

Analysis and Geometry Related to
Homogeneous Siegel Domains
and Homogeneous Convex Cones

Part I

Cayley Transforms of Homogeneous Siegel Domains

Takaaki NOMURA
(Kyushu University)

越後湯沢

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Siegel Domains

- Generalization of the upper half plane to higher dimensions
- Introduced by Piatetski-Shapiro in 1957
- Biholomorphically equivalent to bounded domains
- Question posed by Cartan (1935)

If $n \geq 4$, $\exists?$ non-symmetric homogeneous bounded domains \mathbb{C}^n
was solved in the affirmative (1959)

- Dorfmeister–Nakajima (Kazufumi Nakajima) solved (1988)

the fundamental conjecture on homogeneous Kähler manifold

(by Vinberg–Gindikin in 1965)

Any Homogeneous Kähler Mfd

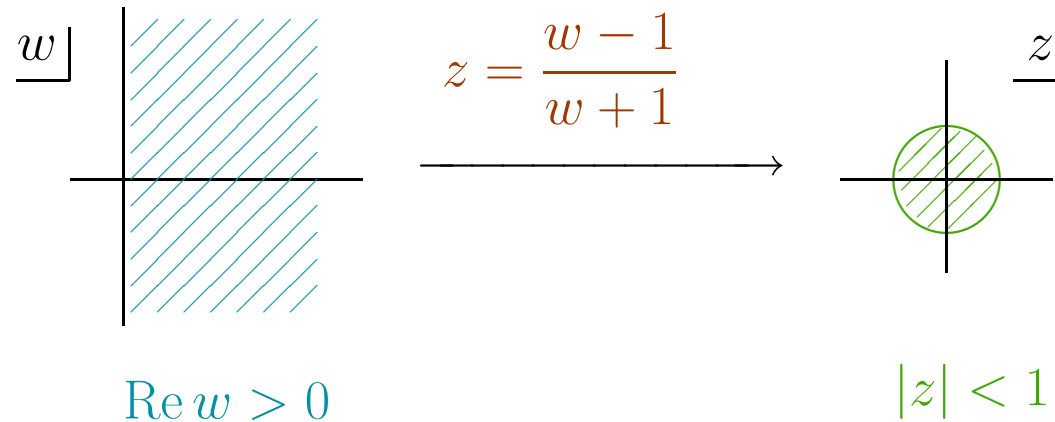
= Holomorphic Fiber Bundle over a Homog. Bdd Dom.

Fiber = Flat Homog. Kähler Mfd

× Cpt Simply Connected Homog. Kähler Mfd

Examples of Cayley transform

(I)



(II) $V = \operatorname{Sym}(r, \mathbb{R}), \quad \Omega = \operatorname{Sym}(r, \mathbb{R})^{++}, \quad \Omega + iV$

$$z = (w - E)(w + E)^{-1} \quad (E: \text{unit matrix})$$

Siegel right half space $\ni w \mapsto z \in$ **Siegel disk**

$$\{z \in \operatorname{Sym}(r, \mathbb{C}) ; E - z^* z \gg 0\}.$$

(III) General symmetric tube domain

$\Omega + iV$ (Ω : a selfdual open convex cone in V)

V (hence $V_{\mathbb{C}}$) can be equipped with **Jordan algebra structure**.

$$z = (w - e)(w + e)^{-1} \quad (e: \text{the unit element in } V)$$

Symmetric tube domain \longrightarrow **Open unit ball** (w.r.t some norm)

e.g., **Siegel disk** = $\{z \in \text{Sym}(r, \mathbb{C}) ; \|z\|_{\text{op}} < 1\}$.

For $\text{Sym}(r, \mathbb{C})$, Jordan algebra product is:
 $A \circ B = \frac{1}{2}(AB + BA)$
Jordan algebra inverse = inverse matrix.
Thus $(w - e) \circ (w + e)^{-1} = (w - e)(w + e)^{-1}$.

