# Homogeneous Convex Cones Associated to Representations of Euclidean Jordan Algebras

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### **Euclidean Jordan Algebras**

- ullet V with a bilinear product xy is called a **Jordan algebra** if for all  $x,y\in V$ 
  - (1) xy = yx,
  - (2)  $x^2(xy) = x(x^2y)$ .
- A real Jordan algebra with  $e_0$  is said to be **Euclidean** if  $\exists \langle \cdot | \cdot \rangle$  s.t.

$$\langle xy | z \rangle = \langle x | yz \rangle \qquad (\forall x, y)$$

• Euclidean Jordan algebras  $V \rightleftharpoons \text{symmetric cones } \Omega = \text{Int}\{x^2 \; ; \; x \in V\}$ 

#### **Example:**

$$V=\operatorname{Sym}(r,\mathbb{R})\supset \Omega:=\operatorname{Sym}(r,\mathbb{R})^{++}$$
  
Jordan product  $\circ$  of  $V\colon x\circ y:=\frac{1}{2}(xy+yx);\quad \text{note }x\circ x=x^2.$   $GL(r,\mathbb{R})\curvearrowright \Omega \text{ by }GL(r,\mathbb{R})\times \Omega\ni (g,x)\mapsto gx^tg\in \Omega \text{ transitively}$ 

More generally

V: a real vector space with an inner product  $\langle \cdot | \cdot \rangle$   $(\dim V < \infty)$   $V \supset \Omega$ : a <u>regular</u> open convex cone (<u>contains no entire line</u>)  $G(\Omega) := \{g \in GL(V) \; ; \; g(\Omega) = \Omega\}$ : linear automorphism group of  $\Omega$  (a Lie group as a closed subgroup of GL(V))

 $\Omega$  is homogeneous  $\stackrel{\mathrm{def}}{\Longleftrightarrow} G(\Omega) \cap \Omega$  is transitive

• dual cone  $\Omega^*$  of  $\Omega$  (w.r.t  $\langle \cdot | \cdot \rangle$ )

$$\stackrel{\text{def}}{\iff} \Omega^* := \left\{ y \in V \; ; \; \langle \, x \, | \, y \, \rangle > 0 \quad (\forall x \in \overline{\Omega} \setminus \{0\}) \right\}$$

- $\Omega$  is selfdual  $\iff \exists \langle \cdot | \cdot \rangle$  s.t.  $\Omega = \Omega^*$
- ullet symmetric cone  $\buildrel\hbox{def}\longrightarrow$  homogeneous selfdual open convex cone

## List of irreducible symmetric cones and Eulidean Jordan algebras:

- $\Omega = \operatorname{Sym}(r, \mathbb{R})^{++} \subset V = \operatorname{Sym}(r, \mathbb{R})$
- $\Omega = \operatorname{Herm}(r, \mathbb{C})^{++} \subset V = \operatorname{Herm}(r, \mathbb{C})$
- $\Omega = \operatorname{Herm}(r, \mathbb{H})^{++} \subset V = \operatorname{Herm}(r, \mathbb{H})$
- $\Omega = \operatorname{Herm}(3, \mathbb{O})^{++} \subset V = \operatorname{Herm}(3, \mathbb{O})$
- $\Omega = \Lambda_n$  (n-dimensional Lorentz cone)  $\subset V = \mathbb{R}^n$

#### Selfadjoint representations of Euclidean Jordan algebras

V: a Euclidean Jordan algebra with unit element  $e_0$ 

E: a real vector space with  $\langle \cdot | \cdot \rangle_E$ 

ullet linear map  $\varphi:V \to \operatorname{End}(E)$  is a selfadjoint representation of V

$$\stackrel{\text{def}}{\iff} \begin{cases} (1) \ \varphi(x) \in \operatorname{Sym}(E) & \text{for } \forall x \in V, \\ (2) \ \varphi(xy) = \frac{1}{2} \big( \varphi(x) \varphi(y) + \varphi(y) \varphi(x) \big), & \varphi(e_0) = I \ \text{(if } \varphi \neq 0 \text{)} \end{cases}$$

