

**Right Multiplication Operators in the Clan Structure  
of a Euclidean Jordan Algebra**

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## Homogeneous Open Convex Cones

$V$ : a real vector space with an inner product

$V \supset \Omega$ : a **regular** open convex cone (contains no entire line)

- $G(\Omega) := \{g \in GL(V) ; g(\Omega) = \Omega\}$ : linear automorphism group of  $\Omega$   
This is a Lie group as a closed subgroup of  $GL(V)$ .

- $\Omega$  is **homogeneous**  $\stackrel{\text{def}}{\iff} G(\Omega) \curvearrowright \Omega$  is transitive

**Example:**  $V = \text{Sym}(r, \mathbb{R}) \supset \Omega := \text{Sym}(r, \mathbb{R})^{++}$ :

$GL(r, \mathbb{R}) \curvearrowright \Omega$  by  $GL(r, \mathbb{R}) \times \Omega \ni (g, x) \mapsto gx^tg \in \Omega$

This is a selfdual homogeneous open convex cone (**symmetric cone**).

$\Omega$  is **selfdual**  $\stackrel{\text{def}}{\iff} \exists \langle \cdot | \cdot \rangle$  s.t.  $\Omega = \{y \in V ; \langle x | y \rangle > 0 \quad (\forall x \in \overline{\Omega} \setminus \{0\})\}$   
(the RHS is the dual cone taken relative to  $\langle \cdot | \cdot \rangle$ )

## Symmetric Cones $\Leftrightarrow$ Euclidean Jordan Algebras

$\Omega \Leftrightarrow V$ : algebraic str. in the ambient VS ( $\equiv$  tangent space at a ref. pt.)

- $V$  with a bilinear product  $xy$  is called a **Jordan algebra** if for all  $x, y \in V$ 
  - (1)  $xy = yx$ ,
  - (2)  $x^2(xy) = x(x^2y)$ .

- A real Jordan algebra is said to be **Euclidean** if  $\exists \langle \cdot | \cdot \rangle$  s.t.

$$\langle xy | z \rangle = \langle x | yz \rangle \quad (\forall x, y)$$

### List of Irreducible Symmetric Cones:

$$\Omega = \text{Sym}(r, \mathbb{R})^{++} \subset V = \text{Sym}(r, \mathbb{R}), \quad A \circ B := \frac{1}{2}(AB + BA)$$

$$\Omega = \text{Herm}(r, \mathbb{C})^{++} \subset V = \text{Herm}(r, \mathbb{C})$$

$$\Omega = \text{Herm}(r, \mathbb{H})^{++} \subset V = \text{Herm}(r, \mathbb{H})$$

$$\Omega = \text{Herm}(3, \mathbb{O})^{++} \subset V = \text{Herm}(3, \mathbb{O})$$

$$\Omega = \Lambda_n \subset V = \mathbb{R}^n \text{ (} n\text{-dimensional Lorentz cone)}$$

By Vinberg's theory (1963)

Homogeneous Open Convex Cones  $\Leftrightarrow$  Clans with unit element

$\Omega \Leftrightarrow V$ : algebraic str. in the ambient VS ( $\equiv$  tangent space at a ref. pt.)

- $V$  with a bilinear product  $x\Delta y = L(x)y = R(y)x$  is called a **Clan** if
  - (1)  $[L(x), L(y)] = L(x\Delta y - y\Delta x)$ ,
  - (2)  $\exists s \in V^*$  s.t.  $\langle x\Delta y, s \rangle$  defines an inner product,
  - (3) Each  $L(x)$  has only real eigenvalues.
- **The Case of Symmetric Cones:**  $G(\Omega)$  is reductive.
 

