## Symmetry of Homogeneous Siegel Domains

and

Harmonicity of the Poisson-Hua Kernel

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### Motivation of this work

D: a Homogeneous Siegel domain

 $\Sigma$ : the Shilov boundary of D

$$P(z,\zeta)$$
  $(z \in D, \zeta \in \Sigma)$ :

the Poisson kernel of D defined à la Hua

 $\mathscr{L}$ : the Laplace–Beltrami operator of D (with respect to the Bergman metric)

Theorem (Hua-Look ('59), Korányi ('65), Xu ('79))

$$\mathscr{L}P(\cdot,\zeta)=0\ orall \zeta\in\Sigma\iff D$$
 : symm.

D: symmetric

$$\iff \forall z \in D, \ \exists \sigma_z \in \operatorname{Hol}(D) \text{ s.t.}$$

$$\begin{cases} \sigma_z^2 = \text{identity}, \\ z \text{ is an isolated fixed point of } \sigma_z. \end{cases}$$

## [←] well known

- Hua-Look : direct and case-by-case computation for 4 classical domains
- Korányi : stronger result for general symmetric domains

 $P(\cdot,\zeta)$  is annihilated by any  $\operatorname{Hol}(D)^\circ$ -invariant differential operator without const. term  $(\operatorname{Hol}(D)^\circ)$  is semisimple for symmetric D)

## [⇒] less known

- Lu Ru-Qian : An example of non-symmetric Siegel domain for which  $P(\cdot,\zeta)$  is not killed by  $\mathscr L$  (Chinese Math. Acta, **7** (1965))
- Xu Yichao: though the proof is hardly traceable at least for me
- (1) Needs to understand his own theory of "N-Siegel domains",
- (2) Some of cited papers of his are written in Chinese not available in English.

## The purpose of this talk (my contribution)

Wants to know a geometric reason that the theorem is true

Validity of some geometric norm equality ⇔ Symmetry of the domain

Norm equality involves a Cayley transform.

In general we can consider a family of Cayley transform parametrized by admissible linear forms
(N, to appear in Diff. Geom. Appl.)

- Cayley transf. assoc. to the char. ftn of the cone (R. Penney, 1996)
- Cayley transf. assoc. to the Szegö kernel
   (N, today's talk)
- Cayley transf. assoc. to the Bergman kernel (N, JLT, 2001)

etc...

## **Siegel Domains**

V: a real vector space

 $\bigcup$ 

 $\Omega$ : a <u>regular</u> open convex cone

 $(\iff_{\text{def}} \text{ contains } no \text{ entire line})$ 

 $W := V_{\mathbb{C}} \quad (w \mapsto w^* : ext{conjugation w.r.t. } V)$ 

*U*: another *complex* vector space

Q: U imes U o W, Hermitian sesquilinear  $\Omega$ -positive i.e.,  $\begin{cases} Q(u',u) = Q(u,u')^* \\ Q(u,u) \in \overline{\Omega} \setminus \{0\} \ (0 \neq \forall u \in U) \end{cases}$ 

$$D := \{(u, w) \in U \times W ; w + w^* - Q(u, u) \in \Omega\}$$
  
Siegel domain (of type II)

Assume that D is homogeneous

i.e., 
$$\operatorname{Hol}(D) \curvearrowright D$$
 transitively

• If  $U = \{0\}$ , then  $D = \Omega + iV$ . (tube domain or type I domain)  $\exists G$  : split solvable  $\curvearrowright D$  simply transitively

g := Lie(G) has a structure of Piatetski-Shapiro algebra (normal j-algebra)

 $\begin{cases} \exists J : \text{ integrable almost complex structure on } \mathfrak{g} \\ \exists \omega : \text{ admissible linear form on } \mathfrak{g}, \textit{ i.e.,} \\ \langle x | y \rangle_{\omega} := \langle [Jx, y], \omega \rangle \text{ defines a $J$-invariant inner product on } \mathfrak{g}. \end{cases}$ 

Example (Koszul '55). Koszul form.

$$\langle x, \beta \rangle := \operatorname{tr} (\operatorname{ad}(Jx) - J \operatorname{ad}(x)) \quad (x \in \mathfrak{g}).$$

eta is admissible

• In fact,  $\langle x|y\rangle_{\pmb{\beta}}$  is the real part of the Hermitian inner product defined by the Bergman metric on  $D\approx G$  (up to a positive scalar multiple).