- $V = \operatorname{Herm}(3, \mathbb{O}) \implies \varphi = 0$
- $V = \operatorname{Herm}(r, \mathbb{K}) \ (\mathbb{K} = \mathbb{R}, \mathbb{C}, \mathbb{H})$  $\implies E = \operatorname{Mat}(r \times p, \mathbb{K}) \text{ and } \varphi(x)\xi = x\xi \ (x \in V, \xi \in E)$
- V: Lorentzian type  $\implies V = \mathbb{R} e_0 \oplus W$  with (W,B): Euclidean VS. Jordan algebra representation of  $V \rightleftarrows \mathsf{Clifford}$  algebra representation of  $\mathsf{Cl}(W)$   $w^2 = B(w,w)$

In fact 
$$V \hookrightarrow \operatorname{Cl}(W)$$

#### **Basic relative invariants**

 $\Omega$ : a reguler homogeneous open convex cone  $\subset V$ ,

 $G(\Omega)$ : the linear automorphism group of  $\Omega$ ,

 $\exists H$ : a split solvable subgroup of  $G(\Omega)$  s.t.  $H \curvearrowright \Omega$  simply transitively.

ullet a function f on  $\Omega$  is **relatively invariant** (w.r.t. H)

 $\stackrel{\text{def}}{\Longleftrightarrow} \exists \chi$ : 1-dim. rep. of H s.t.  $f(hx) = \chi(h)f(x)$  (for all  $h \in H, x \in \Omega$ ).

## Theorem [Ishi 2001].

 $\exists \Delta_1, \ldots, \Delta_r \ (r := \operatorname{rank}(\Omega))$ : relat. inv. <u>irred</u>. polynomial functions on V s.t. any relat. inv. polynomial function P on V is written as

$$P(x) = c \Delta_1(x)^{m_1} \cdots \Delta_r(x)^{m_r} \quad (c = \text{const.}, (m_1, \dots, m_r) \in \mathbb{Z}_{\geq 0}^r).$$

•  $\Delta_1(x), \ldots, \Delta_r(x)$ : the basic relative invariants associated to  $\Omega$ 

#### Algebras for general homogeneous convex domains (Vinberg 1963)

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ullet V with a bilinear product x \triangle y = L(x)y = R(y)x is called a Clan if
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(1) [L(x), L(y)] = L(x \triangle y - y \triangle x) (left symmetric algebra),
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- (2)  $\exists s \in V^*$  (called admissible) s.t.  $s(x \triangle y)$  defines an inner product (compact),
- (3) Each L(x) has only real eigenvalues (normal).

Affine homogeneous open convex domains  $\rightleftarrows$  Clans Homogeneous open convex cones  $\rightleftarrows$  Clans with unit element

 $\Omega \rightleftharpoons V$ : algebraic structure in the ambient VS ( $\equiv$  tangent space at a ref. pt.)

• Case of homogeneous convex cones  $\Omega$ :

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Fix E \in \Omega and H: simply transitive on \Omega \leadsto H \approx HE = \Omega (diffeo) \leadsto \mathfrak{h} := \operatorname{Lie}(H) \cong T_E(\Omega) \equiv V (linear isomorphism) \leadsto \forall x \in V, \exists 1X \in \mathfrak{h} s.t. XE = x. \leadsto \text{Write } X = L(x) and define x \triangle y := L(x)y (The clan product is non-commutative, in general.)
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## Theorem [Ishi–N. 2008].

R(x): the right multiplication operator by x in the clan V: R(x)y := yx  $\implies$  the irreducible factors of  $\det R(x)$  are just  $\Delta_1(x), \ldots, \Delta_r(x)$ .

#### Flowchart of this work

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\begin{array}{l} V\colon \text{a simple Euclidean Jordan algebra}\\ (\varphi,E)\colon \text{a selfadjoint representation of }V\\ \leadsto \text{Define a clan structure in }V_E:=E\oplus V\\ \qquad \qquad (V_E\text{ does not have a unit element unless }E=\{0\}.)\\ \leadsto \text{Adjoin a unit element to }V_E\text{, and get a clan }V_E^0\text{ with unit element}\\ \leadsto \text{Get the corresponding homogeneous open convex cone }\Omega^0\\ & \qquad \left\{\begin{array}{l} \bullet\text{ Express the basic relative invariants associated to }\Omega^0\text{ in terms of}\\ \text{JA principal minors of }V\text{ and stuffs related to }(\varphi,E).\\ \end{array}\right.\\ \leadsto & \qquad \left\{\begin{array}{l} \bullet\text{ Get the dual cone }(\Omega^0)^*\text{ of }\Omega^0\text{ (w.r.t. an appropriate inner product)}\\ \leadsto \text{ Express the basic relative invariants associated to }(\Omega^0)^*\text{ in terms of}\\ \text{JA principal minors of }V\text{ and stuffs related to }(\varphi,E).\\ \end{array}\right.
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- $\Omega^0$  is <u>not</u> a symmetric cone in general.
- The degrees of basic realtive invariants associated to  $(\Omega^0)^*$  are always  $1, 2, \ldots, r$   $(r = \operatorname{rank}(\Omega^0))$  whatever the representation  $\varphi$ .