JA str. of  $V$ :  $V \equiv T_e(\Omega) \equiv \mathfrak{p}$  of the Cartan decomposition  $\mathfrak{g}(\Omega) = \mathfrak{k} + \mathfrak{p}$   
 Indeed  $\mathfrak{p} = \{M(x) ; x \in V\}$ . (The Jordan product is commutative.)
- **The Case of General Homogeneous Convex Cones:**

simply transitive action of Iwasawa subgroup of  $G(\Omega)$

Clan str. of  $V$ :  $V \equiv T_e(\Omega) \equiv$  Iwasawa subalgebra  $\mathfrak{s} := \mathfrak{a} + \mathfrak{n}$  of  $\mathfrak{g}(\Omega)$   
 Indeed  $\mathfrak{s} = \{L(x) ; x \in V\}$ . (The clan product is non-commutative, in general.)

$\Omega$ : homogeneous open convex cone,  $G(\Omega)$ : linear automorphism group of  $\Omega$ ,  
 $H$ : Iwasawa subgroup of  $G(\Omega)$ .

$H$  is a split solvable Lie group, acting simply transitively on  $\Omega$ .

a function  $f$  on  $\Omega$ , is **relatively invariant** (w.r.t.  $H$ )

$\stackrel{\text{def}}{\iff} \exists \chi$ : 1-dim. rep. of  $H$  s.t.  $f(gx) = \chi(g)f(x)$  (for all  $g \in H, x \in \Omega$ ).

**Theorem** [Ishi 2001].

$\exists \Delta_1, \dots, \Delta_r$  ( $r := \text{rank}(\Omega)$ ): relat. inv. irred. polynomial functions on  $V$  s.t  
any relat. inv. polynomial function  $P(x)$  on  $V$  is written as

$$P(x) = c \Delta_1(x)^{m_1} \dots \Delta_r(x)^{m_r} \quad (c = \text{const.}, (m_1, \dots, m_r) \in \mathbb{Z}_{\geq 0}^r).$$

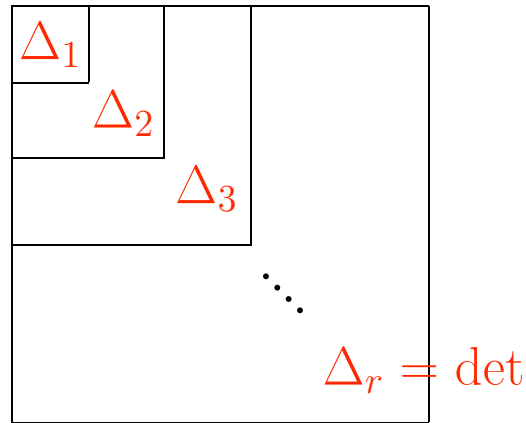
**Theorem** [Ishi–N. 2008].

$W$ : the complexification of the clan  $V$ ,

$R(w)$ : the right multiplication operator by  $w$  in  $W$

$\implies$  irreducible factors of  $\det R(w)$  are just  $\Delta_1(w), \dots, \Delta_r(w)$ .

**Example:**  $\Omega = \text{Sym}(r, \mathbb{R})^{++} \subset V = \text{Sym}(r, \mathbb{R})$



- Product in  $V$  as a clan:  $x \Delta y = \underline{x} y + y {}^t(\underline{x})$ , where for  $x = (x_{ij}) \in \text{Sym}(r, \mathbb{R})$ ,

we put  $\underline{x} :=$

$$j \begin{pmatrix} \frac{1}{2}x_{11} & & & & \\ & \frac{1}{2}x_{22} & & & \\ & & \cdots & & \\ & & & x_{ji} & \cdots \\ & & & & \frac{1}{2}x_{nn} \end{pmatrix} \quad (i < j). \quad \text{Thus } x = \underline{x} + {}^t(\underline{x}).$$

In this case we have  $\det R(y) = \Delta_1(y) \cdots \Delta_r(y)$ .