• Domain D is **symmetric**

$$\stackrel{\text{def}}{\iff} \forall z \in D, \exists \sigma_z \in \text{Hol}(D) \text{ s.t. } \begin{cases} (1) \sigma_z^2 = \text{Id} \\ (2) z \text{ is an isolated fixed point of } \sigma_z \end{cases}$$

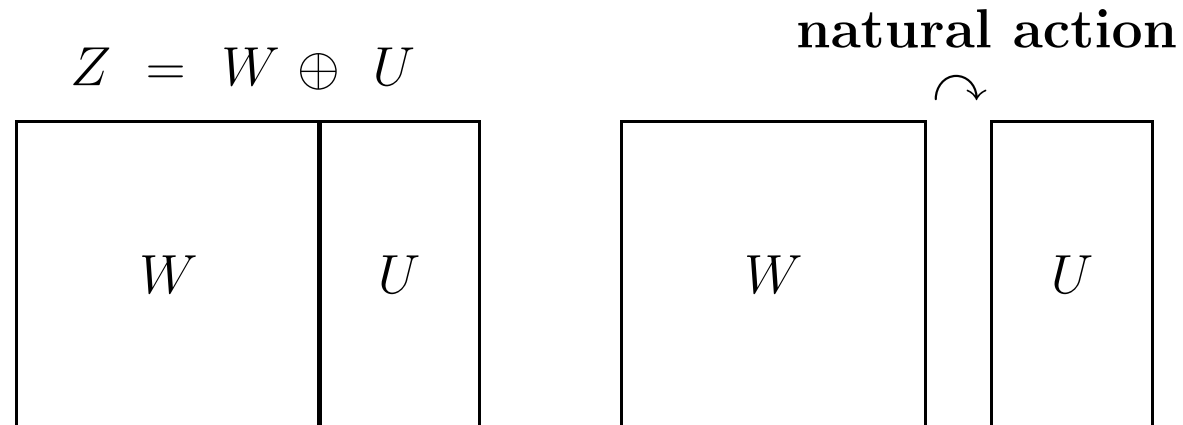
(IV) Siegel domain of rank one

$$D := \{(u, w) \in \mathbb{C}^m \times \mathbb{C} ; \operatorname{Re} w - \|u\|^2 > 0\}.$$

$$\mathcal{C}(u, w) := \left(\frac{2u}{w+1}, \frac{w-1}{w+1} \right)$$

$$\boxed{\mathcal{C} : D \longrightarrow \text{open unit ball in } \mathbb{C}^{m+1} = \mathbb{C}^m \times \mathbb{C}}$$

(V) **General symmetric Siegel domain** $D \subset Z \cong \mathbb{C}^N$



complex semisimple

Jordan algebra $W = V_{\mathbb{C}}$

with V Euclidean JA

Jordan algebra $*$ -repre. φ of W

$$\mathcal{C}(u, w) := (2\varphi(w + e)^{-1}u, (w - e)(w + e)^{-1})$$

$D \longrightarrow$ **Open unit ball (w.r.t spectral norm)**

\uparrow Harish-Chandra realization of
a non-cpt Hermitian symmetric space

Siegel domains. — Definition —

V : a real vector space ($\dim V < \infty$)

\cup

Ω : a **regular** open convex cone ($\stackrel{\text{def}}{\iff}$ **contains no entire line**)

$W := V_{\mathbb{C}}$ ($w \mapsto w^*$: conjugation w.r.t. V)

U : another complex vector space ($\dim U < \infty$)

$Q : U \times U \rightarrow W$, **Hermitian** sesquilinear **Ω -positive**

$$\text{i.e., } Q(u', u) = Q(u, u')^*, \quad Q(u, u) \in \overline{\Omega} \setminus \{0\} \quad (0 \neq \forall u \in U)$$

Siegel domain (of type II)

$$D := \{(u, w) \in U \times W ; w + w^* - Q(u, u) \in \Omega\}$$

• $U = \{0\}$ is allowed.

In this case $D = \Omega + iV$ (tube domain or type I domain)

Assume that D is **homogeneous**, *i.e.*, $\text{Hol}(D) \curvearrowright D$ transitively.

Then Ω is also homogeneous.

Piatetski-Shapiro algebras — normal j -algebras —

$\exists G \subset \text{Hol}_{\text{Aff}}(D) : \text{split solvable} \curvearrowright D \text{ simply transitively}$

$\mathfrak{g} := \text{Lie}(G)$ has a structure of **Piatetski-Shapiro algebra**.