## Pseudoinverse assoc. to the Szegö kernel

S: the Szegö kernel of D (= reprod. kernel of the Hardy space)

## **Hardy space** $H^2(D)$

holomorphic functions F on D such that

$$\sup_{t\in\Omega}\int_{U}\int_{V}\left|F\left(u,t+\frac{1}{2}Q(u,u)+ix\right)\right|^{2}dxdm(u)<\infty$$

 $\exists \eta$  : holomorphic on  $\Omega + iV$  such that

$$S(z_1, z_2) = \eta \left( w_1 + w_2^* - Q(u_1, u_2) \right)$$

$$(z_j = (u_j, w_j) \in D)$$

### In more detail

 $\exists H \subset G$ : s.t.  $H \curvearrowright \Omega$  simply transitively  $E \in \Omega$  (base pt; the id. element of the clan) Then  $H \approx \Omega$  (diffeo) by  $h \mapsto hE$ .

For each  $\chi: H \to \mathbb{R}_+^{ imes}$  one dim. repredefine  $\Delta_\chi$  on  $\Omega$  by

$$\Delta_{\chi}(hE) := \chi(h) \quad (h \in H)$$

ullet  $\Delta_\chi$  extends to a holomorphic function on  $\Omega+iV$  as the Laplace transform of the Riesz distribution on the dual cone  $\Omega^*$  (Gindikin, Ishi (J. Math. Soc. Japan, 2000)), where

$$\Omega^* := \{ \xi \in V^* ; \langle x, \xi \rangle > 0 \ \forall x \in \overline{\Omega} \setminus \{0\} \}.$$

• 
$$\exists \chi$$
,  $\exists c > 0$  s.t.  $\eta = c \Delta_{\chi}$ 

For each  $x \in \Omega$ , define  $\mathscr{I}(x) \in V^*$  by

$$\langle v, \mathscr{I}(x) \rangle := -D_v \log \eta(x)$$

$$\left(D_{v}f(x) := \frac{d}{dt}f(x+tv)\big|_{t=0}\right)$$

• 
$$\mathscr{I}(\lambda x) = \lambda^{-1} \mathscr{I}(x) \quad (\lambda > 0)$$

Prop. (1)  $\mathscr{I}(x) \in \Omega^*$  and  $\mathscr{I}: \Omega \to \Omega^*$  is bij.

- (2)  $\mathscr{I}$  extends analytically to a rational map  $W \to W^*.$
- (3) One also has an explicit formula for  $\mathscr{I}^{-1}:\Omega^* \twoheadrightarrow \Omega$ , which continues analytically to a rational map  $W^* \to W$ . Thus  $\mathscr{I}$  is birational.
- (4)  $\mathscr{I}: \Omega + iV \to \mathscr{I}(\Omega + iV)$  is biholo.

Remark. If  $\chi: H \to \mathbb{R}_+^{\times}$  is defined in a natural way by an admissible linear form, then the above proposition holds for  $\mathscr{I} = \mathscr{I}_{\chi}$  [N, to appear in Diff. Geom. Appl.].

## **Cayley transform**

$$E^* := \mathscr{I}(E) \in \Omega^*.$$
  $\left(1 - \frac{2}{w+1} = \frac{w-1}{w+1}\right)$   $C(w) := E^* - 2\mathscr{I}(w+E)$  for tube domains  $\mathscr{C}(u,w) := 2\langle Q(u,\cdot), \mathscr{I}(w+E) \rangle \oplus C(w)$   $\in W^*$ 

 $U^\dagger$  : the space of antilinear forms on U

Prop. (1)  $\mathscr{C}: D \to \mathscr{C}(D)$  is birational and biholomorphic.

(2)  $\mathscr{C}^{-1}$  can be written explicitly.

Theorem [N]. 
$$\mathscr{C}(D)$$
 is bounded (in  $U^\dagger \oplus W^*$ ).

- Remark. (1)  $C_{\chi}$  and  $\mathscr{C}_{\chi}$  can be defined similarly from  $\mathscr{I}_{\chi}$ . One can prove that  $\mathscr{C}_{\chi}(D)$  is bounded [N].
- (2) For general  $\chi$ ,  $\mathscr{C}_{\chi}(D)$  for symmetric D is *not* the standard Harish-Chandra model of a Hermitian symmetric space.