#### The case of zero representation

V: a simple Euclidean Jordan algebra of rank r,  $e_0$ : the unit element  $\Omega = \operatorname{Int}\{x^2 \; ; \; x \in V\}$ : the corresponding symmetric cone

Fix a Jordan frame  $c_1, \ldots, c_r$ 

- $\rightsquigarrow$  H: the corresponding Iwasawa solvable subgroup of  $G(\Omega)$  (reductive Lie group)
- $\rightsquigarrow$  Introduce a canionical clan product  $\triangle$  in V

**Example:**  $V = \operatorname{Sym}(r, \mathbb{R})$ ,  $\Omega = \operatorname{Sym}(r, \mathbb{R})^{++}$ .

 $GL(r,\mathbb{R})$ -action on  $\Omega$ :  $GL(r,\mathbb{R})\times\Omega\ni(g,x)\mapsto gx^tg\in\Omega$ 

Product in V as a clan:  $x \triangle y = \underline{x} y + y^t(\underline{x})$ , where for  $x = (x_{ij}) \in \operatorname{Sym}(r, \mathbb{R})$ ,

we put 
$$\underline{x}:=\begin{pmatrix} \frac{1}{2}x_{11} & 0 \\ x_{21} & \frac{1}{2}x_{22} \\ \vdots & \ddots & \ddots \\ x_{r1} & \cdots & x_{r,r-1} & \frac{1}{2}x_{rr} \end{pmatrix}$$
 . Thus  $x=\underline{x}+{}^t(\underline{x})$ .

$$L(x)y = R(y)x = \underline{x}y + y^{t}(\underline{x})$$

#### General symmetric cone

spaces are described as

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Fix \langle x \, | \, y \rangle := \operatorname{tr}(xy): the trace inner product of V, \mathfrak{g} := \operatorname{Lie}(G(\Omega)), \mathfrak{k} := \operatorname{Der}(V), \mathfrak{p} := \{M(x) \; ; \; x \in V\}: Jordan multiplication operators \leadsto \mathfrak{g} = \mathfrak{k} + \mathfrak{p} is a Cartan decomposition of \mathfrak{g} with \theta X = -{}^t X, V = \bigoplus_{1 \leq j \leq k \leq r} V_{kj}: the Peirce decomposition for c_1, \ldots, c_r, where V_{jj} := \mathbb{R} c_j \quad (j = 1, \ldots, r), V_{kj} := \left\{ x \in V \; ; \; M(c_i)x = \frac{1}{2}(\delta_{ij} + \delta_{ik})x \quad (i = 1, 2, \ldots, r) \right\} \quad (1 \leq j < k \leq r). • \mathfrak{a} := \mathbb{R} M(c_1) \oplus \cdots \oplus \mathbb{R} M(c_r): maximal abelian in \mathfrak{p}, • \alpha_1, \ldots, \alpha_r: basis of \mathfrak{a}^* dual to M(c_1), \ldots, M(c_r). Then the positve \mathfrak{a}-roots are \frac{1}{2}(\alpha_k - \alpha_j) \; (j < k), and the corresponding root
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$$\mathfrak{n}_{kj} := \mathfrak{g}_{(\alpha_k - \alpha_j)/2} = \{ z \square c_j \; ; \; z \in V_{kj} \} \quad (a \square b := M(ab) + [M(a), M(b)]).$$

With  $\mathfrak{n} := \sum_{j < k} \mathfrak{n}_{kj}$ , we get Iwasawa decomposition  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}$ .

