2(\mathbb{R}^3)$ 
  - (1)  $\lim_{|x| \rightarrow \infty} V(x) = \infty$  (confining pot.)
  - (2)  $\|Vf\| \leq a\|\sqrt{-\Delta + m^2}f\| + b\|f\|$ ,  $a < 1$  (relative pot.)
- Particle mass  $m \geq 0$ .
- **Massless case**  $m = 0 \implies h = \sqrt{-\Delta} + V$

# Particle part and field part

Particle part is governed by  $h_{sr}$ .

- $h_{sr} = \sqrt{-\Delta + m^2} + V$  on  $L^2(\mathbb{R}^3)$ 
  - (1)  $\lim_{|x| \rightarrow \infty} V(x) = \infty$  (confining pot.)
  - (2)  $\|Vf\| \leq a\|\sqrt{-\Delta + m^2}f\| + b\|f\|$ ,  $a < 1$  (relative pot.)
- Particle mass  $m \geq 0$ .
- **Massless case**  $m = 0 \implies h = \sqrt{-\Delta} + V$

Field part is governed by a boson free field Hamiltonian  $H_f(\mathbf{v})$ .

- Boson Fock space  $\mathcal{F} = \bigoplus_{n=0}^{\infty} \otimes_s^n [L^2(\mathbb{R}^3 \times \{1, 2\})]$
- CCR  $[a(k, j), a^\dagger(k', j')] = \delta(k - k')\delta_{jj'}$
- Dispersion relation  $\omega(k) = \sqrt{|k|^2 + \mathbf{v}^2}$ ,  $\mathbf{v} \geq 0$
- Free field Hamiltonian

$$H_f(\mathbf{v}) = \sum_{j=1}^2 \int \omega(k) a^\dagger(k, j) a(k, j) dk$$

# Quantized radiation field and total system

**Quantized radiation field** We introduce a quantized radiation field  $A(x)$ .

- $A_\mu(x) = \sum_{j=1}^2 \int a^\dagger(k, j) e_\mu(k, j) \frac{e^{-ikx} \hat{\phi}(k)}{\sqrt{\omega(k)}} + h.c. dk$
- $\hat{\phi}$  is UV cutoff and  $\hat{\phi}/\sqrt{\omega} \in L^2(\mathbb{R}^3)$
- $e(k, 1), e(k, 2)$  polarization st  $e(k, 1) \times e(k, 2) = \frac{k}{|k|}$
- $\nabla A(x) = 0$  (Coulomb gauge)

# Quantized radiation field and total system

**Quantized radiation field** We introduce a quantized radiation field  $A(x)$ .

- $A_\mu(x) = \sum_{j=1}^2 \int a^\dagger(k, j) e_\mu(k, j) \frac{e^{-ikx} \hat{\phi}(k)}{\sqrt{\omega(k)}} + h.c. dk$
- $\hat{\phi}$  is UV cutoff and  $\hat{\phi}/\sqrt{\omega} \in L^2(\mathbb{R}^3)$
- $e(k, 1), e(k, 2)$  polarization st  $e(k, 1) \times e(k, 2) = \frac{k}{|k|}$
- $\nabla A(x) = 0$  (Coulomb gauge)

## Total Hamiltonian

- State space (Hilbert sp)  $\mathcal{H} = L^2(\mathbb{R}^3) \otimes \mathcal{F}$
- Decoupled operator  $H(0) = h_{sr} \otimes \mathbb{1} + \mathbb{1} \otimes H_f(\mathbf{v})$
- minimal coupling  $-i\nabla_x \otimes \mathbb{1} \implies T_A = -i\nabla_x \otimes \mathbb{1} - \alpha A(x), \alpha \in \mathbb{R}$ .

**SRPF Hamiltonian** is defined by

$$\mathbf{H} = \mathbf{H}(\alpha, \mathbf{m}, \mathbf{v}) = \sqrt{\mathbf{T}_A^2 + \mathbf{m}^2} + \mathbf{V} \otimes \mathbb{1} + \mathbb{1} \otimes H_f(\mathbf{v})$$

# Questions of ground states of SRPF model

## Particle mass $m$ and boson mass $\nu$

particle mass \ boson mass	$\nu > 0$	$\nu = 0$
$m > 0$	OK	OK
$m = 0$	OK	<b>my Talk</b>



# Questions of ground states of SRPF model

## Particle mass $m$ and boson mass $\nu$

particle mass \ boson mass	$\nu > 0$	$\nu = 0$
$m > 0$	OK	OK
$m = 0$	OK	<b>my Talk</b>