(normal j -algebra)

$\left\{ \begin{array}{l} \exists J : \text{integrable almost complex structure on } \mathfrak{g}, \\ \exists \omega : \text{admissible linear form on } \mathfrak{g}, \text{ i.e., } \langle x | y \rangle_\omega := \langle [Jx, y], \omega \rangle \text{ defines} \\ \quad \text{a } J\text{-invariant (positive definite) inner product on } \mathfrak{g}. \end{array} \right.$

Example of admissible linear form (Koszul '55).

$$\langle x, \beta \rangle := \text{tr}(\text{ad}(Jx) - J \text{ad}(x)) \quad (x \in \mathfrak{g}).$$

- In fact, $\langle x | y \rangle_\beta$ is the real part of the Hermitian inner product on $\mathfrak{g} \equiv T_e(D)$ defined by the **Bergman metric** on $D \approx G$ (up to a positive scalar multiple).

Structure of \mathfrak{g}

$$\mathfrak{g} = \mathfrak{a} \ltimes \mathfrak{n} \quad \begin{cases} \mathfrak{a} : \text{abelian,} \\ \mathfrak{n} : \text{sum of } \mathfrak{a}\text{-root spaces (positive roots only)} \end{cases}$$

$\exists H_1, \dots, H_r$: a basis of \mathfrak{a} ($r := \text{rank } \mathfrak{g}$) s.t.

if one puts $E_j := -JH_j \in \mathfrak{n}$, then $[H_j, E_k] = \delta_{jk}E_k$.

Possible forms of roots:

$$\frac{1}{2}(\alpha_k \pm \alpha_j) \ (j < k), \quad \alpha_1, \dots, \alpha_r, \quad \frac{1}{2}\alpha_1, \dots, \frac{1}{2}\alpha_r,$$

where $\alpha_1, \dots, \alpha_r$ is the basis of \mathfrak{a}^* dual to H_1, \dots, H_r .

- $\mathfrak{g}_{\alpha_k} = \mathbb{R}E_k$ ($k = 1, \dots, r$).
- \mathfrak{g}_{α} are mutually orthogonal w.r.t. $\langle \cdot | \cdot \rangle_{\omega}$ ($\forall \omega \in \mathfrak{g}^*$: admissible).
- Putting $\mathfrak{g}(0) := \mathfrak{a} + \sum_{j < k} \mathfrak{g}_{\frac{1}{2}(\alpha_k - \alpha_j)}$, $\mathfrak{g}(1/2) := \sum_{j=1}^r \mathfrak{g}_{\frac{1}{2}\alpha_j}$, $\mathfrak{g}(1) := \sum_{j \leq k} \mathfrak{g}_{\frac{1}{2}(\alpha_j + \alpha_k)}$,
we have $[\mathfrak{g}(i), \mathfrak{g}(j)] \subset \mathfrak{g}(i+j)$.

Define $E_k^* \in \mathfrak{g}^*$ by $\langle E_k, E_k^* \rangle = 1$, and $= 0$ on \mathfrak{a} and \mathfrak{g}_α ($\alpha \neq \alpha_k$).

Fact. Admissible linear forms are $\mathfrak{a}^* \oplus \{0\} \oplus \sum_{k=1}^r \mathbb{R}_{>0} E_k^*$.

For $\mathbf{s} = (s_1, \dots, s_r) \in \mathbb{R}^r$, we put $E_{\mathbf{s}}^* := \sum_{k=1}^r s_k E_k^* \in \mathfrak{g}^*$.

If $s_1 > 0, \dots, s_r > 0$ (we will write $\mathbf{s} > 0$), then $\langle x | y \rangle_{\mathbf{s}} := \langle [Jx, y], E_{\mathbf{s}}^* \rangle$ is a J -invariant inner product on \mathfrak{g}

Fact (Dorfmeister, 1985) The inner products $\langle x | y \rangle_{\mathbf{s}}$ ($\mathbf{s} > 0$) exhaust homogeneous Kähler metrics on D (up to conjugations by $\text{Hol}(D)$).