Let  $A := \exp \mathfrak{a}$ ,  $N := \exp \mathfrak{n}$ . Then  $H := N \rtimes A$  acts on  $\Omega$  simply transitively. H gives a clan structure to V, so that  $\mathfrak{h} := \operatorname{Lie}(H) = \{L(v) \; ; \; v \in V\}$ .

Lemma. (1) 
$$v \in \mathbb{R}c_1 \oplus \cdots \oplus \mathbb{R}c_r \implies L(v) = M(v) \ (\in \mathfrak{a}).$$
 (2)  $v \in V_{kj} \implies L(v) = 2(v \square c_j) \ (\in \mathfrak{n}_{kj}).$ 

We now consider R(x)y := L(y)x.  $\Delta_1(x), \ldots, \Delta_r(x)$ : JA principal minors of x associated to  $c_1, \ldots, c_r$  (basic relative invariants of V)

**Theorem.** det  $R(x) = \Delta_1(x)^d \cdots \Delta_{r-1}(x)^d \Delta_r(x)$ , where d := the common dimension of  $V_{kj}$  (j < k).

d=1 for  $\mathrm{Sym}(r,\mathbb{R})$ ,  $d=\dim_{\mathbb{R}}\mathbb{K}$  for  $\mathrm{Herm}(r,\mathbb{K})$   $(\mathbb{K}=\mathbb{C},\mathbb{H},\mathbb{O})$ ,  $r=2,\ d=n-2$  for  $\Omega=\Lambda_n\ (n\geq 3)$ .

#### The case of non-trivial representations

 $(\varphi, E)$ : a selfadjoint representation of  $\varphi$ ,  $\dim E > 0$  $\rightsquigarrow \varphi(c_1), \ldots, \varphi(c_r)$ : mutually orthogonal projection operators with equal rank

 $\bullet$  Define the lower triangular part  $\varphi(x)$  of  $\varphi(x)$   $(x \in V)$  by

$$\underline{\varphi}(x) := \frac{1}{2} \sum_{i} \lambda_{i} \varphi(c_{i}) + \sum_{j < k} \varphi(c_{k}) \varphi(x_{kj}) \varphi(c_{j}) \quad (x = \sum_{i} \lambda_{i} c_{j} + \sum_{j < k} x_{kj}).$$

We have  $\varphi(x) + \varphi(x)^* = \varphi(x)$ .

**Proposition.**  $\varphi$  is a representation of the clan  $(V, \triangle)$ :

$$\varphi(x \triangle y) = \underline{\varphi}(x)\varphi(y) + \varphi(y)\underline{\varphi}(x)^* \qquad (x, y \in V).$$

• Define a bilinear map  $Q: E \times E \to V$  by

$$\langle \varphi(x)\xi | \eta \rangle_E = \langle Q(\xi,\eta) | x \rangle \ (x \in V, \xi, \eta \in E).$$

ullet Introduce a product riangle in  $V_E:=E\oplus V$  by

$$(\xi+x)\bigtriangleup(\eta+y):=\underline{\varphi}(x)\eta+(Q(\xi,\eta)+x\bigtriangleup y) \qquad (x,y\in V,\ \xi,\eta\in E).$$

**Theorem.**  $(V_E, \triangle)$  is a clan with  $s'(\xi + x) := \operatorname{Tr} L(x) \ (\xi \in E, \ x \in V)$ .

 $V_E$  does not have a unit element (because dim E > 0).

Adjoin e to  $V_E$ , so that  $V_E^0 := \mathbb{R} e \oplus V_E$  is a clan with unit element e.

Put  $\underline{u} := \underline{e} - \underline{e}_0$ , and we use  $V_E^0 = \mathbb{R} \underline{u} \oplus E \oplus V$ . Then

$$(\lambda u + \xi + x) \bigtriangleup (\mu u + \eta + y) = (\lambda \mu) u + (\mu \xi + \frac{1}{2} \lambda \eta + \underline{\varphi}(x) \eta) + (Q(\xi, \eta) + x \bigtriangleup y)$$
$$(\lambda, \mu \in \mathbb{R}, \xi, \eta \in E \text{ and } x, y \in V)$$

 $\Omega^0$ : the homogeneous convex cone associated to  $V_E^0$ 

• To describe  $\Omega^0$ , we introduce (note Q is  $\Omega$ -positive)