## Questions

- Self-adjointness of  $H$ ?
- Existence of ground state  $\varphi_g$ ?
- Properties of ground state?
  - Spatial decay  $\|\varphi_g(x)\| \sim e^{-|x|}, 1/|x|^a$
  - Gaussian domination  $\|e^{+\beta A^2(f)} \varphi_g\| < \infty?$
- Gibbs measures  $\mu_{\text{Gibbs}}$  on **càdlàg** paths?
  - $(\varphi_g, O\varphi_g) = \mathbb{E}_{\mu_{\text{Gibbs}}}[fo]$

## Existence of the ground state and resonances

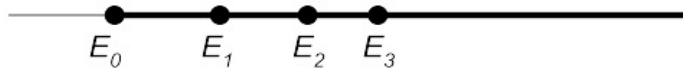


Figure:  $\text{Spec}(H(0))$

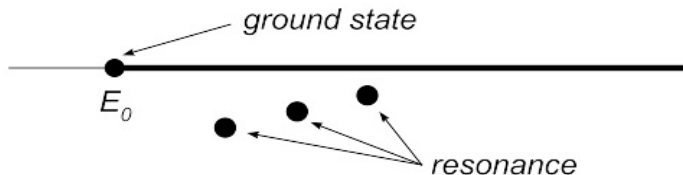


Figure:  $\text{Spec}(H)$

## Related models

- Nelson model (**particle+scalar field**)

$$\left(-\frac{1}{2m}\Delta_x + V\right) \otimes \mathbb{1} + \mathbb{1} \otimes H_f(\mathbf{v}) + \alpha\phi(x)$$

- Spin-boson model (**spin+scalar field**)

$$\sigma_z \otimes \mathbb{1} + \mathbb{1} \otimes H_f(\mathbf{v}) + \alpha\sigma_x \otimes \phi(f)$$

- PF model (**particle+radiation field**)

$$\frac{1}{2m}T_A^2 + V \otimes \mathbb{1} + \mathbb{1} \otimes H_f(\mathbf{v})$$

- SRPF model (**my talk**)

$$\sqrt{T_A^2 + m^2} + V \otimes \mathbb{1} + \mathbb{1} \otimes H_f(\mathbf{v}) \quad m \geq 0$$

- **Self-adjointness** Arai (81,83), H. (00,02), Loss-Miyao-Spohn (07), Haslar-Herbst (08)
- **Existence of GS** Bach-Fröhlich-Sigal (97,99), Arai-Hirokawa (97), Spohn (99), Gérard (00), Griesemer-Lieb-Loss (01), Lieb-Loss (02), Arai(01), Bruneau-Dereziński (04), Hirokawa-H.-Spohn (05), Miyao-Spohn (08), Gérard-H-Suzuki-Panatti (09), Könenberg-Matte-Stockmeyer (11)+many papers,
- **Enhanced binding** H.-Spohn (02), Catto-Hainzl (02), Chen-Vogalter-Vugalter (03), H-Sasaki (08,14)
- **Absence of GS** Arai-Hirokawa-H (99), Chen(01), Dereziński-Gérard(04), Lorinczi-Minlos-Spohn(02), Hirokawa (06), Haslar-Herbst (06) Gérard-H-Suzuki-Panatti (10)
- **Multiplicity of GS** Bach-Fröhlich-Sigal (97), Arai-Hirokawa (97), H. (00,02), H.-Spohn (01), Bach-Fröhlich-Pizzo (05)
- **Asymptotic field** Spohn(97), Dereziński-Gérard(99,04), Gérard (02), Fröhlich-Griesemer-Schlein (01, 02)

## Gibbs measures

Example of Nelson model  $H_N$

$$(f \otimes \mathbb{1}_{\mathcal{F}}, e^{-2TH_N} g \otimes \mathbb{1}_{\mathcal{F}}) = \mathbb{E}[e^{\alpha^2 \int_{-T}^T ds \int_{-T}^T dt W}]$$

- Vacuum  $\mathbb{1}_{\mathcal{F}} \in \mathcal{F}$
- $\mathbb{E}[\dots] = \int_{\mathbb{R}^3} dx \mathbb{E}^x[\bar{f}(B_{-T})g(B_T)e^{-\int_{-T}^T V(B_s)ds} \dots]$
- **Pair interaction**  $W = W(B_t - B_s, t - s),$

$$W(X, t) = \frac{1}{2} \int_{\mathbb{R}^3} \frac{\hat{\phi}(k)^2}{\omega(k)} e^{-ikX} e^{-|T|\omega(k)} dk$$

## Ground state and Gibbs measures

- Finite vol. Gibbs meas. (meas. on cont. paths)

$$A \mapsto \mu_T(A) = \frac{1}{Z_T} \mathbb{E}[\mathbb{1}_A e^{\alpha^2 \int_{-T}^T ds \int_{-T}^T dt W}]$$

Thm. Betz-H-Lorinczi-Minlos-Spohn (01)

$\exists \mu_{\text{Gibbs}} = \lim_T \mu_T$  in *local weak* for Nelson model.