- A Kähler metric f is **homogeneous** if $\text{Aut}(D, f)$ of biholomorphic isometries acts transitively on D .

Compound power functions (After Gindikin)

$\mathfrak{h} := \mathfrak{g}(0)$, $H := \exp \mathfrak{h}$. $E := E_1 + \cdots + E_r \in \mathfrak{g}(1) =: V$.

Then $\Omega = HE$ (Adjoint action) and $H \approx \Omega$ (diffeo) by $h \mapsto hE$.

- Note

$$\mathfrak{g} = \mathfrak{a} + \underbrace{\sum_{j < k} \mathfrak{g}_{(\alpha_k - \alpha_j)/2}}_{=: \mathfrak{n}_0} + \mathfrak{g}(1/2) + \mathfrak{g}(1)$$

$\mathfrak{n} = [\mathfrak{g}, \mathfrak{g}]$.

Then, $G = N \rtimes A$, $H = N_0 \rtimes A$ with $A := \exp \mathfrak{a}$, $N_0 \subset N := \exp \mathfrak{n}$.

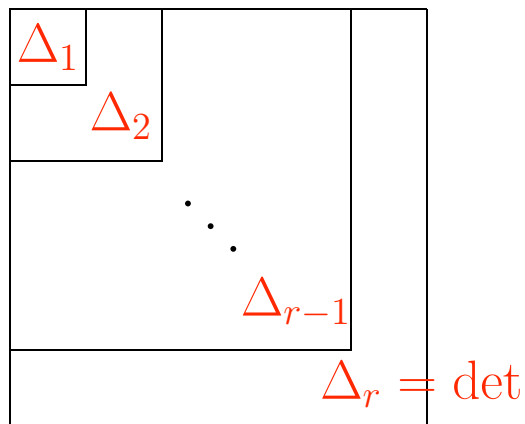
For $\mathbf{s} = (s_1, \dots, s_r) \in \mathbb{R}^r$, put $\alpha_{\mathbf{s}} := \sum_{j=1}^r s_j \alpha_j \in \mathfrak{a}^*$

$(\alpha_1, \dots, \alpha_r)$: basis of \mathfrak{a}^* dual to H_1, \dots, H_r .

$\chi_{\mathbf{s}}(\exp x) := \exp \langle x, \alpha_{\mathbf{s}} \rangle$ ($x \in \mathfrak{a}$) defines a 1-dim. representation of A ,
hence of H

\rightsquigarrow function $\Delta_{\mathbf{s}}$ on Ω by $\Delta_{\mathbf{s}}(hE) := \chi_{\mathbf{s}}(h)$ ($h \in H$).

Example : If $\Omega = \text{Sym}(r, \mathbb{R})^{++}$, then $\Delta_s(x) = \Delta_1(x)^{s_1-s_2} \Delta_2(x)^{s_2-s_3} \dots \Delta_r(x)^{s_r}$, where $\Delta_1(x), \dots, \Delta_r(x)$ are the principal minors of x :



take minors from the left-upper corner

General case

Fact (Gindikin, Ishi (2000)): Δ_s extends to a holomorphic function on $\Omega + iV$ as the Laplace transform of the Riesz distribution on the dual cone Ω^* , where

$$\Omega^* := \{\xi \in V^*; \langle x, \xi \rangle > 0 \ \forall x \in \bar{\Omega} \setminus \{0\}\}.$$

Pseudoinverse map associated to E_s^*

For $\forall x \in \Omega$, define $\mathcal{I}_s(x) \in V^*$ by $\langle v, \mathcal{I}_s(x) \rangle := -D_v \log \Delta_{-s}(x)$ ($v \in V$).

$$(D_v f(x) := \left. \frac{d}{dt} f(x + tv) \right|_{t=0})$$

- $\mathcal{I}_s(\lambda x) = \lambda^{-1} \mathcal{I}_s(x)$ ($\lambda > 0$)

Proposition. Suppose E_s^* is **admissible** (i.e., $s > 0$)

- (1) $\mathcal{I}_s(x) \in \Omega^*$ and $\mathcal{I}_s : \Omega \rightarrow \Omega^*$ is bijective.
- (2) \mathcal{I}_s extends analytically to a rational map $W \rightarrow W^*$.
- (3) One also has an explicit formula for $\mathcal{I}_s^{-1} : \Omega^* \rightarrow \Omega$, which continues analytically to a rational map $W^* \rightarrow W$.