 $D(\Omega,Q):=\{\xi+x\in V_E\;;\;x-\frac{1}{2}Q(\xi,\xi)\in\Omega\}$ : real homogeneous Siegel domain Then

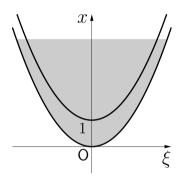
$$\Omega^{0} = \{ \lambda u + \lambda \xi + \lambda x \in V_{E}^{0} ; \ \lambda > 0, \ \xi + x \in D(\Omega, Q) \}$$
$$= \{ \lambda u + \xi + x \in V_{E}^{0} ; \ \lambda > 0, \ \lambda x - \frac{1}{2} Q(\xi, \xi) \in \Omega \}$$

#### **Example:**

 $V=\mathbb{R}$ ,  $\Omega=\mathbb{R}_{>0}$ ,  $E=\mathbb{R}$  and  $\varphi(x)\xi=x\xi$   $(x\in\mathbb{R},\,\xi\in\mathbb{R})$ .

Clearly  $Q(\xi, \eta) = \xi \eta$ .

$$D(\Omega, Q) = \{(\xi, x) \in \mathbb{R}^2 \; ; \; x - \frac{1}{2}\xi^2 > 0\}$$



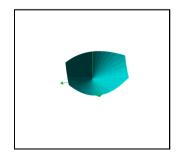
•  $\forall t \in \mathbb{R}, \ (\xi, x) \mapsto (e^{t/2}\xi, e^tx)$ : translation of the basic parabola

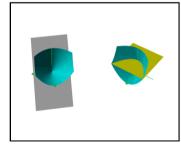
$$x = \frac{1}{2}\xi^2 + 1 \mapsto x = \frac{1}{2}\xi^2 + e^t$$

•  $\forall \xi_0 \in \mathbb{R}, \ (\xi, x) \mapsto (\xi + \xi_0, \ x + \xi \xi_0 + \frac{1}{2} \xi_0^2)$ :

movement on each of the parabolas  $x = \frac{1}{2}\xi^2 + a \ (a > 0)$ 

$$\Omega^0 = \{(\lambda, \xi, x) \; ; \; \lambda > 0, \; \lambda x - \frac{1}{2} \xi^2 > 0\} \leadsto \text{See movie.}$$





#### Basic relative invariants associated to $\Omega^0$

V: Euclidean JA,  $\varphi:V\to \mathrm{Sym}(E)$ : selfadjoint JA representation

- $\varphi$  is regular  $\stackrel{\mathrm{def}}{\Longleftrightarrow}$   $\exists \xi_0 \in E$  s.t.  $Q(\xi_0, \xi_0) = e_0$
- (1) The Hermitian cases:  $V = \text{Herm}(r, \mathbb{K}) \ (r \geq 3; \mathbb{K} = \mathbb{R}, \mathbb{C}, \mathbb{H})$  $E = \text{Mat}(r \times p, \mathbb{K}), \ \varphi(x)\xi = x\xi \ (x \in V, \xi \in E), \ Q(\xi, \eta) = \frac{1}{2}(\xi\eta^* + \eta\xi^*)$

Fact:  $\varphi$  is regular  $\iff p \ge r$  (that is,  $E = \square$  or  $E = \square$ )

 $V_E^0 = \mathbb{R}u \oplus E \oplus V \ni \lambda u + \xi + x =: v$  $\Delta_k(x)$ : k-th principal minor of  $x \in V$  (left upper corner)

Theorem. If  $\varphi$  is <u>regular</u>, the basic relative invarinats associated to  $\Omega^0$  are  $\Delta_0^0(v) = \lambda$ ,  $\Delta_j^0(v) = \Delta_j(\lambda x - \frac{1}{2}\xi\xi^*)$   $(j = 1, \dots, r)$ .

• If  $\varphi$  is not regular (i.e., if p < r), then  $\Delta_j(\xi \xi^*) = 0$  for  $p+1 \le j \le r$   $\Longrightarrow \Delta_j(\lambda x - \frac{1}{2}\xi \xi^*)$  is not irreducible for such j  $\Longrightarrow$  should be  $\lambda^{-(j-p)}\Delta_j(\lambda x - \frac{1}{2}\xi \xi^*) \longleftarrow$  I do not like this expression.