## **Norm equality**

 $e := (0, E) \in D$ : base point

 $\langle x|y\rangle_{\omega}$ : *J*-inv. inner prod. on  $\mathfrak{g}$ 

- $\leadsto$  Upon  $G \equiv D$  by  $g \mapsto g \cdot \mathsf{e}$ , we have Hermitian inner prod. on  $T_\mathsf{e}(D) \equiv U \oplus W$
- $\leadsto$  Herm. inner prod.  $(\cdot | \cdot)_{\omega}$  and norm  $\| \cdot \|_{\omega}$  on the 'dual' vector space  $U^{\dagger} \oplus W^{*}$ .

 $\Sigma$ : the Shilov boundary of D

$$\Sigma = \{(u, w) \in U \times W; 2\operatorname{Re} w = Q(u, u)\}$$

•  $\Psi_{\omega} \in \mathfrak{g}$ :  $\operatorname{trad}(x) = \langle x | \Psi_{\omega} \rangle_{\omega} \ (\forall x \in \mathfrak{g})$ 

$$\begin{split} S(z_1,z_2) &= \eta \left( w_1 + w_2^* - Q(u_1,u_2) \right) \text{ with } \eta = c \, \Delta_\chi \\ \Delta_\chi(hE) &= \chi(h) = e^{-\langle \log h, \alpha \rangle} \, (\alpha \in \mathfrak{h}^* \subset \mathfrak{g}^*). \end{split}$$

### Theorem [N].

$$\begin{split} \|\mathscr{C}(\zeta)\|_{\omega}^2 &= \langle \Psi_{\omega}, \alpha \rangle \text{ for } \forall \zeta \in \Sigma \\ \iff D \text{ is symm. and } \omega|_{[\mathfrak{g},\mathfrak{g}]} &= \gamma \! \cdot \! \beta|_{[\mathfrak{g},\mathfrak{g}]} \; (\gamma \! > \! 0). \end{split}$$

$$\langle x, \beta \rangle = \operatorname{tr} \left( \operatorname{ad} \left( Jx \right) - J \operatorname{ad} \left( x \right) \right)$$
: Koszul form

## **Laplace–Beltrami operators**

 $\langle x|y\rangle_{\omega}$  inner prod. on  $\mathfrak{g}$ 

- $\rightsquigarrow$  left invariant Riemannian metric on G
- $\leadsto$  Laplace–Beltrami operator  $\mathscr{L}_{\boldsymbol{\omega}}$  on G

Upon 
$$G \equiv D$$
 by  $g \mapsto g \cdot e$ ,

we have, for 
$$\pmb{\omega} = \pmb{\beta}$$
 ,  $\mathscr{L}_{\pmb{\beta}} = c'\mathscr{L} \; (c'>0)$ 

 $(\mathcal{L}: \mathsf{Laplace}\mathsf{-Beltrami} \ \mathsf{operator} \ \mathsf{\leftrightsquigarrow} \ \mathsf{the} \ \mathsf{Bergman} \ \mathsf{metric} \ \mathsf{of} \ D).$ 

# Prop (Urakawa '79). $\mathscr{L}_{\omega} = -\Lambda + \Psi_{\omega}$ .

- ullet  $\Lambda:=X_1^2+\cdots+X_{\dim\mathfrak{g}}^2\in U(\mathfrak{g})$  ,
- $\{X_1, \dots, X_{\dim \mathfrak{g}}\}$  is an ONB of  $\mathfrak{g}$  w.r.t.  $\langle \cdot | \cdot \rangle_{\omega}$  ( $\Lambda$  is independent of choice of ONB.)
- $\langle \cdot | \Psi_{\omega} \rangle_{\omega} = \operatorname{trad}(\cdot)$ ,
- Elements of  $U(\mathfrak{g})$  are regarded as left invariant differential operators on G thus if  $X \in \mathfrak{g}$ ,

$$Xf(x) = \frac{d}{dt}f(x\exp tX)\big|_{t=0}.$$

### **Poisson kernel**

 $S(z_1,z_2)$  : the Szegö kernel of the Siegel domain D We know

$$S(z_1, z_2) = \eta(w_1 + w_2^* - Q(u_1, u_2)), \quad \eta = c \Delta_{\chi}.$$

 $S(z,\zeta)$  for  $z\in D$  and  $\zeta\in\Sigma$  has a meaning.

$$P(z,\zeta) := \frac{|S(z,\zeta)|^2}{S(z,z)} \quad (z \in D, \zeta \in \Sigma) :$$

the Poisson kernel of D

$$P^G_\zeta(g) := P(g \cdot \mathsf{e}, \ \zeta) \quad (g \in G).$$

### Theorem [N].

$$\mathscr{L}_{\omega}P_{\zeta}^{G}(e) = (-\|\mathscr{C}(\zeta)\|_{\omega}^{2} + \langle \Psi_{\omega}, \alpha \rangle)P_{\zeta}^{G}(e),$$

where lpha is related to  $\chi$  by  $\chi(\exp T) = e^{-\langle T, lpha 
angle}$ .