## The case of general irreducible symmetric cone $\Omega \subset V$

$V$ : a simple Euclidean Jordan algebra of rank  $r$  with unit element  $e$ ,

$\Omega := \text{Int}\{x^2 ; x \in V\}$ : the symmetric cone in  $V$ ,

$G := G(\Omega)^\circ$ : the connected component of  $G(\Omega)$ ,

$\mathfrak{g} := \text{Lie}(G)$ ,  $\mathfrak{k} := \text{Der}(V)$ ,  $\mathfrak{p} := \{M(x) ; x \in V\}$ .

Then  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  is a Cartan decomposition of  $\mathfrak{g}$  with  $\theta X = -{}^tX$ ,

We fix  $\begin{cases} \langle x | y \rangle := \text{tr}(xy) : \text{the trace inner product of } V, \\ c_1, \dots, c_r : \text{a Jordan frame of } V, \text{ so that } c_1 + \dots + c_r = e. \end{cases}$

$V = \bigoplus_{1 \leq j \leq k \leq r} V_{jk}$ : the corresponding Peirce decomposition, where

$$V_{jj} := \mathbb{R}c_j \quad (j = 1, \dots, r),$$

$$V_{jk} := \left\{ x \in V ; M(c_i)x = \frac{1}{2}(\delta_{ij} + \delta_{ik})x \quad (i = 1, 2, \dots, r) \right\} \quad (1 \leq j < k \leq r).$$

$\mathfrak{a} := \mathbb{R}M(c_1) \oplus \cdots \oplus \mathbb{R}M(c_r)$ : maximal abelian in  $\mathfrak{p}$ ,

$\alpha_1, \dots, \alpha_r$ : basis of  $\mathfrak{a}^*$  dual to  $M(c_1), \dots, M(c_r)$ .

Then the positive  $\mathfrak{a}$ -roots are  $\frac{1}{2}(\alpha_k - \alpha_j)$  ( $j < k$ ),  
the corresponding root spaces are described as

$$\mathfrak{n}_{kj} := \mathfrak{g}_{(\alpha_k - \alpha_j)/2} = \{z \square c_j ; z \in V_{jk}\} \quad (a \square b := M(ab) + [M(a), M(b)]).$$

With  $\mathfrak{n} := \sum_{j < k} \mathfrak{n}_{kj}$ , we get Iwasawa decomposition  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}$ .

Let  $A := \exp \mathfrak{a}$ ,  $N := \exp \mathfrak{n}$ .

Then  $H := N \rtimes A$  acts on  $\Omega$  simply transitively, so that we have an diffeomorphism

$$H \ni h \mapsto he \in \Omega.$$

This gives rise to a linear isomorphism  $\mathfrak{h} := \text{Lie}(H) \ni X \mapsto Xe \in V$ .

Its inverse map is denoted as  $V \ni v \mapsto X_v \in \mathfrak{h}$ , so that  $X_v e = v$ .

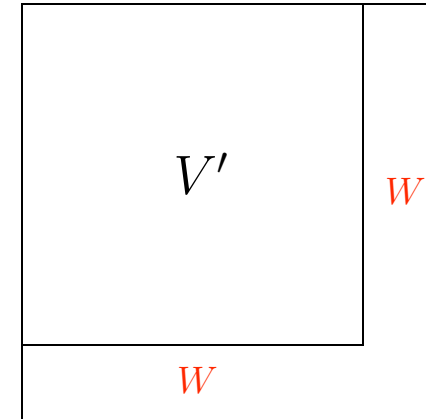
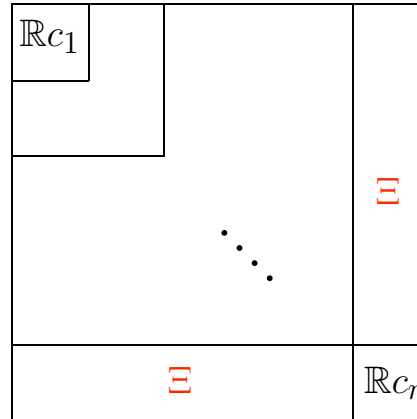
Now the clan  $\Delta$  product in  $V$  is by definition

$$v_1 \Delta v_2 := X_{v_1} v_2 = R(v_2) v_1.$$

**Lemma.** (1) If  $v = a_1 c_1 + \cdots + a_r c_r$  ( $a_j \in \mathbb{R}, \dots, a_r \in \mathbb{R}$ ), then  $X_v = M(v)$ .  
(2) If  $v \in V_{jk}$  ( $j < k$ ), then  $X_v = 2(v \square c_j)$ .