**Proposition**. The following map is an injective clan isomorphism:

$$V_E^0 \ni \lambda u + \xi + x \mapsto \begin{pmatrix} \lambda I_p & \frac{1}{\sqrt{2}} \xi^* \\ \frac{1}{\sqrt{2}} \xi & x \end{pmatrix} =: X(\lambda, \frac{\xi}{\sqrt{2}}, x) \in \operatorname{Herm}(r + p, \mathbb{K})$$

**Theorem**. If p < r, then the basic relative invariants associated to  $\Omega^0$  are

$$\begin{cases}
\Delta_0^0(v) = \lambda, \\
\Delta_j^0(v) = \Delta_j(\lambda x - \frac{1}{2}\xi\xi^*) & (j = 1, \dots, p), \\
\Delta_j^0(v) = \det^{(p+j)} \begin{pmatrix} \lambda I_p & \frac{1}{\sqrt{2}}\xi^* \\ \frac{1}{\sqrt{2}}\xi & x \end{pmatrix} & (j = p+1, \dots, r).
\end{cases}$$

Here  $\det^{(p+j)} X$  stands for the determinant of the left upper matrix of size p+j from X, and if  $\mathbb{K}=\mathbb{H}$ , then determinant should be taken in the JA sense.

**Proposition**.  $\Omega^0$  is isomorphic to  $\{X(\lambda, \xi, x) \gg 0\}$ .

#### (2) The Lorentzian case

 $\begin{array}{l} (W,B) \colon \text{a Euclidean VS, and } V = \mathbb{R} e_0 \oplus W \text{ with } \\ (\lambda e_0 + v) \circ (\mu e_0 + w) = (\lambda u + B(v,w))e_0 + (\lambda w + \mu v) \quad (\lambda,\mu \in \mathbb{R},\ v,w \in W). \\ \varphi \colon V \to \operatorname{Sym}(E) \colon \text{JA representation,} \quad e_1,\ldots,e_n \colon \text{ONB of } W \text{ w.r.t. } B. \\ \text{Then } c_1 := \frac{1}{2}(e_0 + e_n),\ c_1 := \frac{1}{2}(e_0 - e_n) \colon \text{Jordan frame of } V \quad \leadsto \Delta_1,\Delta_2. \\ Q(\xi,\xi) = \frac{1}{2}\|\xi\|^2 e_0 + \frac{1}{2}\sum_{j=1}^n \langle\ \varphi(e_j)\xi\ |\ \xi\ \rangle e_j \text{ is the expansion of } Q(\xi,\xi) \text{ w.r.t. } \{e_j\}_{j=0}^n. \end{array}$ 

**Fact** [Clerc, 1992]:  $\varphi$  is *not* regular

 $\iff \varphi$  is irreducible and dim E=2,4,8,16.

**Theorem**. The basic relative invariants associated to  $\Omega^0 \subset V_E^0$  are given by

$$\Delta_0^0(\lambda u + \xi + x) = \lambda, \qquad \Delta_1^0(\lambda u + \xi + x) = \Delta_1(\lambda x - \frac{1}{2}Q(\xi, \xi))$$

$$\Delta_2^0(\lambda u + \xi + x) = \begin{cases} \Delta_1(\lambda x - \frac{1}{2}Q(\xi, \xi)) & (\varphi \text{ is regular}) \\ \lambda \Delta_2(x) - \langle x, Q(\xi, \xi) \rangle_{1,n} & (\varphi \text{ is not regular}) \end{cases}$$

$$\langle x_0 e_0 + w, x_0' e_0 + w' \rangle_{1,n} := x_0 x_0' - B(w, w').$$

#### Remarks about the non-regular Lorentzian cases

 $\varphi$  is irreducible and dim E=2,4,8,16

$$\implies$$
 dim  $W = \dim V_{21} + 1 = \frac{1}{2} \dim E + 1 = 2, 3, 5, 9.$ 

Then  $V = \mathbb{R}e_0 \oplus W \cong \text{Herm}(2, \mathbb{K}) \ (\mathbb{K} = \mathbb{R}, \mathbb{C}, \mathbb{H}, \mathbb{O}).$ 

Put 
$$v(\alpha, \beta, z) := \begin{pmatrix} \alpha & z \\ \overline{z} & \beta \end{pmatrix} \in \operatorname{Herm}(2, \mathbb{K}) \text{ with } \alpha, \beta \in \mathbb{R}, \ z \in \mathbb{K}.$$