Thus \mathcal{I}_s is birational.

- (4) $\mathcal{I}_s : \Omega + iV \rightarrow \mathcal{I}_s(\Omega + iV)$ is biholomorphic.

Remarks. (1) Recall

$$-D_v \log \det(x)^{-1} = \text{tr}(x^{-1}v) \quad (x \in \text{Sym}(r, \mathbb{R})^{++}, v \in \text{Sym}(r, \mathbb{R})).$$

(2) Rationality of \mathcal{I}_s can be seen as follows:

Consider $x \Delta y := [Jx, y]$ ($x, y \in V := \mathfrak{g}(1)$).

Then (V, Δ) is a **clan** in the sense of Vinberg (\rightsquigarrow Part II).

Fact: $\mathcal{I}_s(y) = E_s^* \circ R(y)^{-1}$ ($x \in \Omega$), where $R(y)x := x \Delta y$.

The analytic continuation of \mathcal{I}_s can be obtained by just considering the complexification of the non-associative algebra (V, Δ) .

(3) The Bergman kernel and the Szegő kernel are of the form

$$\eta(z_1, z_2) = \Delta_{-s}(w_1 + w_2^* - Q(u_1, u_2)) \quad (z_j = (u_j, w_j) \in D),$$

(up to positive const.) and the characteristic function ϕ of Ω equals Δ_{-s_0} for some $s_0 > 0$ (up to positive const.), where

$$\phi(x) := \int_{\Omega^*} e^{-\langle x, y \rangle} dy \quad (x \in \Omega).$$

In this case $\mathcal{I}_{s_0}(x) = x^*$: **Vinberg's *-map**.

(4) The condition $s > 0$ is used to show Δ_{-s} is exploding on $\partial\Omega$:

if $\Omega \ni x \rightarrow x_0 \in \partial\Omega$, then $\Delta_{-s}(x) \rightarrow \infty$.

(5) $\mathcal{I}_s(\Omega + iV) = \Omega^* + iV^* \iff s_1 = \dots = s_r$ and Ω is selfdual. [Kai-N, 2005]

• Ω is selfdual
 $\iff \exists \langle \cdot | \cdot \rangle$ by which regarding $V^* \equiv V$, one has $\Omega^* = \Omega$.

Example: $(\text{Sym}(r, \mathbb{R})^{++} + i \text{Sym}(r, \mathbb{R}))^{-1} = \text{Sym}(r, \mathbb{R})^{++} + i \text{Sym}(r, \mathbb{R})$.

Cayley transform for tube domains

Note

$$\frac{w-1}{w+1} = 1 - \frac{2}{w+1}.$$

For $s > 0$, we define

$$C_s(w) := \mathcal{I}_s(E) - 2I_s(w + E) \quad (w \in \Omega + iV).$$

Remark. If we use $\langle x | y \rangle_s = \langle [Jx, y], E_s^* \rangle$ ($x, y \in V = \mathfrak{g}(1)$) to identify V^* with V , then $\mathcal{I}_s(E) = E$.

Cayley transform for homogeneous Siegel domains

For $s > 0$, we set

$$\mathcal{C}_s(u, w) := 2\langle Q(u, \cdot), \mathcal{I}_s(w + E) \rangle \oplus C_s(w) \quad ((u, w) \in D).$$

Note $U \ni u' \mapsto \langle Q(u, u'), \mathcal{I}_s(w + E) \rangle$ is \mathbb{C} anti-linear.

Thus $\mathcal{C}_s(u, w) \in U^\dagger \oplus W^*$ ($U^\dagger =$ the space of anti-linear forms on U).

Theorem (N. 2003). $\mathcal{C}_s(D)$ is bounded.
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Examples. (1) The Bergman kernel and the Szegő kernel are of the form $C\Delta_{-s}(w + w^* - Q(u_1.u_2))$ ($C > 0$). Then we have the Cayley transforms corresponding to the Bergman and the Szegő kernels.