Remark. By 
$$P(g \cdot z, \zeta) = \chi(g)P(z, g^{-1} \cdot \zeta) \ (g \in G)$$
,  $\mathscr{L}_{\omega}P_{\zeta}^{G} = 0 \ \forall \zeta \in \Sigma \iff \mathscr{L}_{\omega}P_{\zeta}^{G}(e) = 0 \ \forall \zeta \in \Sigma.$ 

$$\begin{array}{ll} \underline{\mathsf{Theorem}}. & \mathscr{L}_{\omega}P_{\zeta}^{G} = 0 \text{ for } \forall \zeta \in \Sigma \\ \iff D \text{ is symm. and } \omega|_{[\mathfrak{g},\mathfrak{g}]} = \gamma \cdot \beta|_{[\mathfrak{g},\mathfrak{g}]} \; (\gamma > 0). \end{array}$$

### Validity of the norm equality for symmetric D ( $\omega = \beta$ )

D: symmetric  $\Longrightarrow \mathscr{D} := \mathscr{C}(D)$  is the Harish-Chandra model of a Hermitian symmetric space

In particular,  $\mathscr{D}$  is circular (Note  $\mathscr{C}(e) = 0$ ).

 $G := Hol(\mathscr{D})^{\circ}$ : semisimple Lie gr. (with trivial center)

 $K := Stab_G(0)$ : maximal cpt subgr. of G

Circularity of  $\mathscr{D}$  (  $\Longrightarrow$  K is linear)

+ K-inv. of the Bergman metric

 $\implies$  K  $\subset$  Unitary group

$$\begin{cases} \mathscr{C}: \Sigma \ni 0 \mapsto -E^*, \\ \text{Shilov boundary } \Sigma_{\mathscr{D}} \text{ of } \mathscr{D} = \mathsf{K} \cdot (-E^*). \end{cases}$$

Since  $\Sigma_{\mathscr{D}}$  is also a G-orbit  $\Sigma_{\mathscr{D}}=\operatorname{G}\cdot(-E^*)$  and since  $\Sigma$  is an orbit of a nilpotent subgroup of  $G\subset\operatorname{Hol}(D)^\circ$ , we get

$$\begin{split} \mathscr{C}(\Sigma) \subset \mathsf{G} \cdot (-E^*) &= \Sigma_{\mathscr{D}} \\ &= \mathsf{K} \cdot (-E^*) \\ &\subset \{z \, ; \, \|z\|_{\beta} = \|E^*\|_{\beta} \}. \end{split}$$

We see easily that  $\|E^*\|_{\pmb{\beta}}^2 = \langle \Psi_{\pmb{\beta}}, \pmb{lpha} 
angle$  in this case.

## Norm equality $\implies$ symmetry of D

Assumption :  $\|\mathscr{C}(\zeta)\|_{\omega}^2 = \langle \Psi_{\omega}, \alpha \rangle$  for  $\forall \zeta \in \Sigma$ .

## (1) Reduction to a quasisymmetric domain

 $\kappa$ : the Bergman kernel of D

$$\begin{pmatrix} \kappa(z_1,z_2) = \eta_0(w_1 + w_2^* - Q(u_1,u_2)), \\ \exists \chi_0 : H \to \mathbb{R}_+^\times, \ \exists c_0 > 0 \ \text{s.t.} \ \eta_0 = c_0 \Delta_{\chi_0}, \\ \Delta_{\chi_0}(hE) = \chi_0(h) \colon \ \Delta_{\chi_0} \leadsto \text{hol. ftn on } \Omega + iV \end{cases}$$

 $\langle x | y \rangle_{\kappa} := D_x D_y \log \Delta_{\chi_0}(E)$ : inner prod. of V

Define a non-associative prod. xy in V by

$$\langle xy | z \rangle_{\kappa} = -\frac{1}{2} D_x D_y D_z \log \Delta_{\chi_0}(E).$$

Prop. (Dorfmeister, D'Atri, Dotti, Vinberg).

D is quasisymmetric  $\iff$  prod. xy is Jordan.