With  $E=\mathbb{K}^2$ , JA representation  $\varphi$  is realized as  $\varphi_1$  or  $\varphi_2$ :

$$\varphi_1(v(\alpha, \beta, z)) := \begin{pmatrix} \alpha I & L_z \\ L_{\overline{z}} & \beta I \end{pmatrix} \in \operatorname{End}_{\mathbb{R}}(\mathbb{K}^2), \quad \varphi_2(v) = \varphi_1({}^tv)$$

- If  $\mathbb{K} = \mathbb{R}$ , then  $\varphi_1$  and  $\varphi_2$  are identical. This is *the* unique irreducible representation of  $\mathrm{Sym}(2,\mathbb{R})$ .
- If  $\mathbb{K} = \mathbb{H}$  or  $\mathbb{O}$ , then  $\varphi_1$  and  $\varphi_2$  are *not* equivalent. These two are the irreducible representations of  $\mathrm{Herm}(2,\mathbb{K})$  ( $\mathbb{K} = \mathbb{H},\mathbb{O}$ ).
- If  $\mathbb{K} = \mathbb{C}$ , then  $\varphi_2$  is conjugate to  $\varphi_1$ . These two are the inequivalent complex representations of  $\mathrm{Herm}(2,\mathbb{C})$ .

 $E = \mathbb{K}^2$  and  $(\varphi_j, E) \leadsto \operatorname{clan}(V_E^0, \triangle_j)$  (j = 1, 2).

## **Proposition**. $(V_E^0, \triangle_1) \cong (V_E^0, \triangle_2) \cong \text{Herm}(3, \mathbb{K})$ (even if $\varphi_1 \ncong \varphi_2$ ).

- $\bullet$   $(V_E^0, \triangle_1) \cong (V_E^0, \triangle_2)$  is given by  $\lambda u + \xi + x \mapsto \lambda u + \xi + {}^t x$ ,
- The map  $x \mapsto {}^t x$  is a Jordan and clan isomorphism of  $\operatorname{Herm}(2, \mathbb{K})$ .
- $\bullet \ V_E^0 \cong \mathrm{Herm}(3,\mathbb{K}) \ \text{is given by} \ \lambda u + \xi + x \mapsto \begin{pmatrix} \lambda & \frac{1}{\sqrt{2}} \xi^* \\ \frac{1}{\sqrt{2}} \xi & x \end{pmatrix},$  where  $\xi = \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} \in E = \mathbb{K}^2.$
- In  $\operatorname{Herm}(2, \mathbb{K})$  we have for  $v = (\frac{\alpha}{z} \frac{z}{\beta})$  $\Delta_1(v) = \alpha, \quad \Delta_2(v) = \alpha\beta - |z|^2$

Then,

$$\lambda$$
,  $\Delta_1(\lambda x - \frac{1}{2}\xi\xi^*)$ ,  $\frac{1}{\lambda}\Delta_2(\lambda x - \frac{1}{2}\xi\xi^*)$ 

are the principal monors of  $\begin{pmatrix} \lambda & \frac{1}{\sqrt{2}}\xi^* \\ \frac{1}{\sqrt{2}}\xi & x \end{pmatrix} \in \mathrm{Herm}(3,\mathbb{K}).$ 

## Dual clan of $V_E^0$

Define an inner product in  $V_E^0 = \mathbb{R}u \oplus E \oplus V$  by

$$\langle \lambda u + \xi + x | \lambda' \overline{u} + \xi' + x' \rangle^0 = \underline{\lambda \lambda'} + \langle \xi | \xi' \rangle + \langle x | x' \rangle$$

$$\left(\Omega^{0}\right)^{*} := \left\{ v \in V \; ; \; \left\langle \left. v \, \right| v' \right. \right\rangle > 0 \; \text{ for all } v' \in \overline{(\Omega^{0})} \setminus \{0\} \right\}.$$

Then, the clan product  $\nabla$  in  $V_E^0$  associated to  $(\Omega^0)^*$  is given by  $v \nabla v' = {}^tL_v^0v'$ .