Let  $\Xi := V_{1r} \oplus \cdots \oplus V_{r-1,r}$ .



$$W := \Xi \oplus \mathbb{R}c_r, \quad V' := \bigoplus_{1 \leq i \leq j \leq r-1} V_{ij}$$

**Proposition.**  $W$  is a two-sided ideal in the clan  $V$ :

$$X_v(W) \subset W, \quad R_v(W) \subset W \quad (\forall v \in V).$$

In what follows, we put  $X_v^W := X_v|_W$ ,  $R_v^W := R_v|_W$ . Then

**Corollary.** By writing  $v \in V$  as  $v = v' + w$  ( $v' \in V'$ ,  $w \in W$ ), the operator  $R_v$  is of the form  $R_v = \left( \begin{array}{c|c} R'_{v'} & O \\ \hline * & R_v^W \end{array} \right)$ .

### Analysis of $R_v^W$

Recall  $V' = V_0(c_r)$ ,  $\Xi = V_{1/2}(c_r)$ , the Peirce 0- and 1/2- spaces respectively.

The Jordan subalgebra  $V'$  has a **representation**  $\phi$  on  $\Xi$ : given by  $\phi(v')\xi = 2v'\xi$ .

$\phi : V' \rightarrow \text{End}(\Xi)$  satisfies:

- (1)  $\phi(e') = \text{Id}_\Xi$  ( $e' := c_1 + \cdots + c_{r-1}$ ),
- (2)  $\phi(v'_1 v'_2) = \frac{1}{2}(\phi(v'_1)\phi(v'_2) + \phi(v'_2)\phi(v'_1))$ .

On the other hand, if  $v' \in V'$ , we have  $R_{v'}(\Xi) \subset \Xi$ , so that we set  $R_{v'}^\Xi := R_{v'}|_\Xi$ .

**Proposition.**  $R_{v'}^\Xi = \phi(v')$ .

**Proposition.** By writing  $v \in V$  as  $v = v' + \xi + v_r c_r$  ( $v' \in V'$ ,  $\xi \in \Xi$ ,  $v_r \in \mathbb{R}$ ), the operator  $R_v^W$  is of the form

$$R_v^W = \left( \begin{array}{c|c} \phi(v') & \frac{1}{2} \langle \cdot | c_r \rangle \xi \\ \langle \cdot | \xi \rangle c_r & v_r I_{\mathbb{R}c_r} \end{array} \right).$$

We renormalize the inner product in  $W = \Xi + \mathbb{R}c_r$ :

$$\langle \eta + y_r c_r | \eta' + y'_r c_r \rangle_W = \langle \eta | \eta' \rangle + \frac{1}{2} y_r y'_r \quad (\eta, \eta' \in \Xi \text{ and } y_r, y'_r \in \mathbb{R}).$$

Then we have a more comfortable expression:

$$R_v^W = \left( \begin{array}{c|c} \phi(v') & \langle \cdot | c_r \rangle_W \xi \\ \langle \cdot | \xi \rangle_W c_r & v_r I_{\mathbb{R}c_r} \end{array} \right) \quad (v = v' + \xi + v_r c_r).$$