- $\exists \varphi_g \implies \varphi_g^T = \frac{e^{-TH} f \otimes \mathbb{1}_{\mathcal{F}}}{\|e^{-TH} f \otimes \mathbb{1}_{\mathcal{F}}\|} \rightarrow \varphi_g$

$$(\varphi_g, O\varphi_g) = \lim_T (\varphi_g^T, O\varphi_g^T) = \lim_T \mathbb{E}_{\mu_T} [f_0^T] = \mathbb{E}_{\mu_{\text{Gibbs}}} [f_0^\infty]$$

# Main results

- **Thm1** Under some condition on  $\hat{\phi}$  and  $V$ ,  $H$  is **self-adjoint** on  $Dom(-\Delta) \cap Dom(H_f(\mathbf{v}))$ .
- **Thm2** Let  $V$  be confining. Then  $\exists$  **ground state**  $\varphi_g$  and unique for  $\forall \alpha \in \mathbb{R}, \forall m, \mathbf{v} \geq 0$
- **Thm3**  $\exists \mu_{\text{Gibbs}}$  on **cadlag path** space.

- **Thm4**  $\|e^{+(\beta/2)A(f)^2} \varphi_g\| < \infty$  for  $\beta < \exists c$
- **Thm5** Let  $V = V_+ - V_-$ .
  - $m \geq 0$  and  $\lim_{|x| \rightarrow \infty} V(x) = \infty$   
 $\implies \|\varphi_g(x)\|_{\mathcal{F}} \leq e^{-c|x|}$  exp decay
  - $m > 0$   $V_+ = 0$  and  $\lim_{|x| \rightarrow \infty} V_-(x) + E < 0$   
 $\implies \|\varphi_g(x)\|_{\mathcal{F}} \leq e^{-c|x|}$  exp decay
  - $m = 0$   $V_+ = 0$  and  $\lim_{|x| \rightarrow \infty} V_-(x) + E < 0$   
 $\implies \|\varphi_g(x)\|_{\mathcal{F}} \leq \frac{c}{1+|x|^4}$  poly. decay



# Idea of proofs

- Application of a **functional integral representation**:  
 $(F, e^{-TH}G) = \int dx \mathbb{E}[\dots]$  in terms of a combination of  
 **$\infty$ -dim.OU, Brownian Motion, Poisson process and subordinator**.
- gs for  $m = \nu = 0$**   
 Cf. Gérard (00)+Griesemer-Lieb-Loss (01)
  - For  $\nu > 0$ ,  $H$  has a spectral gap (HVZ Thm) and  $\exists \varphi_g^\nu$ .
  - Pull through formula  

$$a(k, j) \varphi_g = (H - E - |k|)^{-1} [ -i\nabla_x - \alpha A(x), a(k, j) ] \varphi_g$$
  - $|u| = \sqrt{u^2} = \int_0^\infty (1 - e^{-\beta u^2}) d\lambda(\beta)$  + Hardy inequality + FKF
  - By this we have  $\|N^{1/2} \varphi_g^\nu\| \leq c \| |x| \varphi_g^\nu \|$
  - Spatial decay  $\| \varphi_g^\nu(x) \|_{\mathcal{F}} \leq c e^{-|x|}$  or  $\leq c(1 + |x|^4)^{-1}$  implies

$$\varphi_g^\nu \rightarrow \exists \varphi_g \neq 0 \quad (\nu \rightarrow 0).$$

## Conclusion and remarks

- We show (1) self-adjointness of  $H$  (2)  $\exists \varphi_g$  (3) spatial decay and Gaussian domination of  $\varphi_g$  (4)  $\exists \mu_{\text{Gibbs}}$  on cadlag path, for  $m, \nu \geq 0$  and  $\forall \alpha \in \mathbb{R}$ .
- Our results are valid for the case of  $m = 0$  and  $\nu = 0$ 

$$|-i\nabla_x \otimes \mathbb{1} - \alpha A(x)| + V \otimes \mathbb{1} + \mathbb{1} \otimes H_f(0)$$
- do **not** need  $\int \frac{|\hat{\phi}|^2}{\omega^3} dk < \infty$ . Bach-Fröhlich-Sigal (99)
- Extension to  $H_S$  with spin 1/2, and to translation invariant case  $H_P$ . Functional integral representation of  $e^{-TH_S}$  and  $e^{-TH_P}$  can be also constructed.
- **UV renormalization?**  
Cf Nelson model  $\implies$  Nelson(64),  
Gérard-H-Panatti-Suzuki(10), Gubinelli-H-Lorinczi(14)