In this case, V is a Euclidean Jordan algebra.

$$\mathfrak{g} = \mathfrak{a} \ltimes \mathfrak{n}$$

a: abelian, n: sum of a-root spaces (positive roots only)

#### Possible forms of roots:

$$\frac{1}{2}(\alpha_k \pm \alpha_j) \ (j < k), \quad \alpha_k, \quad \frac{1}{2}\alpha_k$$

Always  $\dim \mathfrak{g}_{\alpha_k} = 1 \ (\forall k)$ .

### Prop. (D'Atri and Dotti '83; D: irred.)

D is quasisymmetric

$$\iff \begin{cases} (1) & \dim \mathfrak{g}_{(\alpha_k + \alpha_j)/2} \text{ is indep. of } j, k, \\ (2) & \dim \mathfrak{g}_{\alpha_k/2} \text{ is indep. of } k. \end{cases}$$

Extend  $\langle \cdot | \cdot \rangle_{\kappa}$  to a  $\mathbb{C}$ -bilinear form on  $W \times W$ .

$$(u_1 | u_2)_{\kappa} := \langle Q(u_1, u_2) | E \rangle_{\kappa}$$
 defines a Hermitian inner product on  $U$ .

For each 
$$w \in W$$
, define  $\varphi(w) \in \operatorname{End}_{\mathbb{C}}(U)$  by  $(\varphi(w)u_1 | u_2)_{\kappa} = \langle Q(u_1, u_2) | w \rangle_{\kappa}.$ 

Clearly  $\varphi(E) = \text{identity operator on } U$ .

$$\Longrightarrow w \mapsto \varphi(w) \text{ is a Jordan } *\text{-repre. of } W = V_{\mathbb{C}}$$
 
$$\begin{cases} \varphi(w^*) = \varphi(w)^*, \\ \varphi(w_1w_2) = \frac{1}{2} \big( \varphi(w_1) \varphi(w_2) + \varphi(w_2) \varphi(w_1) \big). \end{cases}$$

## (2) Reduction : quasisymm $\implies$ symm

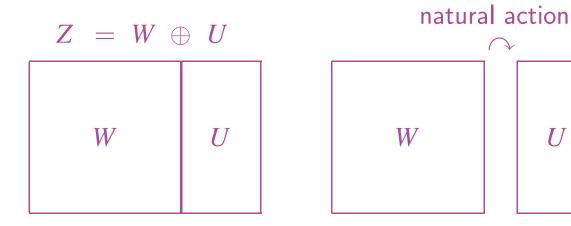
Quasisymmetric Siegel domain

$$\leftrightarrow \begin{cases} \text{Euclidean Jordan algebra } V \text{ and} \\ \text{Jordan *-representation } \varphi \text{ of } W = V_{\mathbb{C}}. \end{cases}$$

Symmetric Siegel domain

The following strange formula fills the gap:

$$\varphi(w)\varphi(Q(u,u'))u=\varphi(Q(\varphi(w)u,u'))u,$$
 where  $u,u'\in U$  and  $w\in W$ .



complex semisimple Jordan algebra

$$W=V_{\mathbb{C}}$$

with V Euclidean JA

Jordan alg. \*-repre. of W

 $\boldsymbol{U}$ 

Prop. (Satake). Quasisymm. D is symm.

 $\iff V$  and  $\phi$  come from a positive Hermitian JTS this way.

## **Definition of triple product**

$$z_j = (u_j, w_j) \ (j = 1, 2, 3), \quad \{z_1, z_2, z_3\} := z = (u, w)$$
 where

$$u := \frac{1}{2} \varphi(w_3) \varphi(w_2^*) u_1 + \frac{1}{2} \varphi(w_1) \varphi(w_2^*) u_3 + \frac{1}{2} \varphi(Q(u_1, u_2)) u_3 + \frac{1}{2} \varphi(Q(u_3, u_2)) u_1, w := (w_1 w_2^*) w_3 + w_1 (w_2^* w_3) - w_2^* (w_1 w_3) + \frac{1}{2} Q(u_1, \varphi(w_3^*) u_2) + \frac{1}{2} Q(u_3, \varphi(w_1^*) u_2).$$

### Prop. (Dorfmeister).

Irreducible quasisymmetric D is symmetric

$$\iff\exists f_1,\ldots,f_r$$
: Jordan frame of  $V$  s.t. with  $U_k:=oldsymbol{arphi}(f_k)U$  we have 
$$oldsymbol{arphi}(Q(u_1,u_2))u_1=0$$
 for  $\forall u_1\in U_1$  and  $\forall u_2\in U_2$ .