## Jordan algebra principal minors

Jordan frame  $c_1, \dots, c_r$  gives

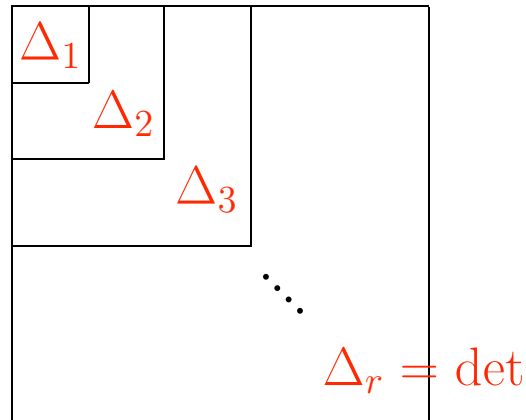
$$\mathbb{R}c_1 = V^{(1)} \subset V^{(2)} \subset \dots \subset V^{(r)} = V, \quad V^{(k)} := \bigoplus_{1 \leq i \leq j \leq k} V_{ij}$$

$\det^{(k)}$ : the determinant of the Jordan algebra  $V^{(k)}$ ,

$P^{(k)}$ : the orthogonal projector  $V \rightarrow V^{(k)}$ ,

$\Delta_k(x) := \det^{(k)}(P_k x)$ : the  $k$ -th Jordan algebra principal minor.

**Fact.**  $\Delta_1, \dots, \Delta_r$  are the basic relative invariants associated to the symmetric cone  $\Omega$  of  $V$ .



**Theorem.**  $\det R(v) = \Delta_1(v)^d \cdots \Delta_{r-1}(v)^d \Delta_r(v)$ , where  
 $d = \text{common dimension of } V_{ij} \text{ (} i < j \text{)}.$

$d = 1$  for  $\text{Sym}(r, \mathbb{R})$ ,  $d = \dim_{\mathbb{R}} \mathbb{K}$  for  $\text{Herm}(r, \mathbb{K})$  ( $\mathbb{K} = \mathbb{C}, \mathbb{H}, \mathbb{O}$ ),  
 $r = 2, d = n - 2$  for  $\Omega = \Lambda_n$  ( $n \geq 3$ ).

The formula is nice in view of  $\dim V = r + \frac{d}{2} \cdot r(r - 1)$ , because

$$\deg(\Delta_1(v)^d \cdots \Delta_{r-1}(v)^d \Delta_r(v)) = d(1 + \cdots + (r - 1)) + r = r + \frac{d}{2} \cdot r(r - 1).$$

## Non-selfdual Irreducible Homogeneous Convex Cones linearly isomorphic to the dual cones

- $\Omega$  is selfdual  $\iff \exists T$ : positive definite selfadjoint operator s.t.  $T(\Omega) = \Omega^*$   
 $(\Omega^* := \{y \in V ; \langle x | y \rangle > 0 \text{ for } \forall x \in \overline{\Omega} \setminus \{0\}\})$
- Even though there is no positive definite selfadjoint operator  $T$  s.t.  $T(\Omega) = \Omega^*$ , we might find such  $T$  if we do not require the positive definiteness.
- If one accepts reducible ones, then  $\Omega_0 \oplus \Omega_0^*$  just gives an example. Thus the irreducibility counts for much here.
- The list in [Kaneyuki–Tsuji] of irreducible homogeneous open convex cones ( $\dim \leq 10$ ) is described up to linear isomorphisms. There is one **non-selfdual** irreducible homogeneous convex cone (7-dimensional) identified with its dual cone.
- In an exercise of Faraut–Korányi’s book, a hint is given to prove that the Vinberg cone is never linearly isomorphic to its dual cone. Then the non-selfduality follows from this immediately.