(2) We already know $\phi = C_0\Delta_{-s_0}$ ($C_0 > 0$). Then the Cayley transform \mathcal{C}_{s_0} is the one introduced by Penney in 1996.

Remarks. (1) Take $\langle \cdot | \cdot \rangle_s$ on V defined earlier. Extend it to a \mathbb{C} -bilinear form on $W = V_{\mathbb{C}}$. Then, $(u_1 | u_2)_s := \langle Q(u_1, u_2) | E \rangle_s$ is a Hermitian inner product in U . Use these to identify $U^\dagger \oplus W^*$ with $U \oplus W$. Moreover, for $w \in W$, define an operator $\varphi_s(w)$ by

$$(\varphi_s(w)u_1 | u_2)_s = \langle Q(u_1, u_2) | w \rangle_s.$$

Then, our Cayley transform \mathcal{C}_s can be rewritten as

$$\mathcal{C}_s(u, w) = (2\varphi_s(I_s(w + E))u, C_s(w)).$$

(2) The image $\mathcal{C}_{s_b}(D)$ is the *representative domain* of D (up to a positive $\#$ multiple) studied by Ishi–Kai (2010).

Example. The case of quasisymmetric Siegel domains

Suppose $s = s_b$: the Bergman parameter.

Then, D is **quasisymmetric** $\stackrel{\text{def}}{\iff} \Omega$ is selfdual w.r.t $\langle \cdot | \cdot \rangle_{s_b}$.

Suppose now that D is quasisymmetric.

Then V has a structure of **Euclidean Jordan algebra**:

- $xy = yx, \quad x^2(xy) = x(x^2y)$
- $\langle xy | z \rangle_{s_b} = \langle x | yz \rangle_{s_b}$

In this case $I_{s_b}(w) = w^{-1}$ (**JA inverse**). Moreover

$$\varphi_{s_b} : W \ni w \mapsto \varphi_{s_b}(w) \in \text{End}_{\mathbb{C}}(U)$$

is a **JA *-representation** of W :

$$\begin{cases} \varphi_{s_b}(w^*) = \varphi_{s_b}(w)^*, \\ \varphi_{s_b}(w_1 w_2) = \frac{1}{2} (\varphi_{s_b}(w_1) \varphi_{s_b}(w_2) + \varphi_{s_b}(w_2) \varphi_{s_b}(w_1)). \end{cases}$$

Then

$$\mathcal{C}_{s_b}(u, w) = (2\varphi_{s_b}(w + E)^{-1}u, (w - E)(w + E)^{-1}).$$

This coincides with Dorfmeister's Cayley transform for quasisymmetric Siegel domains introduced in 1980.

Moreover, if D is symmetric, then \mathcal{C}_{s_b} coincides with (the inverse map) of the Cayley transform defined by Korányi–Wolf in 1965.

Theorem (Kai, 2007).

$$\mathcal{C}_s(D) \text{ is convex} \iff \begin{cases} D \text{ is symmetric,} \\ s_1 = \cdots = s_r. \end{cases}$$

Remark. If D is symmetric, then $\mathcal{C}_{s_b}(D)$ is the Harish-Chandra realization of a noncompact Hermitian symmetric space. Thus it is the open unit ball w.r.t a certain norm (the spectral norm of the underlying JTS), so that it is convex.

Application to Poisson–Hua kernel

S : the Szegő kernel of D , Σ : the Shilov boundary of D .

One knows

$$\Sigma = \{(u, w) ; w + w^* - Q(u, u) = 0\}.$$

$s = s_s$: Szegő parameter

The explicit expression by Δ_{s_s} assures us that $S(z, \zeta)$ ($z \in D, \zeta \in \Sigma$) still has a meaning.

The **Poisson–Hua kernel** is defined to be

$$P(z, \zeta) := \frac{|S(z, \zeta)|^2}{S(z, z)} \quad (z \in D, \zeta \in \Sigma).$$

$G \curvearrowright D$ simply transitively by affine maps.

Fix $e := (0, E) \in D$. Then $G \approx D$ (diffeo) by $g \mapsto g \cdot e$.

Put $p_\zeta(g) := P(g \cdot e, \zeta)$ ($g \in G$).