**Proposition**. Let 
$$v = \lambda u + \xi + x \in V_E^0$$
. Then  $v \in (\Omega^0)^* \iff x \in \Omega \text{ and } \lambda > \frac{1}{2} \langle \varphi(x)^{-1} \xi \mid \xi \rangle.$ 

**Remark**. The condition corresponds to what Rothaus called *the extension of*  $\Omega$  by the representation  $\varphi$ .

Here, (representation R)  $\equiv$  (representation  $R:V \to \mathrm{Sym}(E)$  of a cone  $\Omega$ )

$$\stackrel{\text{def}}{\Longleftrightarrow} \begin{cases} (1) \ R(x) \gg 0 & (\forall x \in \Omega), \\ (2) \ \exists H \ (\curvearrowright \Omega \text{ transitively}) \text{ s.t.} \forall h \in H, \ \exists h' \in GL(E) \\ & \text{with } R(hv) = h'R(v)^t h' \ \ (\forall v \in V). \end{cases}$$

• JA representation  $\implies$  representation of the corresponding symmetric cone

 $\Delta_1^*(x), \ldots, \Delta_r^*(x)$ : JA principal minors of  $x \in V$  associated to  $c_r, \ldots, c_1$ .

**Theorem**. The basic relative invariants associated to  $(\Omega^0)^*$  are given by

$$P_{j}(\lambda u + \xi + x) = \Delta_{j}^{*}(x) \qquad (j = 1, \dots, r),$$
  
$$P_{r}(\lambda u + \xi + x) = \lambda \det x - \frac{1}{2} \langle \varphi(^{co}x)\xi | \xi \rangle$$

If  $x \in V$  is invertible,  ${}^{\text{co}}x := (\det x)x^{-1}$ . We know that  $x \mapsto {}^{\text{co}}x$  is a polynomial map of degree r-1.

In particular,  $\deg P_j = j \ (j = 1, \dots, r, r+1)$ .

(1) The Hermitian cases.  $V = \text{Herm}(r, \mathbb{K}) \ (r \geq 3, \mathbb{K} = \mathbb{R}, \mathbb{C}, \mathbb{H}).$ 

**Theorem.**  $(\Omega^0)^*$  is linearly isomorphic to

$$\Omega' := \left\{ Y = \begin{pmatrix} \mu & \eta^* \\ \eta & y \otimes I_p \end{pmatrix} \gg 0 \; ; \; \begin{array}{c} \mu \in \mathbb{R}, \; \eta \in \mathbb{K}^{rp} \\ y \in V \end{array} \right\} \subset \operatorname{Herm}(rp + 1, \; \mathbb{K})$$

 $\Delta_k^*(y)$ : the k-th principal minor (right lower corner) of  $y \in V$  (k = 1, ..., r).

**Theorem** The basic relative invariants associated to  $\Omega'$  are given by

$$P_j(Y) = \Delta_j^*(y) \ (j = 1, \dots, r), \quad P_{r+1}(Y) = \mu \det y - \eta^*({}^{co}y \otimes I_p)\eta$$

 $^{co}y$ : the cofactor matrix of y. Thus  $^{co}y = (\operatorname{Det} y)y^{-1}$  if y is invertible.

**Remark**. deg  $P_j = j$  for  $\forall j$ . But if p > 1, then  $\Omega'$  is not a symmetric cone.

#### (2) The Lorentzian case.

We have fixed the Jordan frame  $c_1 := \frac{1}{2}(e_0 + e_n)$ ,  $c_2 = \frac{1}{2}(e_0 - e_n)$ .  $\Delta_1^*(x), \Delta_2^*(x)$ : JA principal minors of  $x \in V$  associated to  $c_2, c_1$ .

Theorem. The basic relative invariants associated to  $(\Omega^0)^*$  are given by  $P_j(\lambda u + \xi + x) = \Delta_j^*(x) \ (j = 1, 2), \quad P_3(\lambda u + \xi + x) = \lambda \det x - \frac{1}{2} \langle \varphi(\widetilde{x}) \xi \mid \xi \rangle$ 

 $x\mapsto\widetilde{x}$ : restriction to  $V=\mathbb{R}e_0\oplus W$  of the  $\mathrm{Cl}(W)$ -automorphism that extends the isometry  $w\mapsto -w$  of W.

**Remark**. deg  $P_j = j$  for  $\forall j$ . But if  $\varphi$  is regular, then  $\Omega^0$  is not a symmetric cone.