We assume  $m \geq 1$ .

$$\mathbf{e} := \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \in \mathbb{R}^{m+1}, \quad I_{m+1}: (m+1) \times (m+1) \text{ unit matrix}$$

$$V := \left\{ x := \left( \begin{array}{c|cc} x_1 I_{m+1} & \mathbf{e}^t \mathbf{x}' & \boldsymbol{\xi} \\ \mathbf{x}'^t \mathbf{e} & X & \mathbf{x}'' \\ \hline \mathbf{e}^t \boldsymbol{\xi} & \mathbf{x}''^t & x_2 \end{array} \right) ; \begin{array}{l} x_1 \in \mathbb{R}, \quad x_2 \in \mathbb{R}, \quad X \in \text{Sym}(m, \mathbb{R}) \\ \boldsymbol{\xi} \in \mathbb{R}^{m+1}, \quad \mathbf{x}' \in \mathbb{R}^m, \quad \mathbf{x}'' \in \mathbb{R}^m \end{array} \right\}$$

We note  $V \subset \text{Sym}(2m+2, \mathbb{R})$ , and take  $\Omega := \{x \in V ; x \gg 0\}$ .

$$\text{When } m = 1, \text{ we have } x = \begin{pmatrix} x_1 & 0 & x' & \xi_1 \\ 0 & x_1 & 0 & \xi_2 \\ x' & 0 & X & x'' \\ \xi_1 & \xi_2 & x'' & x_2 \end{pmatrix}.$$

## Homogeneity of $\Omega$

$$\mathbf{H} := \left\{ h := \left( \begin{array}{c|cc} h_1 I_{m+1} & 0 & 0 \\ \hline \mathbf{h}'^t \mathbf{e} & H & 0 \\ \mathbf{t}\boldsymbol{\zeta} & \mathbf{t}\mathbf{h}'' & h_2 \end{array} \right) ; \begin{array}{l} h_j > 0 \ (j = 1, 2), \ \mathbf{h}' \in \mathbb{R}^m, \ \mathbf{h}'' \in \mathbb{R}^m \\ \boldsymbol{\zeta} \in \mathbb{R}^{m+1}, \ H \in GL(m, \mathbb{R}) \end{array} \right\}$$

$\mathbf{H}$  acts on  $\Omega$  by  $\mathbf{H} \times \Omega \ni (h, x) \mapsto hx^th$ . The action is in fact simply transitive.

We have  $\mathbf{H} = \mathbf{N} \rtimes \mathbf{A}$  with

$$\mathbf{A} := \left\{ a := \left( \begin{array}{c|cc} a_1 I_{m+1} & 0 & 0 \\ \hline 0 & A & 0 \\ 0 & 0 & a_2 \end{array} \right) ; \begin{array}{l} a_j > 0 \ (j = 1, 2), \\ A \in GL(m, \mathbb{R}) \text{ is a diagonal} \\ \text{matrix with positive diagonals} \end{array} \right\},$$

$$\mathbf{N} := \left\{ n := \left( \begin{array}{c|cc} I_{m+1} & 0 & 0 \\ \hline \mathbf{n}'^t \mathbf{e} & N & 0 \\ \mathbf{t}\boldsymbol{\nu} & \mathbf{t}\mathbf{n}'' & 1 \end{array} \right) ; \begin{array}{l} \mathbf{n}', \mathbf{n}'' \in \mathbb{R}^m, \ \boldsymbol{\nu} \in \mathbb{R}^{m+1} \\ N \in GL(m, \mathbb{R}) \text{ is strictly} \\ \text{lower triangular} \end{array} \right\}.$$

In solving  $x = na^tn$  ( $x \in \Omega$ : given) we obtain basic relative invariants.



**Basic relative invariants:** For  $x = \left( \begin{array}{c|cc} x_1 I_{m+1} & e^t \mathbf{x}' & \boldsymbol{\xi} \\ \mathbf{x}'^t e & X & \mathbf{x}'' \\ \hline & {}^t \boldsymbol{\xi} & {}^t \mathbf{x}'' & x_2 \end{array} \right) \in V,$

$$\Delta_1(x) := x_1,$$

$$\Delta_j(x) := \det \left( \begin{array}{c|c} x_1 & {}^t \mathbf{x}'_{j-1} \\ \hline \mathbf{x}'_{j-1} & X_{j-1} \end{array} \right) \quad (j = 2, \dots, m+1)$$