\mathcal{L}_s^G : the L.-B. operator on G corresponding to $\mathcal{L}_s \leftrightarrow \langle x | y \rangle_s$.

Key formula. $\mathcal{L}_s^G p_\zeta = (-\|\mathcal{C}_{s_s}(\zeta)\|_s^2 + c_2)p_\zeta$.

c_2 : explicitly given const. (> 0) independent of ζ .

Theorem. [N, 2003]

$\mathcal{L}_s P(\cdot, \zeta) = 0$ ($\forall \zeta \in \Sigma$) $\iff D$ is symmetric and $s_1 = \dots = s_r$

Remark. For the case $s = s_b$,

\Leftarrow Hua–Look (classical domains, 1959), Korányi (general 1965).

\implies Xu (difficult computation, 1979).

• application to Berezin transforms (omitted).

Example. Non-symmetric 4-dimensional Siegel domain

$$V = \text{Sym}(2, \mathbb{R}), \quad \Omega := \text{Sym}(2, \mathbb{R})^{++}.$$

Then, $W := V_{\mathbb{C}} = \text{Sym}(2, \mathbb{C})$.

Take $U = \mathbb{C}$, and define $Q(u_1, u_2) := 2 \begin{pmatrix} 0 & 0 \\ 0 & u_1 \bar{u}_2 \end{pmatrix}$.

Then $Q : U \times U \rightarrow W$, Hermitian and Ω -positive.

$$\begin{aligned} D &= \{(u, w) ; w + w^* - Q(u, u) \in \Omega\} \\ &= \left\{ (u, w_1, w_2, w_3) \in \mathbb{C}^4 ; \begin{array}{l} v_1(v_3 - |u|^2) - v_2^2 > 0, \\ v_3 > |u|^2 \end{array} \right\} \quad (v_j := \text{Re } w_j). \end{aligned}$$

The inner product $\langle \cdot | \cdot \rangle_s$ in V is expressed as

$$\langle v | v' \rangle_s := s_1 v_{11} v'_{11} + 2s_2 v_{21} v'_{21} + s_2 v_{22} v'_{22} \quad (s_j > 0).$$

Using $\langle \cdot | \cdot \rangle_s$ to identify V^* with V , we get

$$\Omega^* = \left\{ \begin{pmatrix} y_1 & y_2 \\ y_2 & y_3 \end{pmatrix} ; y_1 y_3 - \frac{s_2}{s_1} y_2^2 > 0, y_3 > 0 \right\}.$$

$$\mathcal{I}_s(w) = \frac{1}{\det w} \begin{pmatrix} w_3 - s_1^{-1}(s_1 - s_2)w_1^{-1}w_2^2 & -w_2 \\ -w_2 & w_1 \end{pmatrix}.$$

If one uses $\text{tr}(vv')$ to identify V^* with V , then $\Omega^* = \Omega$ and

$$\mathcal{I}_s^0(w) = \frac{1}{\det w} \begin{pmatrix} s_1 w_3 - (s_1 - s_2)w_1^{-1}w_2^2 & -s_2 w_2 \\ -s_2 w_2 & s_2 w_1 \end{pmatrix}.$$

Geatti's parameter (1982): $s_1 = s_2 \rightsquigarrow \mathcal{I}_s(w) = w^{-1}$,

Bergman parameter s_b : $s_1 = 3, s_2 = 4$,
 ($\rightsquigarrow D$ is not even quasisymmetric).

Szegö parameter s_s : $s_1 = \frac{3}{2}, s_2 = \frac{5}{2}$,

Penney's parameter s_0 : $s_1 = s_2 = \frac{3}{2}$.

Geatti's Cayley transform (\equiv Penney's)

$$\mathcal{C}(u, w) = \left(\frac{2(w_1 + 1)}{\det(w + E)} u, (w - E)(w + E)^{-1} \right).$$

But CT with Bergman param. and Szegő param. both look strange:

$$\mathcal{C}_s(u, w) = \left(\frac{2(w_1 + 1)}{\det(w + E)} u, E - 2\mathcal{I}_s(w + E) \right).$$

Nevertheless CT with Szegő parameter appeared in my analysis of Poisson–Hua kernel.