$$\left( X_k := \begin{pmatrix} x_{k1} & \cdots & x_{k1} \\ \vdots & & \vdots \\ x_{k1} & \cdots & x_{kk} \end{pmatrix}, \quad \mathbf{x}'_k := \begin{pmatrix} x'_1 \\ \vdots \\ x'_k \end{pmatrix} \in \mathbb{R}^k \right),$$

$$\Delta_{m+2}(x) := x_1 \det \begin{pmatrix} x_1 & {}^t \mathbf{x}' & \xi_1 \\ \mathbf{x}' & X & \mathbf{x}'' \\ \xi_1 & {}^t \mathbf{x}'' & x_2 \end{pmatrix} - (\|\boldsymbol{\xi}\|^2 - \xi_1^2) \det \begin{pmatrix} x_1 & {}^t \mathbf{x}' \\ \mathbf{x}' & X \end{pmatrix}$$

$$({}^t \boldsymbol{\xi} = (\xi_1, \dots, \xi_{m+1})).$$

Note  $\deg \Delta_{m+2} = m + 3.$

**Inner product in  $V$ :** For  $x = \left( \begin{array}{c|cc} x_1 I_{m+1} & e^t x' & \xi \\ \hline x'^t e & X & x'' \\ \xi & {}^t x'' & x_2 \end{array} \right)$ ,  $y = \left( \begin{array}{c|cc} y_1 I_{m+1} & e^t y' & \eta \\ \hline y'^t e & Y & y'' \\ \eta & {}^t y'' & y_2 \end{array} \right)$

$$\langle x | y \rangle := x_1 y_1 + \text{tr}(XY) + x_2 y_2 + 2(x' \cdot y' + x'' \cdot y'' + \xi \cdot \eta)$$

We consider the dual cone  $\Omega^*$  taken with respect to this inner product:

$$\Omega^* := \{y \in V ; \langle x | y \rangle > 0 \text{ for } \forall x \in \overline{\Omega} \setminus \{0\}\}.$$

Define a linear operator  $T_0$  on  $V$  by  $T_0 x = \left( \begin{array}{c|cc} x_2 I_{m+1} & e^t x'' J & \xi \\ \hline J x''^t e & J X J & J x' \\ \xi & {}^t x' J & x_1 \end{array} \right)$  ( $x \in V$ ),

where  $J \in \text{Sym}(m, \mathbb{R})$  is given by  $J = \begin{pmatrix} 0 & & 1 \\ & \dots & \\ 1 & & 0 \end{pmatrix}$ .

**Theorem** [Ishi-N. 2009].  $\Omega^* = T_0(\Omega)$ .

For  $h := \left( \begin{array}{c|cc} h_1 I_{m+1} & 0 & 0 \\ \hline \mathbf{h}'^t \mathbf{e} & H & 0 \\ \mathbf{t}\zeta & \mathbf{t}\mathbf{h}'' & h_2 \end{array} \right) \in \mathbf{H}$ , we set  $\check{h} := \left( \begin{array}{c|cc} h_2 I_{m+1} & 0 & 0 \\ \hline J\mathbf{h}''^t \mathbf{e} & J^t H J & 0 \\ \mathbf{t}\zeta & \mathbf{t}\mathbf{h}' J & h_1 \end{array} \right)$ .

Then  $h \mapsto \check{h}$  is an involutive anti-automorphism of  $\mathbf{H}$ .

$$\rho(h)x := hx^t h \quad (h \in \mathbf{H}, x \in \Omega), \quad \sigma(h) := T_0 \rho(h) T_0 \quad (h \in \mathbf{H}).$$

**Lemma.**  $\langle \rho(h)x | y \rangle = \langle x | \sigma(\check{h})y \rangle \quad (x, y \in V, h \in \mathbf{H})$ .

**Conjecture.**  $\Omega$  with  $\text{rank } \Omega = r$  is selfdual  $\iff$   
the degrees of basic relative invariants associated to  $\Omega$  and  $\Omega^*$  are both  $1, 2, \dots, r$ .